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Influence of Hemlock Woolly Adelgid Infestation on the Physiological and Reflectance Characteristics of Eastern Hemlock

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1 Influence of Hemlock Woolly Adelgid Infestation on the Physiological and Reflectance
2 Characteristics of Eastern Hemlock

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15

Abstract

16 The hemlock woolly adelgid (HWA) (*Adelges tsugae*) is an invasive insect in the eastern
17 United States. Since its initial detection in Richmond, Virginia, in 1951, HWA has spread to half
18 of the eastern hemlock natural range. Detection of early infestation symptoms via remote
19 sensing requires the knowledge of the changes in reflectance resulting from physiological
20 changes in the host as inflicted by the insect, and the selection of equipment with the appropriate
21 sensor characteristics. Laboratory based reflectance measurements of infested and non-infested
22 hemlock foliage collected from four sites in southern New Hampshire and Maine occurred
23 biweekly over 6 months in 2012, and weekly over 5 weeks in 2013. Vegetation indices (REIP,
24 NDVI, MSI and NIR3/1) were associated with concurrent chlorophyll and moisture content data.
25 Infested first year foliage contained greater concentrations of chlorophyll and moisture resulting
26 in reduced visible spectral reflectance, greater REIP and NDVI values, and lower MSI and NIR
27 3/1 values than non-infested foliage. Furthermore, fluorescence measurements indicated greater
28 photosystem function during the early stages of infestation, suggesting a possible compensatory
29 response by hemlock to infestation. Significant differences in reflectance between infested and
30 non-infested foliage were observed in late June and July in the weeks immediately following
31 HWA settlement on new growth. Implementing these observations during remote sensing
32 mission planning may increase the likelihood of detecting early HWA infestation symptoms at
33 landscape scales.

34 **Keywords:** *Adelges tsugae*, Hemlock Woolly Adelgid, remote sensing, vegetation indices,
35 fluorescence, chlorophyll

36 1. Introduction

37 Eastern hemlock (*Tsuga canadensis* (L.) Carr.) is a foundation tree species in the
38 northeastern United States (Ellison et al. 2005). Often found on steep slopes and in riparian
39 areas, hemlocks filter water, retain soil, and provide shade to streams and habitat to wildlife
40 (Bonneau et al. 1999). Currently, the vigor of the eastern hemlock population is declining due to
41 multiple stressors like the elongate hemlock scale (Homoptera: *Fiorinia externa* Ferris), hemlock
42 tip blight (*Sirococcus tsugae*), and more prominently the hemlock woolly adelgid (HWA)
43 (Hemiptera: *Adelges tsugae* Annand).

44 Multiple studies have been published documenting the biology and ecology of HWA
45 (McClure and Cheah 1999), its effects on needle chemistry (Radville et al. 2011), volatiles
46 (Pezet et al. 2013), water and carbon relations (Domec et al. 2013), and radial growth (Davis et
47 al. 2007). The effects of HWA infestation on hemlock reflectance features has received little
48 attention, focusing only on airborne hyperspectral data collection and modeling (Hanavan et al.
49 2015, Pontius et al. 2005a, 2005b).

50 The purpose of this study was to examine the effects of HWA infestation on hemlock
51 reflectance over time and how those changes related to leaf biophysical variables. The ultimate
52 goal was to determine an optimal time period in the growing season for discrimination between
53 infested and non-infested hemlock stands with either narrow or broadband remote sensing
54 platforms. Our objectives were to:

- 55 1. Determine when hemlock reflectance was most affected by HWA infestation through
56 both long (6 months) and short (5 weeks) term measurement intervals.

57 2. Determine whether HWA infestation impacted needle chlorophyll concentration and
58 function through pigment extraction, reflectance and fluorescence measurements.

59 3. Determine whether HWA infestation impacted foliar moisture content through
60 reflectance, fresh weight and dry weight measurements.

61 Health and growth of vegetation is dependent on the process of photosynthesis.

62 Disruption of the photosynthetic apparatus leading to reduced plant vigor can be induced through
63 a multitude of biotic and abiotic stressors. Insect infestations are a biotic stressor whereby
64 feeding is accomplished through ingestion of foliage, phloem sap or xylem sap. Hemlock woolly
65 adelgids feed from the xylem ray parenchyma cells which transfer water, nutrients and
66 photosynthate solution, as well contain stored starch reserves within the parenchyma cell walls
67 (Young et al. 1995). Feeding by HWA can cause premature needle drop, dieback of major
68 limbs, and mortality within 4 to 15 years after infestation (McClure 1987). However, several
69 studies of the effects of HWA on foliar chemistry have indicated that infested foliage had greater
70 concentrations of nitrogen than non-infested foliage (Domec et al. 2013, Miller-Pierce et al.
71 2010, Pontius et al. 2006). Since foliar nitrogen is primarily bound to photosynthetic enzymes,
72 those authors hypothesized that HWA infested trees may have greater photosynthetic capacity
73 than non-infested trees. We set out to test those hypotheses through both direct (pigment
74 extraction) and indirect (reflectance) measurements of chlorophyll concentration in infested and
75 non-infested hemlock foliage. In addition, we evaluated photosystem integrity of infested and
76 non-infested hemlock needles through fluorescence data to determine whether infestation
77 affected overall chlorophyll function.

78 Reflectance properties of plants are controlled by pigments, cellular structure, and the
79 amount of moisture filled intercellular air spaces contained within the spongy mesophyll (Gates
80 et al. 1965). Changes in these properties due to HWA feeding would induce spectral shifts that
81 could be compared to “healthy” spectra. In addition, many vegetation indices (VIs) that estimate
82 biophysical parameters from spectral reflectance data have been developed; this project utilized
83 four VIs to characterize possible differences in hemlock foliar health: Red Edge Inflection Point
84 (REIP) (Horler et al. 1983), a measure of chlorophyll content; Normalized Difference
85 Vegetation Index (NDVI) (Rouse et al. 1974), a measure of chlorophyll content and canopy
86 biomass; Near Infrared (NIR) 3/1 Ratio (Bubier et al. 1997), a measure of foliar maturity; and the
87 Moisture Stress Index (MSI) (Rock et al. 1986), a measure of leaf turgor.

88 Reflectance measuring sensors have the ability to detect canopy photosynthetic pigment
89 and moisture statuses at varying spectral, spatial, and temporal resolutions. Identifying sensor
90 traits that would be advantageous in detecting forests of declining health is paramount in remote
91 sensing mission planning. We set out to determine how HWA infestation affected hemlock
92 reflectance properties through changes in chlorophyll concentration and needle turgor, thereby
93 inferring an appropriate spectral resolution for discriminating between HWA infested and non-
94 infested forests. We also aimed to identify when the differences between infested and non-
95 infested foliage were greatest by observing changes over time, thereby inferring the ideal
96 timeframe for data collection and determining whether the duration of the spectral response
97 would influence decisions concerning sensor temporal resolution. Given the potential for the
98 rapid spread of HWA infestations due to its biannual and asexual lifecycle (McClure 1987),
99 applying these observations to future remote sensing mission planning may increase the
100 likelihood of detecting forests infested with HWA prior to major defoliation and or mortality.

101 2. Methods

102 2.1 Defoliation Ratings

103 Single 45 m radius plots were established at each of four locations (Fig. 1) (Table 1):
104 Massabesic Experimental Forest in Alfred, Maine (N43.43927, W70.67931) which has been
105 infested with HWA since 2012; Rachael Carson Wildlife Refuge on Cutts Island, Kittery, Maine
106 (N43.09558, W70.67142) which has been infested with HWA since 2003; Russell-Abbott State
107 Forest in Wilton, New Hampshire (N42.79048, W71.76155) which has been infested with HWA
108 since 2011; and Northwood Meadows State Park in Northwood, New Hampshire (N43.20466,
109 W71.19827) where HWA presence had not yet been detected as of 2015. Plots were established
110 in June 2012; all trees 10 cm diameter at breast height (DBH) and over were measured, and each
111 hemlock was given a unique identification number and rated for defoliation. Defoliation ratings
112 were estimated based on the percent defoliation of the live crown: 1 = < 25% defoliation; 2 = 26
113 - 50% defoliation; 3 = 51 - 75% defoliation; 4 = 76 - 99% defoliation; 5 = 100% defoliation
114 (dead) (Orwig & Foster 1998). Defoliation ratings were determined through consensus by a two-
115 person crew. The same crew was used to rate every tree in this study.

116 2.2 2012 Reflectance and Spectral Indices

117 In 2012 hemlock foliage from plots were sampled bi-weekly from the end of June (post
118 HWA emergence) through the second week of December. Two tagged hemlocks were chosen
119 randomly at each plot; due to the lottery style selection of numbers some hemlocks were sampled
120 more than once over the seven month period. Light-exposed terminal hemlock branches were
121 sampled with pole pruners from the lower, middle, and upper live crown in each cardinal
122 direction. Samples were stored and transported to the lab in coolers with blue ice. Infestation

123 status was determined by thoroughly checking each sample for signs of HWA. Samples were
124 then separated into first year and second year branch segments for spectral analysis. Reflectance
125 measurements were taken within 24 to 48 hours of foliage being cut from the tree. Foliage was
126 frozen for pigment extractions following spectral analysis.

127 First and second year branch segments were separately arranged, in an optically dense
128 layer, in petri dishes spray painted in flat black color. Spectral reflectance was measured using a
129 Visible Infrared Intelligent Spectrometer (VIRIS GER 2600; Geophysical Environmental
130 Research Corporation, Millbrook, NY, USA); a spectralon-coated hemispherical/baffle light
131 source of 30W tungsten and halogen light bulbs was set at a 45 degree angle 50 cm from the
132 sample (Rock et al. 1994). Distance from the optical lens of the spectrometer to the sample was
133 50 cm yielding a field of view of approximately 7 cm². The spectrometer measured reflectance
134 from 400 to 1000 nanometers in approximately 2 nm steps, and from 1000 to 2500 nm in
135 approximately 12 nm steps. Visible light reflectance was measured from 400 to 680 nm, NIR
136 reflectance from 750 to 1400 nm, and middle infrared reflectance (MidIR) from 1400 to 2500 nm
137 (Gates et al. 1965, Gausman 1977). The red edge spectral region was defined as 680 to 750 nm
138 (Horler et al. 1983). Each sample was scanned three times with a 90 degree rotation in between
139 measurements. The three scans were averaged; estimated Landsat Thematic Mapper (TM) band
140 values and VIs were calculated and tabulated using ProVIRIS Software (Shannon Spencer,
141 Copyright 2000).

142 Vegetation indices of immediate interest were the REIP, NDVI, MSI, and NIR 3/1 ratio.
143 The REIP, an estimator of chlorophyll content, was determined as the wavelength at which the
144 first derivative curve between 680 and 750 nm reached its maximum peak (Horler et al. 1983).
145 The NDVI, an estimator of canopy biomass and leaf chlorophyll content, was calculated using

146 estimated Landsat red (B3) and NIR (B4) bands ($B4 - B3/B4 + B3$) (Rouse et al. 1974). The
147 MSI, an estimator of foliar water content, was calculated using estimated Landsat NIR and
148 MidIR (B5) bands ($B5/B4$) (Rock et al. 1986). The NIR 3/1 ratio, an estimator of foliar
149 maturity, was calculated as the slope of the NIR plateau (Bubier et al. 1997). Indices and spectra
150 data from all sites were pooled by infestation status (infested or non-infested) and then averaged
151 for each month; any non-infested samples from trees that were later determined to be infested
152 were excluded. Site to site variation in the 2012 data was not specifically addressed due to the
153 majority (79.7%) of infested samples coming from the Rachael Carson National Wildlife Refuge.
154 Statistical differences between infested and non-infested foliage were tested for both the spectra
155 and the VIs using Wilcoxon's Tests in JMP (JMP Pro Version 10.0. SAS Institute Inc., Cary,
156 NC, 1989-2007). Reflectance difference (infested minus non-infested) and sensitivity
157 (reflectance difference divided by non-infested) curves were used to highlight portions of the
158 hemlock reflectance spectrum most affected by HWA infestation (Carter 1993).

