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# Population structure and phylogenetic history of the Lake Malawi cichlid species flock

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**Population Structure and Phylogenetic History of the Lake Malawi Cichlid Species Flock** 

by

#### **Jeffrey Alan Marker!**

B. A. Hampshire College, 1989 M. S. University of Vermont, 1995

#### DISSERTATION

Submitted to the University of New Hampshire in Partial Fulfillment of the Requirements for the Degree of

Doctor of Philosophy

in

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This dissertation has been examined and approved.

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Date

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#### **Abstract**

# Population Structure and Phylogenetic History of the Lake Malawi Cichlid Species Flock

by

#### Jeffrey Alan Markert University of New Hampshire, May, 1998

The cichlid species "flocks" which are endemic to the East African Rift Valley are characterized by frequent lineage splitting events which have led to the rapid evolution of high levels of taxonomic diversity. Changes in water level cause these habitat patches to be chronically unstable, hypothetically speeding the process of genetic differentiation through the combined effects of genetic drift and selection. Allele frequencies at four simple sequence repeat loci indicate low levels of gene flow in two rock dwelling fish species, *Melanochromis auratus* and *Labeotropheus fuellebomi,* collected from the Nankumba Peninsula in southern Lake Malawi. Small interruptions in habitat cause low, but statistically significant genetic differentiation among populations. The highest levels of interpopulation heterogeneity were observed between populations separated by deep troughs of open water. Differences in habitat usage cause the absolute magnitude of interpopulation heterogeneity to be higher among *M. auratus* populations than among *L. fuelleborni* populations. A correlation exists between allelic diversity at a locus and the relative age of a habitat, suggesting that mild bottlenecks are associated with colonization. Simulation studies indicate that the level of differentiation observed among these populations is unlikely to be merely an artifact of modest sample sizes and highly polymorphic loci.

Philopatry alone is not sufficient to drive speciation. Populations must become reproductively isolated as well. A series of mate choice experiments indicated that mate recognition is nearly perfect among the congeners *M. auratus* and *M. heterochromis.* When  $F<sub>1</sub>$  hybrid females were included in these experiments, they preferentially mated with hybrid males.

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An estimate of the relationships among Lake Tanganyika and Lake Malawi species was obtained by surveying the genome for SINE (retrotransoposon) insertions by using a modified AFLP protocol which incorporates a SINE specific primer. The resulting phylogeny estimate was consistent with other molecular and morphological data sets for the older lineages in Lake Tanganyika, and indicated that the Lake Malawi species flock has a common ancestor with the Tanganyikan tribe Tropheini. Resolution among the Lake Malawi species was poor due to the incomplete lineage sorting which is characteristic of this extremely rapidly evolving lineage.

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#### **Introduction**

The Great Lakes of East Africa are one of the few places that dramatically illustrate the dynamic nature of species, and that allow us to glimpse the mechanisms responsible for the generation of taxonomic diversity. These lakes contain well known "flocks" of fish species, most notably in the family Cichlidae, although other fishes in these lakes have undergone less dramatic radiations (Banister and Clarke 1980). Lakes Malawi, Tanganyika, and Victoria each contain hundreds of fish in the family Cichlidae, the majority of which are endemic to only one of these lakes (Greenwood 1991). More striking than the sheer number of species is the fact that many of these taxa have arisen quite recently. Lake Victoria was completely desiccated some 12,000 yr ago (Johnson *et al.* 1996). Lakes Malawi and Victoria have held water for millions of years but periodic variation in rainfall routinely causes fluctuations of hundreds of meters, leading to cycles of habitat destruction and creation (Fryer 1959; Ribbink *et al.* 1983; McKaye and Gray 1984). A well documented recent decrease in levels in Lake Malawi between the years 1500 and 1850 led to a decrease of 120 m (Owen *et al.* 1990). This decrease rendered much of the southern end of the lake dry land. Many of the sites which have now been reflooded contain extreme local endemics - fish taxa which are known only from a single site (Stauffer *et al.* 1997). Presumably at least some of these locally endemic species evolved *in situ* during the last century and a half. If this is true, then speciation has occurred subsequent to the first scientific explorations of the lake (Gunther 1864)!

What forces are responsible for the rapid generation of this taxonomic diversity? A number of different models have been proposed to explain the species diversity of the African Great Lakes, and several of these are summarized in Table 1. These processes may roughly be divided into those that focus primarily on the role of environmental forces and those that focus of factors intrinsic to the cichlid lineage itself.

A number of authors have focused on the role of environmental factors unique to the E. African great lakes. Worthington (1937; 1954) suggested that speciation was aided by short tropical generation times, the creation of new niches as a result of water level fluctuations within the lakes, and a reduced number of large predators in some of the lakes. Water level fluctuations were also thought to be important by Trewavas (1947),

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who suggested that fluctuations led to changes in breeding habitats which could eventually lead to phenotypic divergence. In a textbook summary, de Beaufort (1951) suggested that the sheer size of the great lakes generated a large number of available niches which facilitated evolutionary divergence. Brooks (1950) proposed a role for habitat fragmentation as part of an allopatric speciation process, an idea later formalized and expanded by Fryer (1959b) who combined several lines of speculation and natural history data to construct a microallopatric model for the rock-dwelling "mbuna" of Lake Malawi. Fryer suggested a rassen&reis-like arrangement of semi-isolated populations along the rocky shoreline. Under this model, the proximate causes of reduced gene flow among populations could be predation on fishes who stray from the shelter of the rocky habitat, stochastic elimination of intermediate populations in the *rassenkreis,* or changes in water level which subdivide continuous habitats.

#### **Table 1**



**A history of proposed speciation mechanisms in the East African Lakes**

In the 1920's Regan proposed that much of the cichlid radiation could be explained by adaptation to distinct trophic niches, an idea later expanded by Liem (1974) who suggested that the evolutionary flexibility of the cichlid jaw apparatus permits cichlids to expand into new trophic niches. A role for reproductive behavior in the speciation process was initially proposed by Kosswig (Kosswig 1947) who suggested that the monogamy he

believed to be common, among cichlids was responsible for lineage splitting through the mechanism of selective mating. In 1984, Dominey suggested that runaway sexual selection combined with extreme philopatry might be responsible for lineage splitting in E. African cichlids paralleling then recent work on speciation in *Drosophila.* Under Dominey's model, runaway sexual selection leads to the evolution of a distinct Specific Mate Recognition System (SMRS) within an isolated population. Each population may become fixed for its own SMRS because low migration rates between populations prevent the spread newly evolved SMRS's to other populations or the dilution of an SMRS within a population. Indirect support was derived from the bright color patterns exhibited by the males of several E. African cichlids, and the model was eventually expanded to include the sand dwelling species of Lake Malawi, whose males construct elaborate breeding platforms (McKaye 1990).

The "synthetic" model proposed by Dominey incorporates several of the elements from earlier hypotheses, with the a strong emphasis on reproductive behavior and habitat fidelity. Under the Dominey's model, runaway sexual selection leads to the development of distinct SMRS's within each population. Low inter-population migration rates lead to the development of reproductive isolation because unique SMRS's which evolve within populations do not spread to other populations, nor are they diluted by immigrants to that population.

This model is compatible with a number of the hypothesized speciation drivers listed in Table 1, particularly those in which environmental factors restrict migration between populations. The most thoroughly studied example of biogeographic restrictions to migration in E. African cichlids may be the rock-dwelling cichlids of Lake Malawi, a group of fish often referred to by their Chitonga name *mbuna,* which are found in littoral rocky habitats throughout the lake (Fryer 1959a or b). The majority of their habitat is located near the shoreline, which is primarily an alternating series of rocky and sandy substrate (McKaye and Gray 1984)

Dominey's model makes two specific testable predictions:

- Gene flow between populations is limited
- Mate recognition systems are established, such that allopatric forms will select appropriate mates when brought into sympatry.

This dissertation consists of a series of related projects designed primarily to test the predictions derived from the Dominey model. Additional chapters were added to address issues which arose as a result of these efforts, or to establish a phylogenetic

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context for these projects. Each chapter described below is intended to stand as an independent unit, yet all aim to enhance our understanding of the processes which have led to the evolution Lake Malawi cichlid flock.

#### Chapter 1:

*Biogeography and Population Genetics of the Lake Malawi Cichlid* Melanochromis auratus: *Habitat Transience, Philopatry, and Speciation*

This chapter presents an analysis of population structure in the widely distributed mbuna *Melanochromis auratus.* Population samples were systematically collected from an archipelago of habitat patches in the southern end of the lake. Four locus simple sequence repeat (SSR or microsatellite) genotypes were determined for each individual to estimate migration rates between habitat patches.

#### Chapter 2:

## *Biogeography and Population Structure in the evolution of the East African Cichlids: Evidence from DNA Fingerprinting*

Recently, SSR loci have been used to analyze population structure in a number of East African cichlid species. In this chapter the studies are summarized and the broader evolutionary implications of these data sets are discussed.

#### Chapter 3:

#### *Sample sizes needed to estimate population structure using highly polymorphic loci*

Sample sizes in Chapter 2 averaged 37 individuals per population. The highly polymorphic character of these loci (-25-30 alleles observed at each locus) raised concerns about the possibility of spuriously high estimates of sample divergence. In this chapter, simulation techniques were used to explore the relationship between divergence estimates, sample size, allele distributions, number of loci surveyed.