159 ***2.3 2013 Reflectance and Spectral Health Indices***

160 In 2013, only trees at the Massabesic Experimental Forest were sampled. Sampling in
161 2013 was reduced to one site in order to limit differences between sites as a possible
162 confounding factor from 2012 data. In addition, sampling began two weeks prior to HWA
163 emergence allowing us to observe infestation impacts before and after HWA settlement on first
164 year growth. Five non-infested and five HWA infested hemlock of similar DBH (51.5 ± 9.8 cm)
165 were selected. Sunlit terminal branches of each hemlock were sampled over a five week period
166 from 4 June to 1 July using pole pruners or a 12-gauge shotgun with steel shot. Only foliage not
167 impacted by the shot was used. Following transport to the lab foliar fluorescence measurements
168 were taken.

169 After the fluorescence measurements were conducted, reflectance measurements and
170 statistical analysis of first year foliage were performed using the same protocols described for the
171 2012 sampling period. Following reflectance measurements the very top layer of foliage was
172 used for pigment extraction; only the top layer was used in an effort to limit variation in
173 correlating VIs with chlorophyll concentrations. Linear regression was performed in JMP 10.0
174 with total chlorophyll as the independent variable and the REIP and NDVI values as the
175 dependent variables.

176 ***2.4 Chlorophyll Extraction and Fluorescence***

177 Following the 2012 sampling period frozen foliage from June, July and August were used
178 in pigment extractions. For each tree needle year, approximately 0.5 g of needle material was
179 scissors chopped into a petri dish and homogenized. Approximately 0.1 g of the needle mixture
180 was placed into a centrifuge tube with 10 mL of 95% ethyl alcohol (ETOH) and incubated in a
181 60° C water bath for 16 hours (Minocha et al. 2009). Extractions were cooled to room
182 temperature in the dark and transported to a separate lab in a cooler. Samples were centrifuged
183 for five minutes at 5000 revolutions per minute (RPM). Approximately 2 mL of extract were
184 pipetted into 3 mL quartz cuvettes ($n = 5$ for each tube); absorbance was measured using a
185 Genesys 6 (Fisher Scientific) spectrophotometer at 470, 649, and 664 nm. Chlorophylls (*a*, *b*
186 and total) and total carotenoid concentrations were calculated using equations from Lichtenthaler
187 (1987). Pigment extraction protocols for the 2013 samples were slightly different.
188 Approximately 0.05 g of fresh needle material per sample was scissors chopped into each of two
189 centrifuge tubes and frozen immediately following reflectance measurements. Extractions took
190 place in July 2013. Tubes were pulled from the freezer and 5 mL of ETOH was added;
191 approximately 2 mL of extract were pipetted into 3 mL quartz cuvettes ($n = 2$ for each tube).

192 Absorbance measurements and pigment concentration calculations followed the same protocols
193 as the 2012 samples.

194 Prior to fluorescence measurement five clips (per sample) were attached to infestation
195 intensity representative needles for a 20 minute dark adaptation period; fluorescence
196 measurements were taken within 4 hours of branches being removed from the tree. The Handy
197 PEA Fluorimeter (Hansatech Instruments Limited; Norfolk, UK) measured fluorescence induced
198 in a 12.6 mm² area by exposing it to a saturating red actinic light intensity of 1500 $\mu\text{Mol m}^{-2} \text{s}^{-1}$
199 for a duration of 1 second. Fluorimeters such as the Handy PEA measure the polyphasic rise in
200 chlorophyll fluorescence of photosystem II (PSII), providing quantitative and qualitative
201 interpretations of active processes within the photosynthetic apparatus. Fluorescence intensity
202 measurements were used in the multiparametric JIP Test (Strasser et al. 2000, 2004). Statistical
203 differences in parameters were analyzed using Wilcoxon's Tests in JMP 10.0; here we report
204 only on parameters Fv/Fm which is the maximum quantum efficiency of PSII; the Absorbance
205 Performance Index (PIabs) which is a measure of plant vitality that examines the quantity of
206 reaction centers, the maximum energy flux that reaches the reaction centers of PSII, and electron
207 transport; and the Total Performance Index (PI_{tot}) which extends the PIabs parameter to include
208 reductions at the electron acceptor site of PSI (Perboni et al. 2012).

209 ***2.5 Fresh and Dry Weight***

210 After fluorescence and reflectance measurements were taken, and the top foliage reserved
211 for pigment extraction, fresh weight of the sample was measured. Twigs with attached foliage
212 were then dried at 50 degrees Celsius for seven days in a brown paper bag. Dry weight for the
213 sample was then recorded. Differences in foliar moisture content (fresh weight minus dry

214 weight) were analyzed using Wilcoxon's Tests in JMP 10.0. Correlation of foliar moisture
215 content to the MSI was calculated in JMP 10.0.

216 **3. Results**

217 **3.1 Defoliation Ratings**

218 Hemlocks at the Massabesic Experimental Forest had an average defoliation rating of
219 1.58 ± 0.68 ($N = 93$). Of first year foliage sampled from 25 hemlock crowns, 24.6% were
220 infested with HWA (Table 2). Hemlocks at the Rachael Carson Wildlife Reserve had an average
221 defoliation rating of 1.14 ± 0.43 ($N = 63$). Of the first year growth sampled from 23 hemlock
222 crowns, 96.9% were infested with HWA (Table 2). At the Russell-Abbott State Forest the
223 average hemlock defoliation rating was 1.33 ± 0.94 ($N = 82$). Of the first year growth sampled
224 from 24 trees, 4.5% were infested with HWA (Table 2). At Northwood Meadows State Park
225 HWA all samples from 23 trees were not infested with HWA (Table 2). The average hemlock
226 defoliation rating was 1.21 ± 0.73 ($N = 210$).

227 **3.2 2012 Reflectance and Spectral Health Indices**

228 Reflectance values of first year infested foliage were consistently lower than non-infested
229 foliage in the visible (400 – 680 nm) and red edge (680-750 nm) portions of the spectrum during
230 the seven month sampling period. Differences in visible light reflectance were greatest near the
231 green peak (~ 550 nm). Infested foliage green peak values ranged from 0.1 to 2.5% lower than
232 non-infested foliage; the greatest differences occurred in June (2.6%) and July (2.0%) post HWA
233 settlement (Fig. 2A, 2C). Infested foliage red edge (680-750 nm) reflectance values ranged from
234 0.8 to 4.6% lower than non-infested foliage, indicating a shift of the red edge to longer
235 wavelengths. The greatest differences in the red edge region also occurred in June (4.5%) and

236 July (3.5%) (Fig. 2A, 2C). For the remaining months green peak differences were less than 1.3%
237 and red edge differences were less than 2.4%.

238 Statistical differences between current year infested and non-infested foliage in the
239 visible and red edge regions were most notable in June and July (Fig. 3A, 3C). In June, 28 bands
240 from 400 to 494 nm, 32 bands from 500 to 547 nm, and 21 bands from 648 to 679 nm comprised
241 the 81 total bands across the visible portion of the spectrum that were significantly different ($P <$
242 0.05) (Table 3). The most significant ($P < 0.01$) bands in the visible region were at 493 and 502
243 nm. In the red edge region 37 bands were found to be significantly different ($P < 0.05$) (Table
244 3). The most significant ($P < 0.01$) bands were from 721 to 729 nm; six consecutive bands that
245 were each approximately 2 nm wide. In July, 50 bands from 500 to 596 nm and 7 bands from
246 604 – 635 nm comprised the 57 total bands across the visible portion of the spectrum that were
247 significantly different ($P < 0.05$) (Table 3). The most significant ($P < 0.01$) bands in the visible
248 region were at 520, 525, and 543 nm. Only one band in the red edge at 705 was found to be
249 significantly different ($P = 0.04$).

250 Differences in NIR reflectance (750 – 1400 nm) ranged from 3.0% lower than non-
251 infested foliage in June (Fig. 2A) to 2.0% higher than non-infested foliage in September, October
252 and November (Fig. 2G, 2I, 2K). No statistical differences between infested and non-infested
253 foliage in the NIR region were observed (Table 3) (Fig. 3). Differences in MidIR reflectance
254 (1400 - 2400 nm) values ranged from 1.8% lower than non-infested foliage in October (Fig. 2G)
255 to 0.1% higher than non-infested foliage in December (Fig. 2K). Statistical differences ($P <$
256 0.05) between infested and non-infested foliage in the MidIR region occurred in July (2049,
257 2293, 2305, and 2364 nm), August (2434 nm), October (2305 nm), November (2281 nm), and
258 December (2329 and 2446 nm) (Table 3) (Fig. 3C, 3E, 3I, 3K, 3M).

259 Sensitivity to infestation (reflectance difference divided by non-infested reflectance; Fig.
260 2) of first year foliage was greatest in both the visible and red edge spectral regions for all
261 months observed except for October (Fig. 2J) when the MidIR reflectance region had the greatest
262 sensitivity. The greatest sensitivity to infestation for first year growth was observed in late June
263 and July. In the visible light reflectance region, sensitivity in June and July was greatest from
264 approximately 500 to 650 nm (Fig. 2B, 2D). In the red edge region, sensitivity was greatest at
265 approximately 700 nm (Fig. 2B, 2D).

266 Second year foliage reflectance difference values were inconsistent compared to current
267 year reflectance differences. Differences in visible light reflectance were greatest near the green
268 peak; values ranged from 0.4% lower than non-infested foliage in June (Fig. 4A) to 0.3% higher
269 in October (Fig. 4I). Red edge spectral region values ranged from 2.2% lower than non-infested
270 foliage in September to 2.7% higher in July (Fig. 4A, 4C). Differences in NIR reflectance
271 ranged from 2.6% lower than non-infested foliage in September to 3.1% higher in July (Fig. 4C,
272 4G). Middle infrared reflectance values of infested foliage were consistently lower than non-
273 infested foliage from June through November (Fig. 4A, 4C, 4E, 4G, 4I, 4K) and difference
274 values ranged from 2.3% lower in September (Fig. 4G) to 1.7% higher in December (Fig. 4M).

275 Statistical differences between second year infested and non-infested foliage were
276 greatest in the visible spectral region in July (Fig. 3D). In July, 13 bands from 400 to 494 nm
277 and 5 consecutive bands from 503 to 515 nm comprised the 18 total bands across the visible
278 portion of the spectrum that were statistically significant ($P < 0.05$) (Table 3). The only band in
279 the red edge region that was significantly different was at 687 in August ($P = 0.03$) (Fig. 3F). In
280 the NIR region three consecutive significantly different bands were observed in November
281 (1369, 1383, and 1397) (Fig. 3L); two of those bands were also significantly different in

282 December (1383 and 1397) ($P < 0.05$) (Fig 3N). More notable was the number of significantly
283 different bands in the MidIR spectral region in November (26 bands from 1411 to 2305 nm))
284 (Fig. 3L) and December (37 bands from 1411 to 2411nm) (Fig 3N) ($P < 0.05$) (Table 3).