#### Chapter 4:

#### *Mate choice in two Malawian congeners*

In order to test the strength of mbuna mate recognition, a series of free mate choice experiments was designed. These involved allowing males from two *Melanochromis* congeners to establish territories in either aquaria or pools containing standardized structural elements (flower pots, ceramic tiles). After territories were established, females of both species were introduced into the mesocosm. Fry and juveniles were collected and SSR loci were used to determine parentage.

#### **Chapter 5:**

An AFLP Based Method of Rapidly Detecting the Insertion of SINE Elements for use as Clade *Markers*

Relationships among taxa within Lake Malawi have been difficult to determine due to the recent and rapid evolution of these species. In this chapter, SINE element integration was detected at orthologous sites using a modified version of the AFLP protocol to estimate the phylogenetic relationships among taxa from both Lake Malawi and the hypothesized ancestral taxa from Lake Tanganyika.

#### **Chapter 1**

#### **Biogeography and Population Genetics of the Lake Malawi Cichlid** *Melanochromis auratusi* **Habitat Transience, Philopatry and Speciation**

#### Abstract

Migration rates among populations of the Lake Malawi cichlid *Melanochromis auratus* were estimated by surveying allele frequencies at four simple sequence repeat (SSR) loci among 10 populations from a 42 km stretch of habitat in the southern end of the lake. The data suggest migration rates among populations are in general quite low, with an among population  $F_{st}$  estimate of 0.14 (p<0.0020). A biogeographic survey suggests that the highest levels of genetic differentiation exist between populations separated by stretches of deep water, and that migration is common between populations separated by shallower water. Water levels in Lake Malawi have risen dramatically in the past few centuries creating many new *M. auratus* habitats. Reduced allelic diversity was observed at more recently created habitat patches, suggesting that genetic drift resulting from bottlenecks is associated with the colonization of new habitat patches. The extreme philopatry of *M. auratus,* coupled with the patchy distribution and transient nature of its preferred habitat, provides opportunities for both selection and genetic drift to produce genetic differentiation among populations. Both processes may be important to the evolution of taxonomic diversity in the East African cichlid species flocks.

#### Introduction

The flocks of endemic fish species in the East African Great Lakes are well known examples of "explosive" cladogenesis (Greenwood 1964). The cichlid fishes of Lake Malawi are a dramatic example, with an estimated 500 endemic species, virtually all of which are in the teleost family Cichlidae (Ribbink *et al.* 1983). This extraordinary taxonomic diversity has fascinated and challenged evolutionary biologists since the earliest explorations of the rift valley lakes by European naturalists and explorers (Günther 1864).

In the century following the first formal description of the first rift valley taxa (see Boulenger (1915) for early citations), many explanations for the rapid evolution of taxonomic diversity have been suggested. Explanations invoking selective mating and brood care (Kosswig 1947), adaptation to changes in habitat arising from changes in lake level (Trewavas 1947), adaptation to a postulated diversity of habitats resulting from the sheer size of the lakes (Jackson 1955), restricted migration due to predation (Fryer 1965) and microadaptation to fragmented habitats (Fryer 1959b) have been proposed. The most recent synthetic model was proposed by Dominey (1984) who suggested that a combination of extremely low gene flow among populations coupled with sexual selection could lead to the fixation of distinct mate recognition systems *(sensu* Patterson (1985)) within local populations.

Most of these models stress the importance of selection to the evolution of lineage divergence. However, selective forces must overcome the effects of gene flow between diverging gene pools or local adaptations will not develop. An assessment of both the spatial scale of genetic differentiation and the identification of features of the physical environment which constrain population differentiation are important if we are to evaluate models offered to explain the speciation of the Lake Malawi cichlid species flock.

Many Malawi cichlids have a high level of habitat fidelity which, in combination with the patchy distribution of habitat types, could contribute to the evolution of lineage divergence. McKaye and Gray (1984) described three types of substrate in the nearshore habitats of Lake Malawi. In the southern end of the lake 73% of the shoreline habitat is gently sloping stretches of either bare sand or weed beds *(Vallisneria* sp.). The remaining 27% of the habitat consists of steeply sloping jumbles of boulders, rocks, and cobbles. The bottom of these rocky slopes intersects the flat sandy bottom which forms the bulk of the lake floor (Figure lc). The shoreline is a mosaic of habitat types with rocky stretches existing as habitat islands separated by long stretches of sandy or weedy substrate.

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Rocky habitats also exist along the shores of several small islands, and as completely submerged offshore rocky outcrops.

The configuration of shallow water habitats along the shores of Lake Malawi is not a permanent feature of the physical environment. The extent and distribution of sandy and rocky habitats is influenced by the rapid and dramatic changes in water level which are typical in Lake Malawi (Owen *et al* 1990; McKaye and Gray 1984; Scholz & Rosendahl 1988; Fryer, 1959a). Fluctuations in water level occur on both geological and historical time scales. Owen *et al.* (1990) document three climatically controlled late Pleistocene decreases in water level, the most recent of which occurred between the years 1500 and 1850. During this period, w ater levels were at least 121 m below their present level, and the two southern basins were mostly dry land.

The distribution and continuity of habitats along the lake shore is influenced by the amount of water in the lake basin. Decreased rainfall can lead to the loss of rocky habitat patches when water levels fall below the rock-sand interface. Increases in water level can open newly flooded habitat patches for colonization and can alter the nature of existing habitat patches by increasing their depth. Variation in water levels can unite previously isolated habitat patches or subdivide continuous stretches of habitats depending on local topography (see McKaye and Gray (1984)).

The rocky areas are the primary habitat for a well-studied guild of small, brightly colored fish known collectively as *mbuna* (Fryer 1959a). The upper surfaces of these rocks in the shallows are covered with a thick biofilm, known as the *Aufwuchs,* which forms the bulk of mbuna diets (Reinthal 1990). The crevices between the rocks provide shelter from predators and serve as the focus of male breeding territories in many of these species (Fryer 1959a or b; Danley IN PREP). The lithophilic nature of most mbuna, combined with the patchy distribution of rocky habitats within Lake Malawi, form a system in which the development of interpopulation heterogeneity - a probable precondition for lineage splitting - may be explored.

Genetic data suggest that migration rates among mbuna populations might be very low. (McKaye *et al.* 1984) found evidence of genetic differentiation at some allozyme loci among four populations of the widely distributed *Pseudotropheus zebra.* Bowers *et al.* (1994) found differences in haplotype frequencies between populations in the southern end of the lake for two different species in the mbuna genus *Melanochromis.* The recent availability of simple sequence repeat (SSR) loci (Tautz 1993) with their high mutation rates and consequently high allelic diversity provides a tool with the resolution needed to detect fine-scale population differentiation among recently established local populations (van Oppen *et al* 1997).

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The newly flooded rocky habitats in the southern end of the lake provide an opportunity to examine gene flow, colonization, and migration of mbuna species. By combining fine-scale population sampling and a detailed survey of habitat distribution with an analysis of allele frequencies at SSR loci we evaluate the influence of biogeographic forces on population structure in the Malawi cichlid species flock. These data are used to make inferences concerning the role of biogeographic forces in the speciation process and the magnitude of the selective processes required for the evolution of phenotypic diversity.

#### Methods

#### *Study Species*

*Melanochromis auratus* (Boulenger 1897) is an easily recognized species that is widely distributed in the southern end of Lake Malawi, making it a good subject for investigations of population structure. It is a small (4-9 cm), sexually dimorphic fish. Females (Figure 1.1) have a bright yellow ground color with black or dark brown horizontal stripes. Males are slightly larger than females and have a dark brown or blue/black ground color with yellow-gold stripes (Bowers 1993). *M. auratus* show little systematic variation in color pattern from locality to locality although slight intrapopulation variation in color intensity is common. They are most common at depths between 1.5 and 10 m, although their full range extends from the surface to a depth of 40 m (Ribbink *et al.* 1983). They are almost never observed over sandy substrate, although Bowers (1993) suggests that they spend time foraging at the rock-sand interface.

#### *Study Area*

*Melanochromis auratus* were sampled from areas in the proximity of the Nankumba Peninsula which divides the southern end of Lake Malawi into two shallow basins (Figure 1.2 a & b). Because water levels were 121 m below their present level between 1500 and 1850, (Owen *et al.* 1990) most of the rocky areas in the southern end of the lake were dry land, providing an upper limit to the age of the habitat in this area.

The steeply sloping rocky habitats adjacent to the shores of the Nankumba Peninsula all intersect the flatter, sandy lake bottom (Figure 1.2 c). The depth of this present day rock-sand interface should determine the order in which sites became available for colonization as the southern basins refilled. Deeper habitats became available first while the shallower habitats became available more recently (See Ribbink *et al.* (1983); McKaye & Gray (1984); and Figure 1.2 for details of this process).

The study area ranges from Mumbo Island (to the north and west of the peninsula) to Mphande Island (to the south and east) (Figure 1.2b). Fish were sampled from waters adjacent to both these islands, several habitats adjacent to the eastern shore of the peninsula itself, and two submerged offshore rocky "reefs". Divers assessed the depth of the rock sand interface at each site. The length of each habitat and the distances between habitats were estimated with the aid of a GPS unit and nautical maps (Malawi Government 1977; Tripp *et al.* 1957).

#### *Sample Collection*

A total of 372 individual *M. auratus* were sampled at 10 sites in southern Lake Malawi. Sample sizes at each locality are shown in Table 1.1. Fish were captured by SCUBA divers using monofilament gill nets. Divers usually worked within 50 m of each other to avoid possible complications from the Wahlund effect, with the exception of the site designated Shallow Reef. At this site, fish were collected some 800 m apart, at either end of a complex sprawling aggregation of rocky habitats in a sand/gravel matrix some 2- 300 m off shore. This was necessary due to the relatively low densities of *M. auratus* at these sites which did not permit the sampling of an adequate number of fish at any single spot.