285 Sensitivity to infestation of second year foliage in the visible and red edge spectral
286 regions varied month to month and was inconsistent compared to first year foliage. Sensitivity
287 near the green peak was greatest in June, August, September and November (Fig. 4B, 4F, 4H,
288 4L). Sensitivity in the red edge region was greatest in June and December at approximately 700
289 nm (Fig. 4B, 4N). Sensitivity in the MidIR was greater than sensitivity in the visible, red edge
290 and NIR spectral regions for all months sampled except for August (Fig. 4B, 4D, 4F, 4H, 4J, 4L,
291 4N).

292 Mean REIPs of first year infested foliage were consistently higher than non-infested
293 foliage over the sampling period (Fig. 5A). Mean REIPs of first year foliage were statistically
294 greater only in December ($P = 0.04$) (Table 4). Second year growth mean REIPs for infested
295 foliage were not statistically different from non-infested foliage (Fig. 5B). Like the REIP, mean
296 NDVI values for first year infested foliage were generally higher than non-infested foliage (Fig.
297 5C), but were never statistically different. No significant differences in mean NDVI values for
298 second year infested foliage were observed (Table 4).

299 Both first year and second year infested foliage generally had lower mean MSI values
300 than non-infested foliage (Fig. 5E, 5F). First year foliage was found to have statistically lower
301 mean MSI values in October ($P = 0.01$) and November ($P = 0.02$) (Table 4). Second year
302 infested foliage had statistically lower mean MSI values in July ($P = 0.03$), October ($P = 0.03$),
303 and November ($P = 0.03$) (Table 4).

304 Infested first year and second year foliage exhibited both higher and lower mean NIR 3/1
305 ratio values compared to non-infested foliage (Fig. 5G, 5H). First year foliage had statistically
306 lower NIR 3/1 values in October ($P = 0.01$) and November ($P = 0.02$) (Table 2). Mean June NIR
307 3/1 ratio values for second year foliage were not statistically different (Fig. 5H). Mean NIR 3/1
308 ratio values for second year foliage were statistically lower than non-infested foliage the months
309 of August ($P = 0.03$), October ($P = 0.01$), and November ($P = 0.02$) (Table 2).

310 **3.3 2013 Reflectance and Spectral Health Indices**

311 Hemlock woolly adelgids began to emerge at Massabesic Experimental Forest the week
312 of 10 June, and by 17 June HWA crawlers were present on first year growth of infested trees.
313 Improper sampling the week of 24 June resulted in only three samples of infested first year
314 growth. Infested foliage had consistently lower visible and red edge reflectance values than non-
315 infested foliage; the greatest differences occurred the week of 1 July (Fig. 6I) two weeks after
316 HWA settlement. Differences in green peak reflectance values ranged from 2.9% lower than
317 non-infested foliage on 1 July (Fig. 6I) to 1.0% higher on 17 June (Fig. 6E). Differences in red
318 edge region reflectance values ranged from 3.8% lower than non-infested foliage on 1 July (Fig.
319 6I) to 0.2% higher on 24 June (Fig. 6G). Differences in NIR reflectance values ranged from
320 3.0% lower than non-infested foliage on 10 June (Fig. 6C) to 12.1% higher on 24 June (Fig. 6G).
321 Differences in MidIR reflectance values ranged from 2.5% lower than non-infested foliage on 10
322 June (Fig. 6C) to 2.5% higher on 4 June (Fig. 6A).

323 Statistical differences between infested and non-infested foliage were greatest the week
324 of 1 July (Table 5). In the visible portion of the spectrum 37 bands from 504 to 598 nm and 7
325 bands from 604 to 637 nm comprised the 44 total bands that were significantly different ($P <$

326 0.05) (Table 5) (Fig. 7E). In the red edge region, 12 significantly different bands were observed
327 from 691 – 714 nm ($P < 0.05$). Four bands in the MidIR region (2305, 2364, 2388, and 2481)
328 were also significantly different ($P < 0.05$) (Table 5) (Fig. 7E). Significant differences were also
329 observed the week of 17 June in the NIR spectral region, in all twenty-eight bands from 961 to
330 1311 nm were significantly greater than non-infested foliage ($P < 0.05$) (Table 5) (Fig. 7C).

331 Sensitivity to infestation was greatest in both the visible and red edge spectral regions the
332 week of 1 July, approximately two weeks after HWA settlement on new hemlock growth.
333 Sensitivity in the visible portion of the spectrum was greatest from 515 to 637 nm (Fig. 6J), and
334 in the red edge region sensitivity peaked at approximately 700 nm. In the NIR and MidIR
335 spectral regions sensitivity was greatest the week of 24 June.

336 Infested foliage generally had higher mean REIP and NDVI values over the five week
337 sampling period (Fig. 8A, 8B). Statistically greater mean REIP values were observed on 1 July
338 ($P = 0.01$) (Table 6). Statistically greater mean NDVI values were observed on 4 June ($P = 0.02$)
339 and 1 July ($P = 0.02$) (Table 6). Moisture Stress Index (MSI) and NIR 3/1 values were not
340 statistically different between infested and non-infested foliage (Table 6) (Fig. 8C, 8D).

341 **3.4 Chlorophyll Extraction and Fluorescence**

342 First year growth of infested trees in 2012 generally had higher mean concentrations of
343 all measured pigments than non-infested trees, but significantly greater concentrations were not
344 observed (Table 7). Photosynthetic pigment values in second year growth also did not differ
345 between infested and non-infested foliage (Table 7).

346 First year growth of infested trees in 2013 from the Massabesic Experimental Forest
347 generally had higher mean concentrations of all measured pigments than non-infested trees,

348 although not always statistically greater (Fig. 9). Statistically greater concentrations of
349 chlorophyll *a* were observed on 4 June ($P = 0.04$) and 1 July ($P = 0.02$), and chlorophyll *b* on 17
350 June ($P = 0.05$) (Table 8). Statistically greater concentrations of total carotenoids was observed
351 on 4 June ($P = 0.01$) and 24 June ($P = 0.04$) (Table 8). Total chlorophyll was not statistically
352 different between infested and non-infested foliage. Total chlorophyll concentration had a
353 positive linear relationship to the REIP ($r^2 = 0.81$, $df = 1, 48$, $F = 204.95$, $P < .0001$); the natural
354 log of total chlorophyll was positively related to the NDVI ($r^2 = 0.89$, $df = 1, 48$, $F = 469.25$, $P <$
355 $.0001$).

356 Infested hemlock foliage showed no statistical differences in Fv/Fm compared with non-
357 infested foliage over the five week sampling period (Fig. 9C) (Table 9). Statistically greater
358 PIabs for infested foliage was observed on 4 June ($P = 0.05$), 24 June ($P = 0.01$) and 1 July ($P =$
359 0.0002) (Fig. 10B) (Table 9). Infested foliage also had statistically greater PI_{tot} the weeks of 4
360 June ($P = 0.02$), 10 June ($P = 0.006$), 24 June ($P = 0.004$) and 1 July ($P < 0.0001$) (Fig. 10A)
361 (Table 9).

362 **3.5 Fresh and Dry Weight**

363 Moisture content (fresh weight minus dry weight) of infested foliage did not differ
364 statistically from non-infested foliage (Fig. 11A). The MSI was found to be negatively
365 correlated with needle moisture content values ($r = -0.43$, $P = 0.002$) (Fig. 11B).

366 **4. Discussion**

367 Although past studies using Landsat TM data, VIs, and transformations to map high-
368 severity HWA-induced defoliation have been successful (Bonneau et al. 1999, Royle & Lathrop
369 2002), currently, those approaches may not be suitable for these study areas. Among the four

370 sites mean defoliation was less than 26% regardless of HWA presence. The Rachael Carson
371 Wildlife Refuge was the oldest reported infestation and the most heavily infested; however, it
372 was also the least defoliated based on field ratings (1.14 ± 0.43). The Massabesic Experimental
373 Forest plot, although being newly infested, had the highest defoliation average of $1.58 (\pm 0.68)$.
374 To conclude that no relationship between infestation intensity and defoliation existed based on
375 four sites would be presumptuous; however, hemlock defoliation and mortality as seen in the
376 Mid-Atlantic and southern New England states had not yet occurred at these site locations.

377 The apparent lack of defoliation and mortality that is commonly associated with HWA
378 infestation may be partially explained by site geographic location. Orwig et al. (2012) found that
379 the severity of damage caused by HWA was negatively correlated with latitude; however,
380 damage could be exacerbated by drought and site exposure conditions. The latitude damage
381 gradient is in part due to cold winter temperatures which causes HWA mortality, upwards of
382 90% locally (Trotter and Shields 2009), thereby retarding HWA population growth in newly
383 infested stands and extending the timeframe to significant defoliation and mortality. These semi-
384 annual reductions in HWA populations in concert with site characteristics favorable to hemlock
385 also induce year to year cycles of hemlock recovery and decline in chronically infested stands
386 (Mayer et al. 2002). Regardless of annual fluctuations in winter temperatures positively or
387 negatively influencing HWA populations and cycles of hemlock decline and recovery,
388 significant defoliation in this region had been limited as of 2014 and therefore changes in
389 biomass values alone may not be adequate in detecting early infestation symptoms.

390 Spectral difference and sensitivity curves indicated that late June and early July (post
391 HWA emergence) may be the optimal time of year to discriminate between HWA infested and
392 non-infested forests with reflectance measuring sensors. Reflectance difference and sensitivity

393 curves of first year foliage from both 2012 and 2013 indicated that the visible and red edge
394 spectral region reflectance values of infested foliage were consistently lower than non-infested
395 foliage during that time period, and that those spectral regions would be the most sensitive in
396 detecting infestation. Statistically lower reflectance values were observed across multiple bands
397 in the visible and red edge spectral regions. In June and July of 2012 a combined 118 different
398 bands from 400 – 680 nm (~2 nm bandwidths), and 37 different bands from 680 – 736 nm along
399 the red edge (~2 nm bandwidths), were found to be significantly different between infested and
400 non-infested foliage. A similar pattern was observed the week of 1 July in 2013 when 44 bands
401 in the visible and 12 bands in the red edge spectral regions were significantly different.
402 Additionally, second year infested foliage in July of 2012 had significantly lower reflectance
403 values at 18 different bands from 400 – 515 nm. These observations agree with numerous other
404 studies that have shown that the visible and red edge regions of the reflectance spectrum are the
405 most affected by and sensitive to plant stress due to changes in foliar pigment concentrations
406 (Carter 1993, Carter & Knapp 2001, Gitelson et al. 1996, Rock et al.1988). However,
407 considering that forest canopy remote sensing data typically consists of mixed pixels containing
408 multiple trees (and tree species), layers of foliage, foliage growth years and woody material, the
409 significance of the spectral differences observed in the data may be subdued at moderate and low
410 spatial resolutions.

411 The patterns observed in the current year foliage reflectance data correspond well with
412 the sisten crawler and aestivation (summer dormancy) phases of the HWA lifecycle. Hemlock
413 woolly adelgids have two generations per year, the progrediens which hatch in March and the
414 sistens which hatch in June (for a detailed study of the HWA lifecycle see McClure and Cheah
415 1999). Our data cover the majority of the sisten generation. The greatest observed differences in

416 reflectance occurred in late June and July in the weeks following the emergence of sisten HWA
417 crawlers and their settlement at the base of new growth. During that time significantly lower
418 visible spectral reflectance, shifts of the red edge to longer wavelengths, and lower MidIR
419 reflectance were observed. In August, when the HWA nymph is reported to begin aestivation
420 and feeding pauses until October, differences in the visible and red edge regions reduced
421 substantially before rebounding in November and December when adelgid feeding and growth
422 resumed. It is not clear whether the physiological and spectral responses were driven by HWA
423 influencing source-sink dynamics within the tree (Gómez et al. 2012) or were induced by
424 enzymes released by the HWA (Oten et al. 2014).