Tissue for this study consisted of fin clips (ca.  $0.5 \cdot 1 \text{ cm}^2$ ) obtained from one of the unpaired fins (for fish collected in Lake Malawi National Park) or from pectoral fins. Fish collected in Lake Malawi National Park were clipped and released per collecting permit no. # 684658. Fish from other sites were preserved as voucher specimens. The fin tissue was preserved in 70-100% EtOH (undenatured), and the samples were then stored at  $ca.$  -15<sup>3</sup> C pending transport to the United States.

#### *Locus Isolation & Characterization*

Two of the loci used in this work (UNH-001 and UNH-002) were used previously by (Kellogg *et al.* 1995) for paternity analysis. These and two additional loci, UNH-050 and UNH-231, were isolated using methods described in (Lee and Kocher 1996). All four loci are perfect dinucleotide repeats. Locus 231 was cloned from *Oreochromis niloticus,* the remaining loci were developed from an *M. auratus* library. Primer sequences, GenBank accession numbers, and fragment size ranges are provided in Table 1.2.

#### *DNA Preparation & Amplification*

DNA samples were extracted and amplified using the methods outlined in Kellogg *et al.,* 1995. Optimal PCR conditions were determined empirically, and a summary of primer sequences, annealing temperatures and locus characteristics is shown in Table 2. Samples were electrophoresed on a 6% denaturing acrylamide gel at 30 W on an ABI 373-A DNA sequencer for 8.25 hours.

#### *Scoring and Binning of Alleles*

GeneScan Analysis software (Applied Biosystems, Foster City, California) provides highly repeatable estimates of fragment size. Because of differences in base-pair composition between ABI's GeneScan - 500 size standard and the PCR products, these estimates were almost never integers. In order to determine fragment homology, fragment size estimates at each locus were, sorted by size, and "binned" into allele size estimates which typically differed by two base pairs. To facilitate this process, allele size estimates were sorted by size and ranked. These rank scores were then plotted against allele size to provide a visual representation of the bins. In instances where the limits of a bin were ambiguous, individuals at both extremes of that bin and from neighboring bins were re-run on a single gel to insure the integrity of the allele size estimates.

#### *Detection of Null Alleles*

The possibility of "null" alleles - alleles which cannot be visualized due to mutations in the PCR primer site - complicates the analysis of SSR data. The frequency of these alleles can be quite high (see Lehman *et al.* (1996) and Allen *et al.* (1995) for recent examples). In their survey of populations of several *Pseudotropheus* species from Lake Malawi, van Oppen *et al* (1997) report that a true breeding null allele is present at locus UNH-002 in some of the mbuna species they studied.

To estimate the frequency of null alleles in our data set, individuals in which PCR products could be generated for only three of the four loci were assumed to be homozygotes for a null allele. These frequencies were used to estimate the frequency of the null allele in each population using the maximum-likelihood algorithm in GenePop 3.1 (Goudet 1995).

#### *Estimators of Between Population Heterogeneity.*

Estimates of population heterozygosity, allele frequencies and F-statistics were estimated with the aid of Goudot's (1995) program FSTAT. This package estimates Fstatistics using method of (Weir and Cockerham 1984), and calculates confidence intervals for these estimates using a resampling algorithm which permits both jackknifing among loci and bootstrapping among populations.

Two sets of  $F_{st}$  calculations were performed. The first estimated the overall  $F_{st}$ , among all populations. The second set estimated pairwise  $F_{st}$  values for all pairs of adjacent populations. Confidence intervals were estimated by performing 5000 resamplings for the overall  $\mathrm{F_{st}}$  estimate and 2000 resamplings for each of the pairwise comparisons.

Barton and Slatkin's (1985) rare allele based estimate of  $N_m$  was calculated using GenePop 3.1 (Raymond and Rousset 1995). This divergence estimator should be less sensitive to bias arising from the non-equilibrium state of these recently established populations.

Pairwise Nei's Distance  $[D_{N}]$  was calculated for all pairs of populations using the program Microsat [vers. 1.4d] (Minch *et al.* 1995; 1996). Nei's Distance was determined to be a more appropriate measure of divergence between these populations than Delta  $\mu$ . (Goldstein *et al.* 1995) which assumes a single step mutation model for SSR loci. Although evidence is accumulating which indicates that stepwise mutation is probably responsible for generating the global array of alleles present at these loci, it seems likely that the allele frequency distributions observed in the sampled populations are the result of recent historical sampling processes rather than post-divergence mutation, given the extremely recent origin of the habitats in the area surveyed (Owen *et al.* 1990).