425 The aestivation period was also characterized by greater NIR reflectance from infested
426 foliage August through November. Leaf reflection in the NIR spectral region is due to light
427 refraction at cell wall and intercellular air space interfaces (Gates et al. 1965); generally, higher
428 NIR reflectance is associated with healthier vegetation and older conifer needle years (Rock et
429 al. 1994). The reasons why NIR reflectance of current year infested foliage jumped from being
430 approximately 3% lower than non-infested foliage in July, to approximately 2% higher than non-
431 infested foliage in November, and finally no different from non-infested foliage in December,
432 remain unclear without leaf anatomical evaluation. However, it is possible that the lapse in
433 HWA feeding resulted in a period of rapid growth and expansion of intercellular airspaces,
434 thereby increasing NIR reflectance.

435 Patterns in the second year foliage reflectance data did not correspond as well with the
436 HWA lifecycle. The reason for this was unknown, although chronic infestation and its effects on
437 foliar metabolism may explain the month to month variability in reflectance values. Significant
438 differences in reflectance in the visible, red edge and MidIR portions of the spectrum were

439 observed before and after aestivation. Before aestivation, significant differences in the visible
440 and red edge spectral regions occurred in July and August; perhaps a delayed response to sisten
441 HWA feeding on the newer foliage. After aestivation, significant differences were observed in
442 the MidIR spectral region in November and December, signaling changes in foliar moisture
443 content.

444 The data indicated that although the REIP may be highly correlated to needle chlorophyll
445 content, use of the REIP alone in detecting HWA infestations may not be suitable because
446 differences in chlorophyll content between newly infested and non-infested trees may not be
447 significant or consistent, because of differences in recovery and decline cycles at chronically
448 infested sites, and because other wavelengths along the red edge may be more sensitive. For
449 example, in June 37 consecutive bands along the red edge from 680 to 736 nm were significantly
450 different between infested and non-infested foliage including the wavelength at which their
451 respective REIPs occurred (718 nm); however, there were no significant differences in
452 chlorophyll content or REIP. This is because a significant difference in percent reflectance at the
453 wavelength at which the REIP occurs does not translate into significantly different REIPs; the
454 two measurements are mutually exclusive. In addition there were six bands from 721 – 729 nm
455 where the greatest statistical differences in the red edge occurred, that likely would have been
456 more important than the REIP in detecting infested hemlock. Therefore, in choosing the
457 appropriate sensor for detecting HWA infestations, a sensor with wider (> 2 nm) bands along the
458 red edge may be just as useful as a sensor with very narrow (≤ 2 nm) bands from which the REIP
459 can be determined.

460 Needles of infested hemlock exhibited reduced spectral maturity over time compared
461 with non-infested hemlock. The mean NIR 3/1 ratio values of infested first year needles were

462 initially greater than non-infested needles in June 2012. However, first year needles had
463 statistically lower mean NIR 3/1 ratio values in October and November, and second year needles
464 had statistically lower mean NIR 3/1 ratio values in August, October and November. The 2013
465 NIR 3/1 ratio values exhibited a similar short term pattern. Mean NIR 3/1 ratio values were
466 greater in the first sampling week but lower in the remaining four sampling weeks, although
467 never statistically significant. These patterns indicated that infested hemlock needles may have
468 had a more difficult time reaching the same cellular and structural maturity of non-infested
469 hemlock needles; however, anatomical data would be needed to reach definitive conclusions. In
470 addition, a possible confounding link between the NIR 3/1 ratio and leaf turgidity cannot be
471 ignored as the patterns in the MSI and NIR 3/1 indices were similar (Hunt and Rock 1989).

472 Hemlock foliage impacted by HWA generally had higher moisture content (i.e. lower
473 MSI values) than non-infested foliage over the seven month period. Significantly lower MSI
474 values were observed in October and November for both current year and second year foliage
475 which corresponds with HWA breaking aestivation. However, only a moderate correlation ($r = -$
476 0.43) of the MSI to needle moisture content was observed. This indicated that although the MSI
477 may have had some usefulness in discriminating between infested and non-infested hemlock
478 foliage, it was not a highly accurate gauge of needle turgidity. Regarding the remote sensing of
479 hemlock canopies, the supposed increase in turgidity and differences in MSI values that were
480 observed between infested foliage and non-infested foliage may not extend to sub-mesic sites
481 where water availability is low or to chronically infested sites during decline cycles.

482 Hemlock woolly adelgid infestation undoubtedly negatively affects hemlock health in the
483 long term; however, our data suggest a possible compensatory increase in photosynthetic
484 machinery and function in new growth during the early stages of infestation. This increase was

485 observed through both direct and indirect chlorophyll measurements. Increased chlorophyll
486 content was directly measured through pigment extraction and determination of concentration
487 through well-established methods (see Lichtenthaler 1987, Minocha et al. 2009). Indirect
488 measurements of increased chlorophyll concentration and function were accomplished through
489 VIs (REIP and NDVI) and fluorescence measurements. Both pigment extraction and reflectance
490 measurements of infested foliage had consistently higher mean chlorophyll concentrations, some
491 of which means were significantly greater than non-infested foliage. In addition, fluorescence
492 measurements indicated that the chlorophyll in infested foliage was functioning properly
493 (F_v/F_m), and that infested foliage was absorbing more photon energy (PI_{abs}) than non-infested
494 foliage due to increased chlorophyll concentrations, resulting in greater overall photosynthetic
495 capability (PI_{tot}) in terms of machinery.

496 The increase in photosystem capability in HWA infested hemlock, as indicated through
497 the pigment extraction, reflectance and fluorescence data, may be the result of several factors.
498 First, Gómez et al. (2012) documented that after the first year of HWA infestation total amino
499 acid concentration in new un-infested foliage was 330% greater than in the control treatment.
500 Whether the increase in free amino acids was either a manipulation of the host species by HWA
501 or a systemic compensatory response whereby N was reallocated from stressed to non-stressed
502 foliage was unknown; regardless, our data suggests that an increase in N may have resulted in
503 greater concentrations of chlorophyll. Second, water stress in plants has been shown to increase
504 foliar N availability and decrease photosynthetic capacity. Gonda-King et al. (2014) reported
505 that HWA infested hemlock seedlings (< 3ys age) had greater negative water potential (i.e. were
506 water stressed) resulting in greater total N content, decreased stomatal conductance, and
507 decreased net photosynthesis in a controlled experiment. Our MSI data from mature hemlocks

508 indicated that infested foliage was less water stressed than non-infested foliage and that water
509 was likely not a limiting factor for photosynthesis. Although it was possible that the
510 combination of greater availability of nitrogen and water produced a temporary increase in
511 photosystem machinery and function in the new flush of foliage, stomatal conductance was not
512 measured and therefore we cannot state with certainty that the increased photosynthetic
513 machinery resulted in greater photosynthetic rates (Rubino et al. 2015).

514 **5. Conclusion**

515 Sensor selection and timing of remote sensing data collection are important aspects of
516 project planning. To discriminate between incipient HWA infested and non-infested hemlock
517 stands aerial spectrometers measuring reflectance in the visible and red edge spectral regions
518 over narrow bandwidths (~2 nm) would be best; however, aerial and satellite multispectral
519 sensors with wider bandwidths may be sufficient. Perhaps more importantly, our data suggest
520 that projects involving on-demand aerial data collection should time collection efforts in the
521 weeks immediately following sisten adelgid emergence in June; projects that involve gathering
522 of previously acquired satellite data should focus on late June or July. Acquiring data outside of
523 this window may reduce the likelihood of successful discrimination between infested and non-
524 infested hemlock stands.

525 These recommendations are based on the reflectance data which showed that in late June
526 and early July infested foliage were most significantly different from non-infested foliage in the
527 visible and red edge spectral regions. These peaks in spectral differences constitute peaks in
528 sensitivity, the ability of the sensor to distinguish between infested and non-infested hemlock
529 forests. In all 118 different wavelengths in the visible spectral region and 37 different

530 wavelengths along the red edge were observed to be significantly different. The high number of
531 significantly different wavelengths indicated that sensors measuring in either narrow
532 (hyperspectral) or wide band widths (i.e. aerial and satellite multispectral data) in the visible and
533 red edge wavelengths may have the ability to detect early infestations. Our data also suggest that
534 wavelengths or indices that correlate with leaf turgidity and chlorophyll content may be useful in
535 discriminating between HWA infested and non-infested forests. Infested foliage was found to
536 have contained greater concentrations of both chlorophyll and moisture, although the differences
537 were not always statistically significant. Furthermore, our data support the view of a
538 compensatory response to initial infestation in current year foliage, although the mechanism
539 triggering this response was unclear.

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Tables:**Table 1. Forest structure and percent basal area occupied by hemlock for each of the four study properties.**

Site	Total Basal Area (m ² /ha)	Hemlock Basal Area (%)	Plot Density (stems/m ²)
Massabesic Exp. Forest	10 897.0	23.2	0.015
Northwood Meadows S.P.	16 297.0	46.2	0.033
Rachael Carson N.W.R.	5 552.2	18.3	0.010
Russell-Abbott State Forest	7 158.9	19.0	0.013

Table 2. A summary table of the number of infested and non-infested samples by site.

SITE	TREES SAMPLED	INFESTED	NON-INFESTED
Massabesic Exp. Forest	25	8	17
Northwood Meadows S.P.	23	23	0
Rachael Carson N.W.R.	24	3	21
Russell-Abbott State Forest	23	0	23

Table 3. A summary table of the number of significantly different narrow bands, within each spectral region, by sample month and growth year from the 2012 sampling period.

MONTH	GROWTH YEAR	VISIBLE 400-680 nm	RED EDGE 680-750 nm	NIR 750-1400 nm	MidIR 1400-2500 nm	TOTAL
JUNE	1	81	37	0	0	118
	2	0	0	0	0	0
JULY	1	57	1	0	4	62
	2	18	0	0	0	18
AUGUST	1	24	8	0	1	33
	2	9	1	0	0	10
SEPTEMBER	1	0	0	0	0	0
	2	1	0	0	1	2
OCTOBER	1	4	0	0	1	5
	2	1	0	0	0	1
NOVEMBER	1	27	0	0	1	28
	2	0	0	3	26	29
DECEMBER	1	0	0	0	2	2
	2	3	0	2	37	42

Table 4. Z-scores and corresponding P-values of Wilcoxon's Tests.

MONTH	GROWTH YEAR	REIP		NDVI		MSI		NIR 3/1	
		Z	Prob> Z	Z	Prob> Z	Z	Prob> Z	Z	Prob> Z
June	1	0.44771	0.6544	1.27775	0.2013	1.02220	0.3067	1.34312	0.1792
	2	-0.69499	0.4871	0.86796	0.3854	0.75290	0.4515	-0.28932	0.7723
July	1	0.19636	0.8443	0.84939	0.3957	-0.45736	0.6474	0.45695	0.6477
	2	-0.13067	0.8960	0.97919	0.3275	-2.15614	0.0311*	-0.84863	0.3961
August	1	1.62938	0.1032	1.52075	0.1283	-1.03042	0.3028	-1.46428	0.1431
	2	-1.03270	0.3017	-0.16270	0.8708	-1.19400	0.2325	-2.22354	0.0262*
September	1	1.85073	0.0642	1.19488	0.2321	-1.24735	0.2123	-1.46428	0.1431
	2	-0.16366	0.8700	-1.57856	0.1144	-0.86836	0.3852	-0.65127	0.5149
October	1	1.03985	0.2984	0.93741	0.3485	-2.46432	0.0137*	-2.51620	0.0119*
	2	0.14871	0.8818	-0.29587	0.7673	-2.16860	0.0301*	-2.56422	0.0103*
November	1	0.91472	0.3603	1.37578	0.1689	-2.41749	0.0156*	-2.41749	0.0156*
	2	-0.91884	0.3582	-1.24141	0.2145	-2.15421	0.0312*	-2.41533	0.0157*
December	1	2.09080	0.0365*	0.00000	1.0000	0.00000	1.0000	0.12247	0.9025
	2	0.61237	0.5403	0.00000	1.0000	0.36742	0.7133	0.12247	0.9025

* Denotes statistically significant at $\alpha = 0.05$

Table 5. A summary table of the number of significantly different narrow bands, within each spectral region, by sample month and growth year from the 2013 sampling period.

WEEK	VISIBLE 400-680 nm	RED EDGE 680-750 nm	NIR 750-1400 nm	MidIR 1400-2500 nm	TOTAL
4 June	0	0	3	3	6
10 June	3	0	0	2	5
17 June	1	0	28	1	30
24 June	0	0	0	0	0
1 July	44	12	0	4	60

Table 6. Statistical test and associated P-values for the 2013 spectral health indices data.

DATE	REIP		NDVI		MSI		NIR 3/1	
	Z	Prob> Z	Z	Prob> Z	Z	Prob> Z	Z	Prob> Z
04/16/2013	0.67316	0.5008	2.29783	0.0216*	-0.83557	0.4034	-0.00000	1.0000
10/06/2013	1.18159	0.2374	0.62668	0.5309	-1.04447	0.2963	-0.21017	0.8335
17/06/2013	1.58114	0.1138	1.47120	0.1412	-0.83557	0.4034	-0.52382	0.6004
24/06/2013	0.40988	0.6819	1.35554	0.1752	-0.96825	0.3329	-0.58621	0.5577
01/07/2013	2.44718	0.0144*	2.30482	0.0212*	-1.36194	0.1732	-0.62668	0.5309

* Denotes statistically significant at $\alpha = 0.05$

Table 7. Results of Wilcoxon's Test on pigment concentration in infested vs. non-infested hemlock foliage.

MONTH	GROWTH YEAR	CHL a		CHL b		CHLtot		CAR	
		Z	Prob> Z	Z	Prob> Z	Z	Prob> Z	Z	Prob> Z
June	1	0.894427	0.3711	0.638877	0.5229	0.894427	0.3711	-0.127775	0.8983
	2	-0.520774	0.6025	-0.636501	0.5244	-0.520774	0.6025	-0.636501	0.5244
July	1	0.979187	0.3275	0.326396	0.7441	0.979187	0.3275	0.718070	0.4727
	2	-0.326396	0.7441	-0.065279	0.9480	-0.065279	0.9480	-0.326396	0.7441
August	1	-1.620185	0.1052	-1.620185	0.1052	-1.620185	0.1052	-1.620185	0.1052
	2	-0.694365	0.4875	0.000000	1.0000	-0.694365	0.4875	0.694365	0.4875

* Denotes statistically significant at $\alpha = 0.05$

Table 8. Results of Wilcoxon's Test on the 2013 extraction data.

DATE	CHLa		CHL b		CHLtot		CARtot	
	Z	Prob> Z	Z	Prob> Z	Z	Prob> Z	Z	Prob> Z
04/06/2013	2.078805	0.0376*	1.322876	0.1859	1.927619	0.0539	2.759141	0.0058*
10/06/2013	-0.037796	0.9698	0.264575	0.7913	0.037796	0.9698	0.264575	0.7913
17/06/2013	1.700840	0.0890	2.003212	0.0452*	1.776433	0.0757	-0.113389	0.9097
24/06/2013	1.626346	0.1039	0.000000	1.0000	1.060660	0.2888	2.050610	0.0403*
01/07/2013	2.305583	0.0211*	0.000000	1.0000	1.776433	0.0757	-0.642540	0.5205

* Denotes statistically significant at $\alpha = 0.05$

Table 9. Results of Wilcoxon's Test on fluorescence parameters.

DATE	Fv/Fm		PIabs		PItot	
	Z	Prob> Z	Z	Prob> Z	Z	Prob> Z
04/06/2013	0.908251	0.3637	1.960676	0.0499*	2.373321	0.0176*
10/06/2013	0.430132	0.6671	-1.220062	0.2224	-2.750000	0.0060*
17/06/2013	-0.407646	0.6835	0.523877	0.6004	1.338797	0.1806
24/06/2013	0.635869	0.5249	2.569209	0.0102*	2.915619	0.0035*
01/07/2013	-0.400880	0.6885	-3.790000	0.0002*	-4.990000	<.0001*

* Denotes statistically significant at $\alpha = 0.05$

Figure Captions:

Fig. 1. A map of the region of indicating the locations of the study plots.

Fig. 2. Reflectance difference as measured in percent (left column), and sensitivity curves (right column), of first year foliage from June through December of 2012. Negative difference (%) values indicated wavelengths at which infested foliage exhibited lesser reflectance than non-infested foliage. Sensitivity curves highlight the reflectance wavelengths at which a response to HWA infestation may be detected. Vertical lines at 680 nm, 750 nm, and 1400 nm denote the visible, red edge, NIR and MidIR spectral regions.

Fig. 3. Graphs indicating the spectral bands that were statistically significant when comparing infested and non-infested foliage from the 2012 sampling period. The graphs are arranged by month in rows, and by growth year in columns. Statistical significance is denoted by black markers below the 0.05 significance level line. Only p-values below 0.20 are shown. Vertical lines at 680 nm, 750 nm, and 1400 nm denote the visible, red edge, NIR and MidIR spectral regions.

Fig. 4. Reflectance difference as measured in percent (left column), and sensitivity curves (right column), of second year foliage from June through December of 2012. Vertical lines at 680 nm, 750 nm, and 1400 nm denote the visible, red edge, NIR and MidIR spectral regions.

Fig. 5. Outlier box plots of spectral health indices data for first year (left column) and second year (right column) growth by month in 2012 for infested and non-infested foliage. Asterisks indicate statistically significant differences ($\alpha = 0.05$) between infested and non-infested foliage. Sample size values for each month are noted in Figures 2 and 4.

Fig. 6. First year foliage reflectance difference as measured in percent (left column), and sensitivity curves (right column), from the 2013 Massabesic Experimental Forest data collection.

Vertical lines at 680 nm, 750 nm, and 1400 nm denote the visible, red edge, NIR and MidIR spectral regions.

Fig. 7. Graphs indicating the spectral bands that were statistically significant when comparing infested and non-infested foliage from the 2013 sampling period. Statistical significance is denoted by black markers below the 0.05 significance level line. Only p-values below 0.20 are shown. Vertical lines at 680 nm, 750 nm, and 1400 nm denote the visible, red edge, NIR and MidIR spectral regions.

Fig. 8. Outlier box plots of spectral health indices data from the 2013 Massabesic Experimental Forest first year foliage. Asterisks indicate statistically significant ($\alpha = 0.05$) differences between infested and non-infested foliage. Sample size values for each week are noted in Figure 6.

Fig. 9. Chlorophyll data from the 2013 Massabesic Experimental Forest data collection comparing non-infested (dark grey) and HWA infested (light grey) hemlock foliage for first year growth. Asterisks indicate statistical differences ($\alpha = 0.05$) between infested and non-infested foliage for that week. For each week infested and non-infested groups $n = 5$, except for 24 June infested foliage when $n = 3$.

Fig. 10. Fluorescence parameter data indicating that infested foliage absorbed greater photon energy and had a greater photosynthetic performance index value. Asterisks indicate statistically significant ($\alpha = 0.05$) differences between infested and non-infested foliage.

Fig. 11A. Outlier box plots of weekly foliar moisture content values from the 2013 Massabesic Experimental Forest data collection. **11B.** Correlation of the MSI to foliar moisture content values, indicating that as foliar moisture content decreased MSI values increased.