#### Results

#### **Distribution of Habitats**

Habitat depth estimates and brief habitat descriptions are reported in Table 1.1. The distribution of habitat is shown in Figure 1.1 b. In general, the deepest habitats surveyed are at sites in the north and west of the sampled area, whereas the shallower sites are in the south and east. A description of the intervening substrate between collection sites is shown in Table 1.4.

#### *Allelic Diversity & Heterozygosity*

A total of 30, 24, 21, and 29 alleles were observed at loci UNH-001, UNH-002, UNH-050 and UNH-231 respectively. The total number of dinucleotide repeats varied between 14 and 103 at locus UNH-001 and locus UNH-050 respectively. The average pooled heterozygosity is 0.671. These results are summarized in Table 1.2.

A strong positive correlation was observed between the maximum depth of rocky substrate at a site and the observed heterozygosity at that site ( $r^2 = 0.803$ , p=0.003), Figure 1.4.

The estimated frequency of null alleles within each population ranged from 0.0 to 0.31 (Table 1.3). These estimates are likely to overstate the frequency of null alleles because PCR reactions can fail for a variety of reasons other than primer incompatibility. True null alleles should be associated with an excess of homozygosity and might distort estimates of population differentiation. To test for an excess of homozygosity,  $F_{i}$ , values were calculated. Populations with  $F_{is}$  values which are significantly different from zero are indicated in Table 1.3. Populations with high null allele frequency estimates did not necessarily have  $F_{i}$  values which were significantly different from zero. The impact of putative null alleles on the estimates of genetic divergence was assessed by jackknifing over loci. The different loci yielded similar estimates of genetic diversity, and the pairwise estimates of  $F_{st}$  values were typically narrow, suggesting that these nonamplifying alleles do not bias our conclusions. Mean estimates of genetic divergence and standard deviations based on jackknifing loci are shown in Table 1.4.

#### *Population differentiation*

Individuals sampled from the two sites 0.8 km apart in the extensive habitat designated shallow reef appeared to be from identical gene pools. Nei's distance between the two samples is 0.053 with a standard error of 0.046. Because of the genetic similarity of these two populations and the continuous nature of the habitat these two subsamples were pooled to simplify subsequent analyses.

A high level of population structure is observed between populations. The overall  $F_{st}$  estimate is 0.14 (95% CI = 0.121 to 0.179). Pairwise population statistics and distance estimates between adjacent collection sites are summarized in Table 1.4. Pairwise  $F_{st}$  values between adjacent populations range from 0 to 0.15. Pairwise estimates of  $N_m$  between adjacent sites range from 1.71 to 5.19. The estimated pairwise  $N_m$  between the two terminal sites is 0.32. Nei's D estimates between adjacent sites range from 0.024 to 0.700 with a calculated value of 2.629 between the two terminal sites.

In general, the four loci generated congruent estimates of population differentiation. Table 1.5 shows  $F_{ST}$  estimates for all four loci between adjacent sites. Inter-locus  $F_{ST}$ estimates differ most between Nkhudzi Point and two adjacent sites, Mazinzi Reef and Shallow Reef. In both cases,  $F_{sr}$  estimates derived from locus UNH-001 and UNH-002 were much lower than those derived from UNH-050 and UNH-231. Null allele frequency estimates are high at this population for UNH-001, UNH-002, and UNH-050 (Table 1.3), and there is no obvious relationship between null allele frequency estimates and the magnitude of the  $F_{sr}$  values calculated.

Table 1.6 shows four locus  $F_{ST}$  estimates for all population pairs. As would be expected, the highest pairwise  $F_{sr}$  estimates were obtained between population samples obtained fromt the two terminal sites, Mumbo Island and Mphande Island.

#### **Discussion**

For speciation to occur, gene flow between incipient species must be low enough to permit them to follow independent evolutionary trajectories. Taxonomic divergence may arise either from the deterministic processes of selection, through the stochastic forces of genetic drift, or by some combination of these two forces. There are two patterns which have emerged from the analysis of locus frequency data in *Melanochromis auratus.* The first of these is an extremely high level of genetic differentiation among populations, and the second is a trend towards reduced heterozygosity at more recently available habitat patches. The extreme philopatry observed in *M. auratus* coupled with the patchy distribution of ephemeral habitats provides many opportunities for both selection and drift to contribute to the evolution of taxonomic diversity.

*Melanochromis auratus* in southern Lake Malawi show a surprisingly high level of population structure. An overall  $F_{st}$  value of 0.14 (p<0.0002) was observed among all sites along a 42 km transect. The estimated  $N_m$  between the two terminal sites is 0.32 migrants / generation. Barton and Slatkin's (1986)  $N_m$  is believed to be a more reliable index of population structure than  $F_{st}$  in this instance because the extremely recent availability of most of the habitat sites surveyed makes it unlikely that these populations have had sufficient time to reach a state of equilibrium. High pairwise  $F_{st}$  and low  $N_m$ values were observed between several adjacent populations, suggesting that philopatry is a general feature of *M. auratus'* biology, rather than an artifact of a single major barrier to gene flow within the area surveyed.