Fig. 1

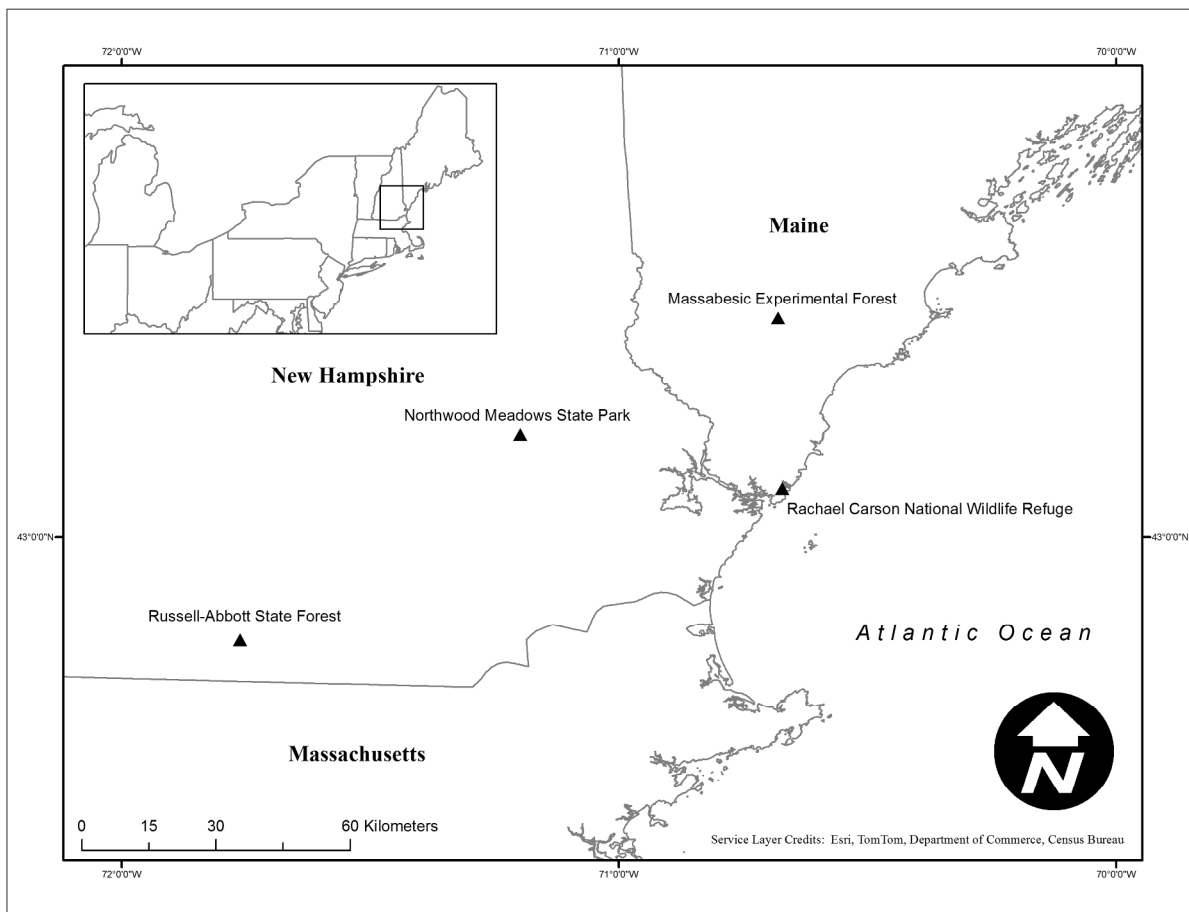


Fig. 2

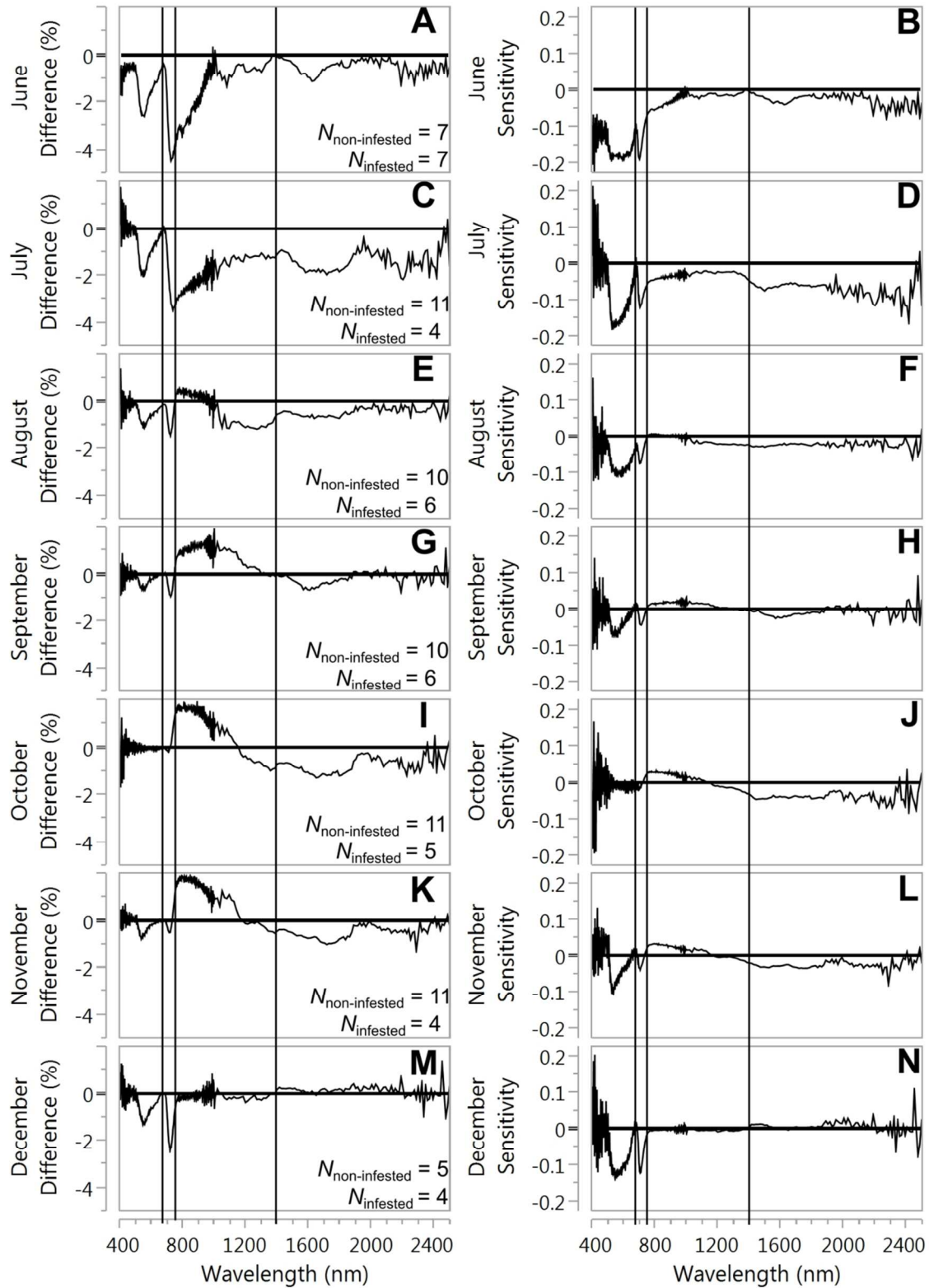


Fig. 3

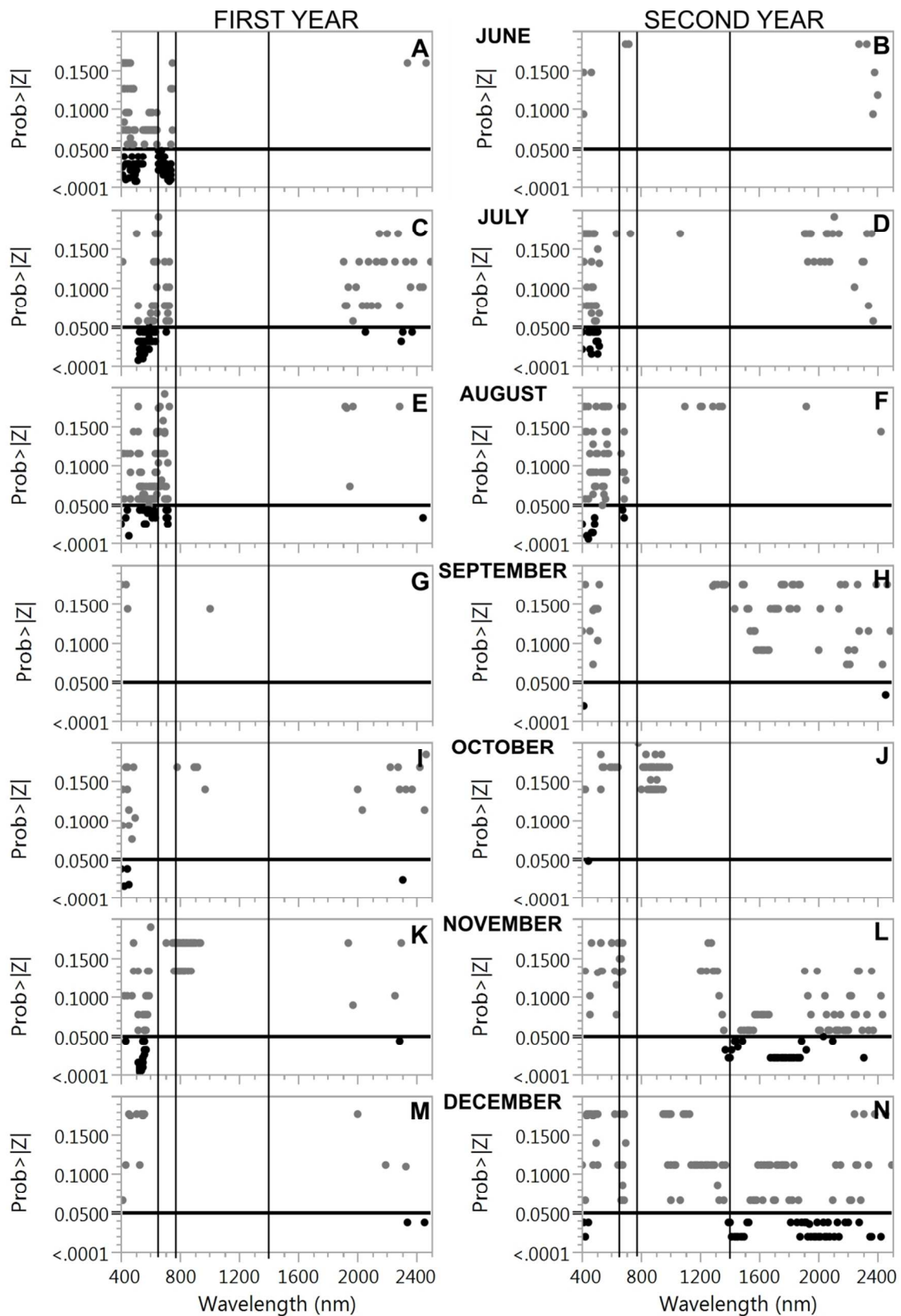


Fig. 4

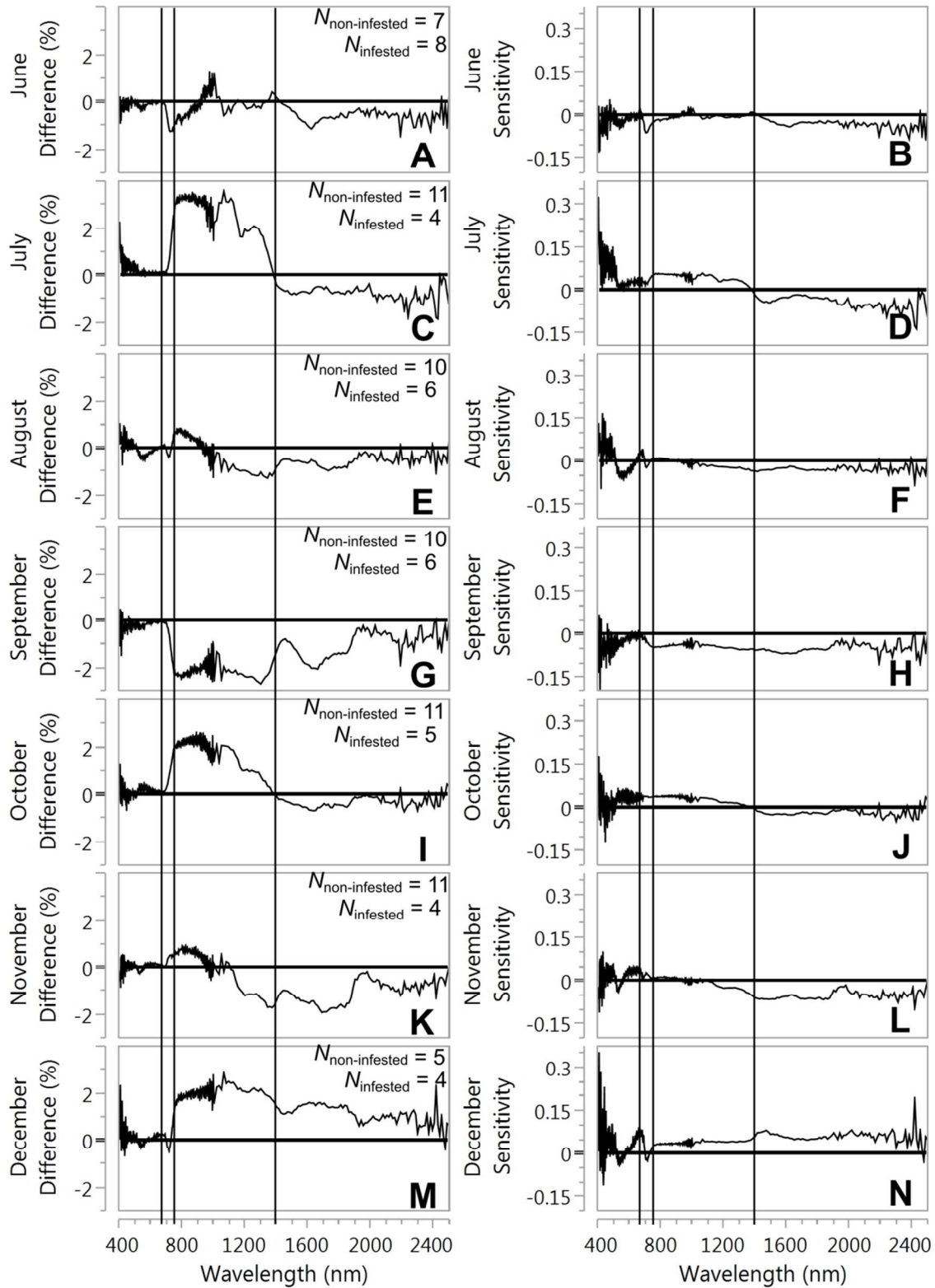


Fig. 5

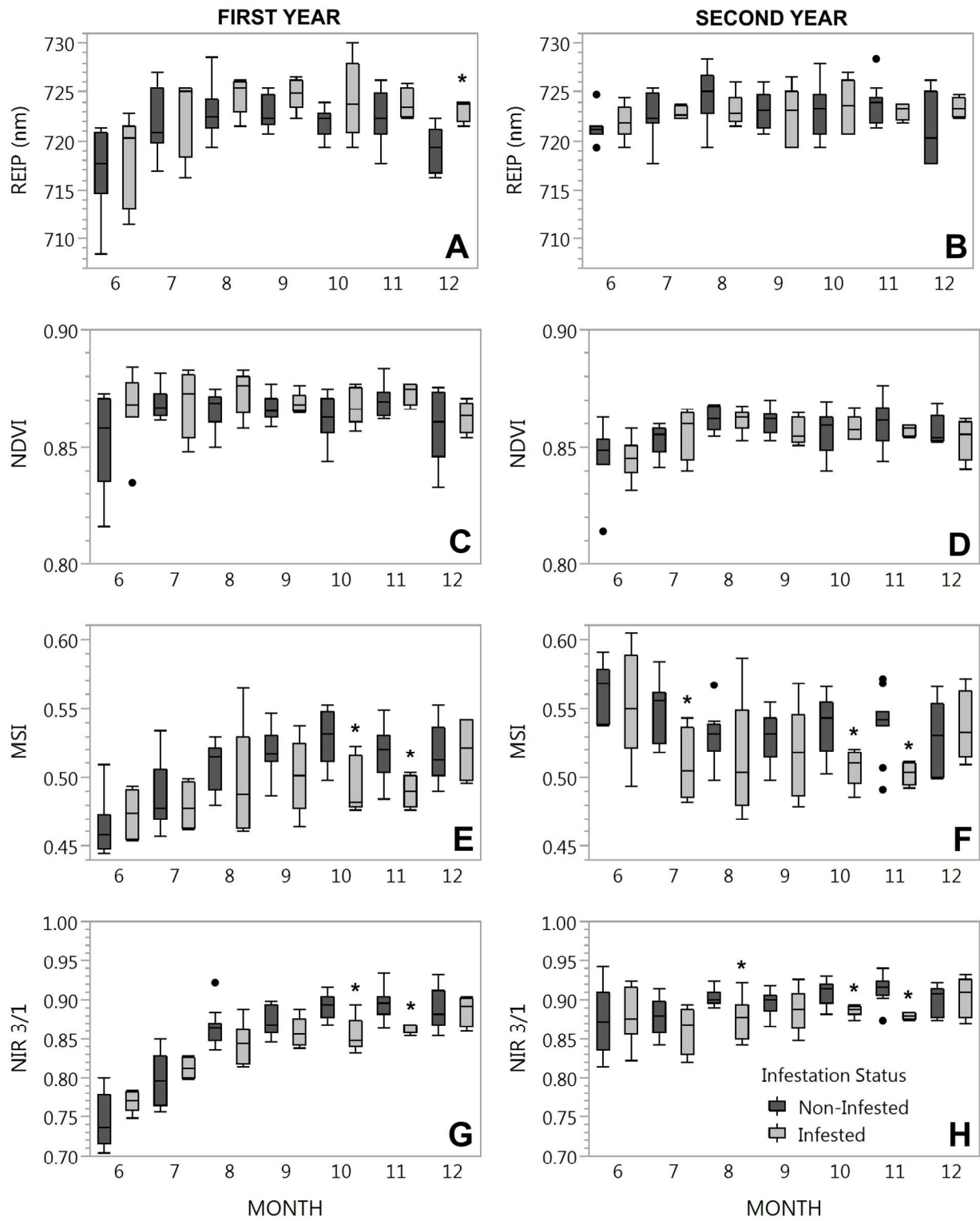


Fig. 6

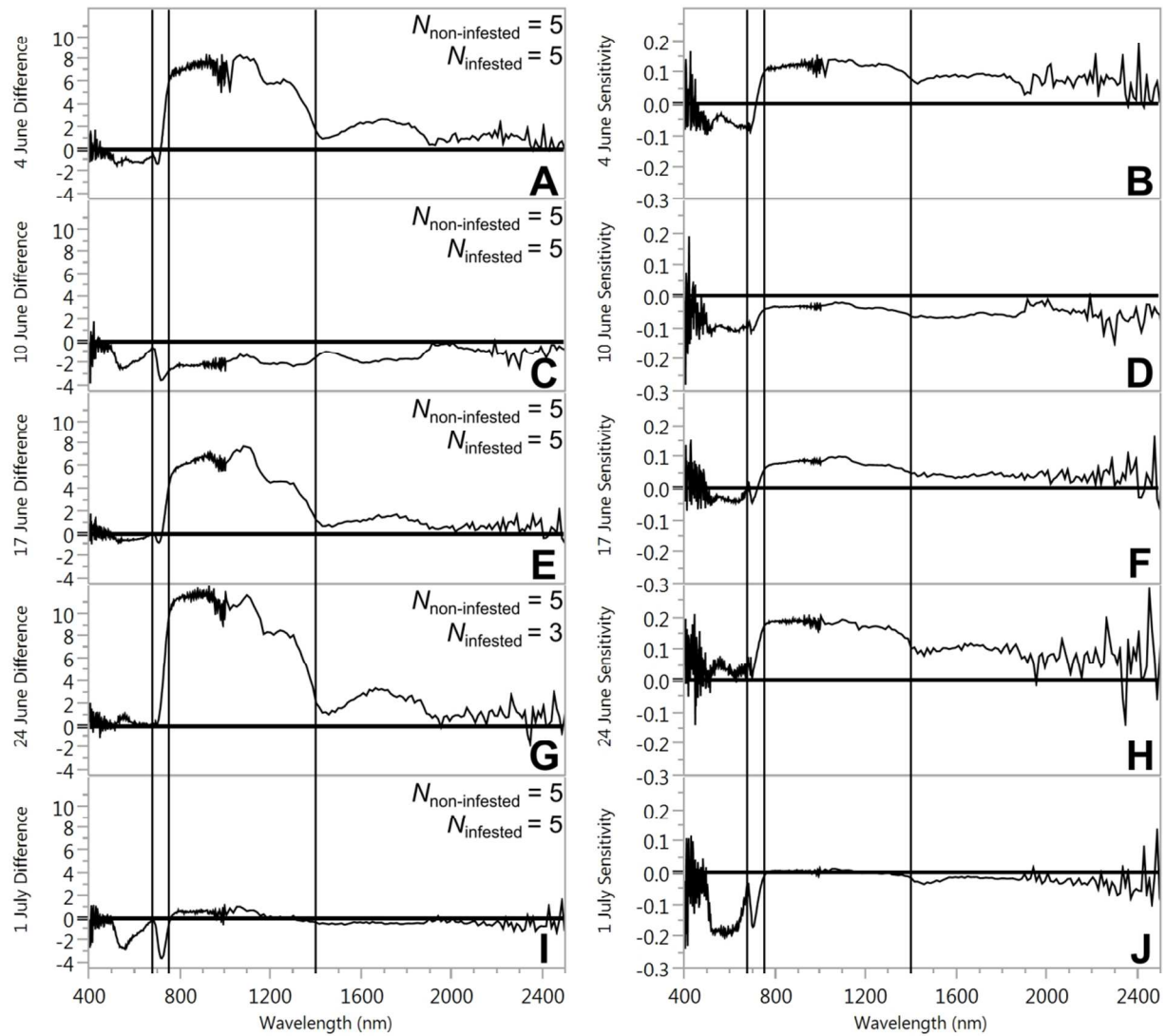


Fig. 7

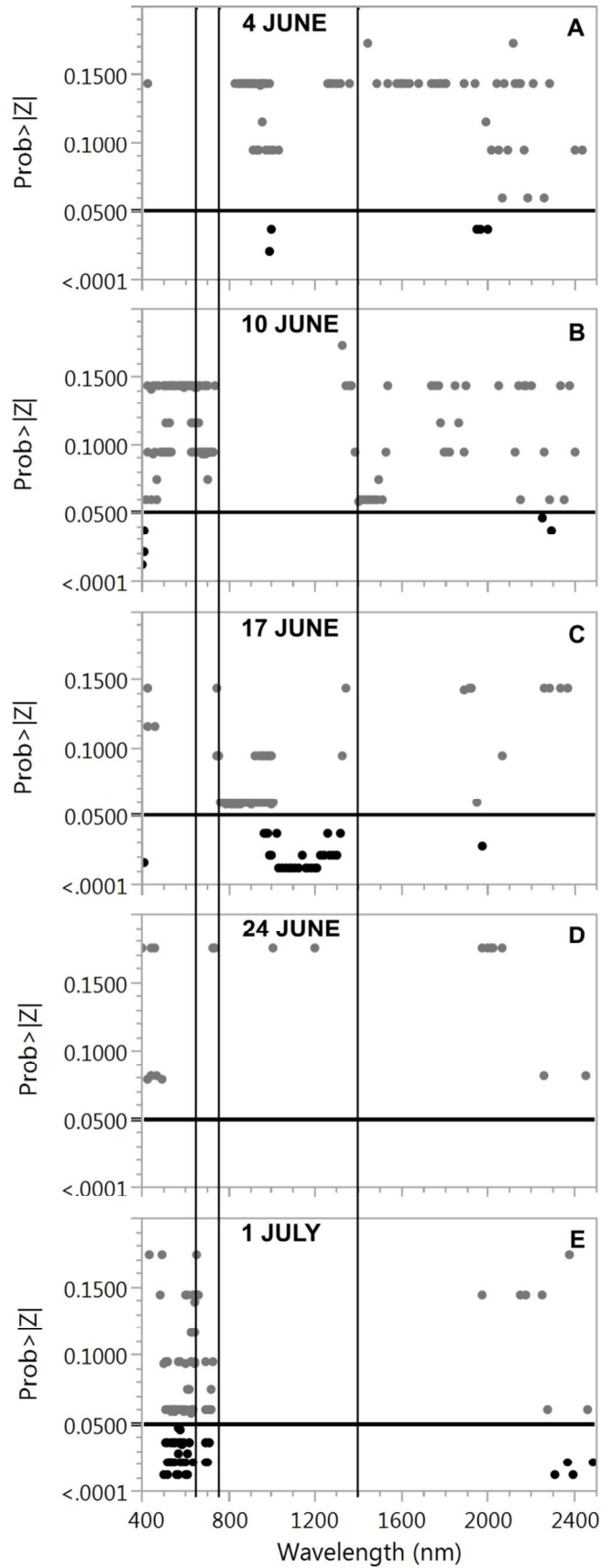


Fig. 8

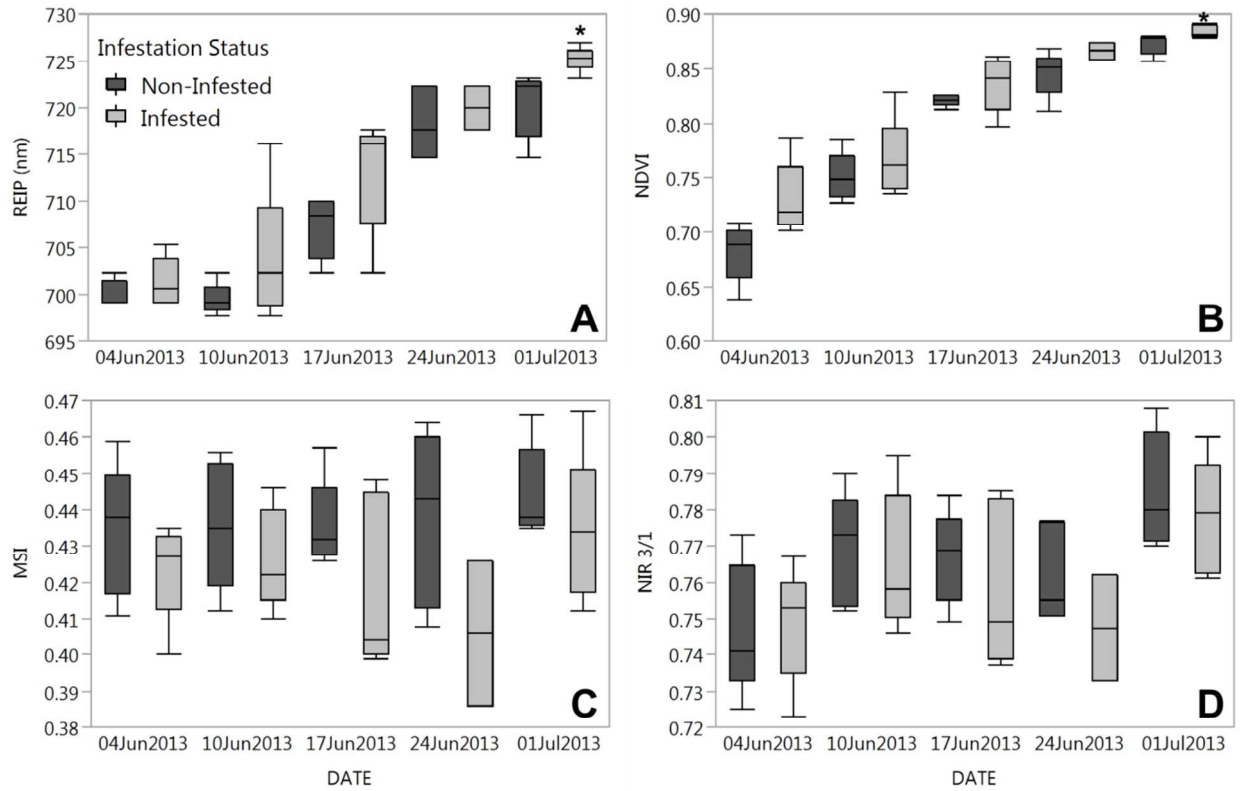