The level of population differentiation is strongly influenced by the nature of the intervening substrate. The highest level of differentiation was observed between populations separated by long stretches of deep water. Conversely collection sites separated by long stretches of rocky or sandy shoreline show considerably lower levels of differentiation. The lowest  $N_m$  estimates occur between Mumbo Island and Ilala Gap which are separated by approximately 10 km of deep open water. The rock-sand interface occurs at 45 m at Mumbo Island and 36 m at Ilala Gap, and the intervening trough is about 100 m deep (Tripp *et al.*, 1957), Similarly low  $N_m$  estimates were calculated between the Mazinzi Reef, a submerged offshore rocky outcrop, and two nearby shoreline sites; Harbour Island and Nkhudzi Point. (Marsh and Ribbink 1981) and (Hill and Ribbink 1978) have demonstrated experimentally that other mbuna taxa are unable to control their buoyancy in waters greater than 40 m deep and that the maximum daily rate of depth acclimation for fish in the mbuna genus *Petrotilapia* is less than 4 m (Hill and Ribbink 1978; Marsh and Ribbink 1981) suggesting that substrate hugging mbuna are

physiologically incapable of crossing long stretches of deep water. Our data supports the hypothesis articulated by Ribbink (1986; 1983) that deep water can serve as a barrier to migration.

The highest levels of gene flow were inferred for samples collected from either end of continuous stretches of rocky habitat or from rocky patches separated by shallow sandy shoreline. Ilala Gap and Tsano Rock he at opposite ends of a nearly continuous stretch of rocky coastline which is interrupted only by an approximately 350 m stretch of sandy substrate at Mvunguti Village. These two sites are 8.2 km apart and show considerably less differentiation than the sites separated by similar stretches of deep water. The estimated  $F_{st}$  value of 0.029 between these two sites was significantly different from zero (at a Bonferroni corrected p<0.0038) but this is low relative to the other significant  $F_{st}$ values. The  $N_m$  estimate of 4.10 suggests that migration occurs between these sites.

Shallow sandy shoreline also appears to facilitate dispersal. Mphande Island is located in a shallow bay about 5.6 km south-east of Nkhudzi Point. The shoreline between the two sites is apparently free of classical mbuna habitat and yet the estimated  $N_m$  value of 4.52 suggests very little differentiation between these two populations. A similar pattern is observed between Mazinzi Reef and Shallow Reef which have the highest pairwise estimate of migration rate observed in this study ( $N<sub>m</sub> = 5.19$ ). This last observation contrasts with the low migration rates observed between Mazinzi Reef and other neighboring populations. Although this difference in migration rates might be partly explained by the fact that Shallow Reef is much closer to Mazinzi Reef than either Harbour Island or Nkhudzi Point (2.8 km vs 7.6 or 5 km respectively), it seems likely that other geographic features influence the facilitate the dispersal of fish from Mazinzi Reef to Shallow Reef. Unlike the other more compact habitats we surveyed, Shallow Reef is a sprawling complex of small rocky habitats in a sand/gravel matrix, extending about 400 m out into the lake. It is possible that undetected habitat patches similar to the habitat at Shallow Reef form a series of stepping stones between Mazinzi Reef and Shallow reef. A similar series of submerged stepping stones might exist between Mphande Island and Nkhudzi Point. Allele frequencies at Shallow Reef are most similar to those at Mazinzi Reef, and are distinct from Harbour Island suggesting that gene flow has not occurred along the shoreline between Harbour Island and Shallow Reef. These sites are separated by a series of shallow sandy bays punctuated with a number of rocky habitats which might be expected to serve as stepping stones. Given the apparent ease with which *M. auratus* disperse across shallow stretches of sand, this observation underscores the recent founding of these habitats.

These results are consistent with mtDNA haplotype data (Bowers 1993), who found 5 haplotypes within *M. auratus* in southern Lake Malawi. Bowers' samples were collected from a more widely distributed set of habitat islands separated from each other by deep troughs. Three of the sites she sampled were on the Nankumba Peninsula. All the Nankumba populations were fixed for the haplotype AURl. More distant sites possessed unique haplotypes, and a single site, Chidunga Rocks, an island about 10 km n.w. of Mumbo Island, possessed three haplotypes, among them AURl. The presence of endemic haplotypes at three of Bowers' collection sites suggests that migration among these sites may be almost nonexistent, although her sample sizes for some of these sites were small enough that some haplotype diversity could have been missed.