Fig. 9

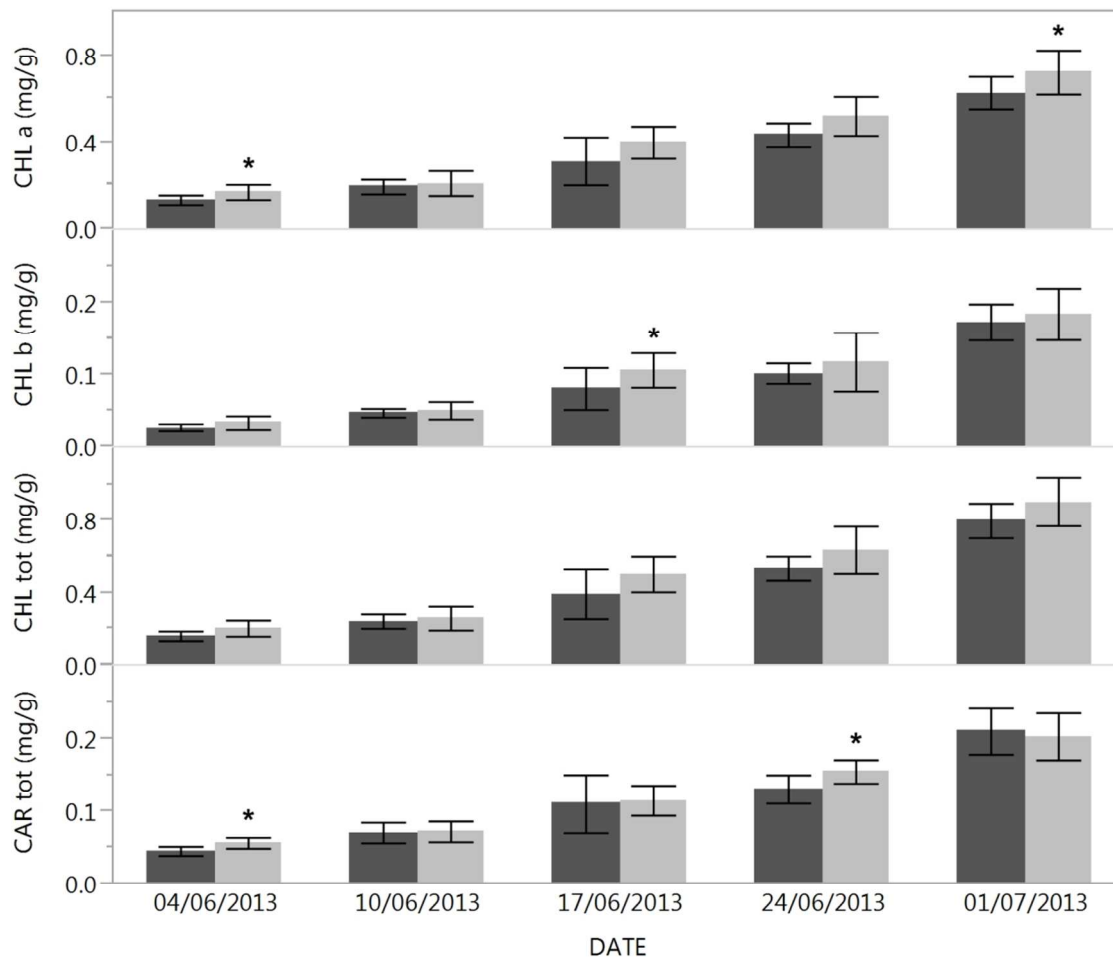


Fig. 10

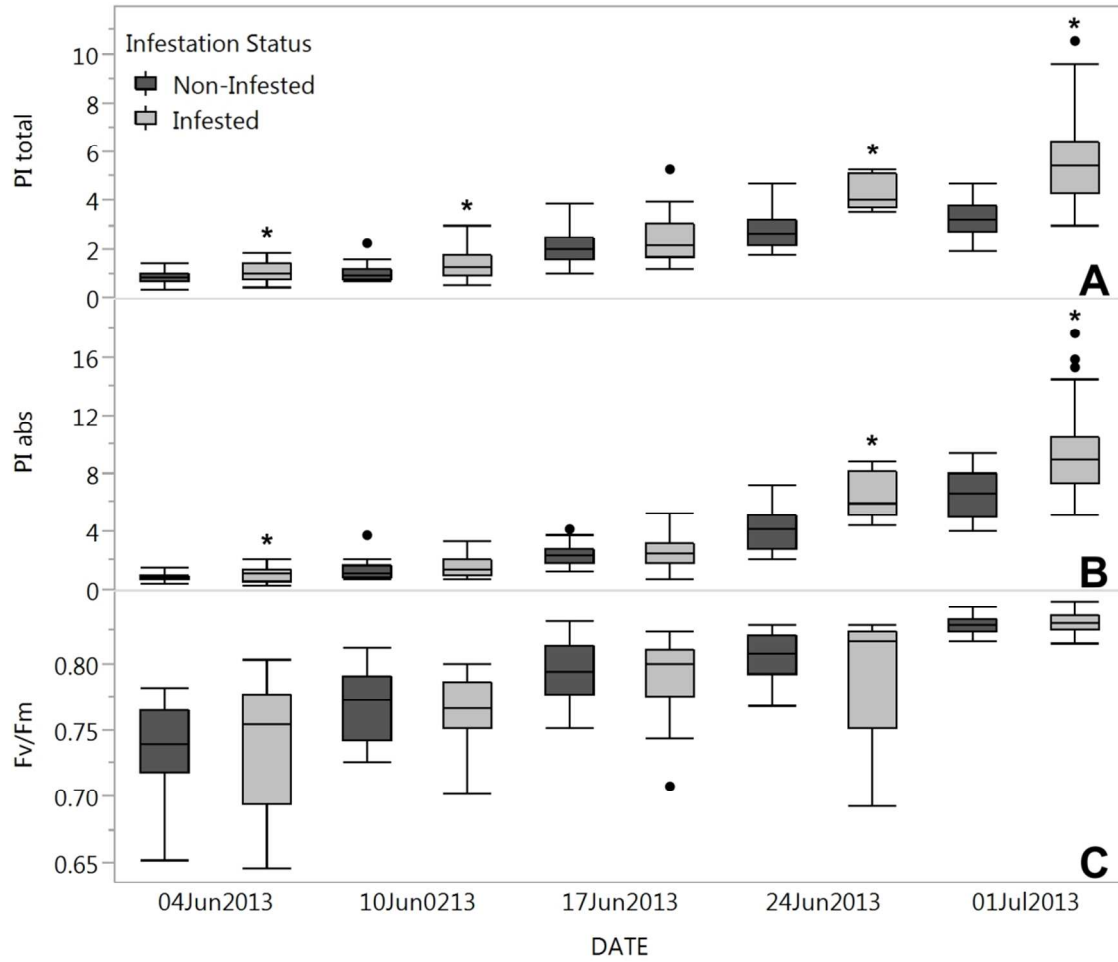
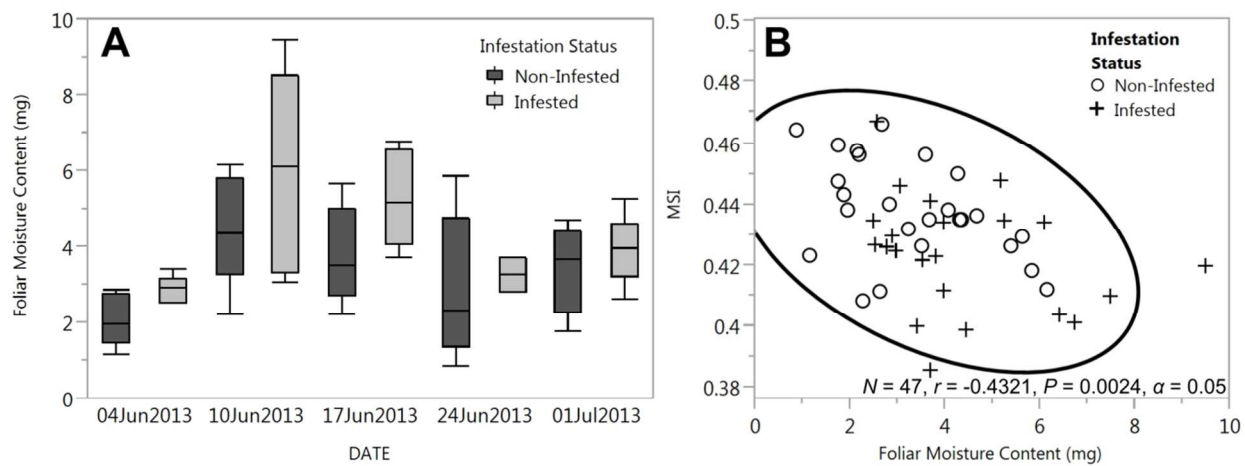


Fig. 11



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