The observed pattern of population differentiation permits inferences about the process of recolonization during the most recent refilling of the lake. This pattern may have implications important to the evolution of the species flock as well. For example, the high level of genetic divergence between the two apparent genetic units Mazinzi Reef-Shallow Reef and Nkhudzi Point-Mphande Island suggests that these two population pairs are more diverged than we might expect if Nkhudzi Point were colonized by migrants from Mazinzi Reef as w ater levels rose. Indeed, one would expect Nkhudzi Point to be about as similar to Mazinzi Reef as Shallow Reef is. One likely explanation for this discrepancy is that these populations belonged to separate genetic units *before* one or both their current habitats were founded. Several isolated sites in the lake could have served as refugia when lake levels were lower. If these refugia were as isolated as Mazinzi Reef or Mumbo Island are today, then populations at these sites might become evolutionarily detached from other populations in the lake.

At least two potential refugia exist in the area which could serve as *M. auratus* habitat during moderate recessions in lake level. The waters adjacent to Boadzulu Island, some 13 km south of Mphande Island, contain rocky habitat down to at least 40 m (Ribbink *et al.,* 1983). Jerusalem Reef, 7 km east of Mazinzi Reef, is an isolated rocky outcrop the top of which is *ca.* 40 m below the lake surface at its shallowest point (personal observation). *M. auratus* is not known to exist at either of these sites currently, however the habitat in these areas appears similar to that at sites where *M. auratus* are abundant, except for the greater depth of habitat at Jerusalem Reef. Several other deep reefs in the South-East arm of Lake Malawi are known to fishermen or are shown on navigational maps (Tripp *et al.,* 1957). Jerusalem Reef and other sim ilar structures in could represent former habitats which became less and less suitable for *M. auratus* as water levels increased. The observed pattern of genetic divergence suggests that while

Mazinzi Reef could have been colonized by migrants from Harbour Island, Nkhudzi Point was most likely colonized from now submerged habitats to the east or south.

In general shallower sites show reduced heterozygosity relative to sites with deeper habitat (Figure 1.3). This reduction in heterozygosity suggests a series of bottlenecks with each colonization event. The four southernmost sites illustrate this process particularly well. Mazinzi Reef is a completely submerged and isolated rocky habitat located about 2.9 km offshore in Madzidzi Bay. The Shallow Reef complex lies in shallow water just offshore some 2.7 km east of Mazinzi Reef. Mazinzi Reef is the deeper of the two sites with a maximum habitat depth of 13 m which compares to a maximum depth of about 3 m at Shallow Reef. Ribbink (1983) cites evidence that water levels were 7 m lower than they are now early in the twentieth century. This suggests that habitat at Shallow Reef became available very recently. As alluded to earlier, these sites are quite similar genetically. Pairwise  $D_N$  and  $F_{st}$  values calculated between these populations are not significantly different from zero, however the four locus heterozygosity at Mazinzi Reef is 0.57 whereas at Shallow Reef it is 0.499. Similarly, Nkhudzi Point with a habitat depth of 11 m has a heterozygosity of 0.569 whereas MPH with a habitat of 5 m has a heterozygosity of 0.500. Like Mazinzi Reef and Shallow Reef, pairwise estimates of F<sub>st</sub> and Dn. are indistinguishable from zero.

The importance of habitat fragmentation and transience in the evolution of the Lake Malawi cichlid species was first emphasized by Trewavas (1947) and later elaborated by Fryer (1959b). For the mbuna, Fryer suggested that populations on isolated rocky outcrops are free to pursue independent evolutionary trajectories. The course of these trajectories may be set either by drift or by adaptation to local physical, social, or ecological conditions. These local conditions are modified continuously as a result of the frequent changes in water level. Ribbink (1983) has suggested that these fluctuations in water level may play a generative role in speciation as "fluctuations in water level would increase or decrease the size of rocky zones, expose or drown areas, and fragment or unite similar habitats.", thereby potentially accelerating the process of genetic differentiation among populations.

The frequent fluctuations in water level within the lake basin constantly rearrange the configuration of available habitat *(sensu* Ribbink *et al,* (1983); McKaye & Gray (1984). Because of this chronic habitat instability, many opportunities have existed for adaptation to distinct local environments and for changes in allele frequencies due solely to genetic drift. Limited migration between isolated habitats could permit adaptation to local conditions. Each newly available habitat patch possesses its own unique collection of fauna and it's own set of physical conditions. Each founding event might produce a new

combination of genotypes, causing each, population to have a different potential response to selective forces.

 $\ddot{\phantom{a}}$ 

#### **Conclusion**

This study documents population differentiation on an extremely fine scale in the Lake Malawi cichlid *Melanochromis auratus.* Data are emerging which suggest that this may be a general feature of mbuna biology. Arnegard *et al.*(IN PREP) and van Oppen *et al.* (1997) have demonstrated high levels of population structure in five additional species using SSR loci. When combined with data from allozyme and mtDNA sequences (McKaye *et al.* 1984; Bowers *et al.* 1994) a pattern of extreme philopatry emerges.

The low level of migration in mbuna species combined with the isolated nature of many of the rocky habitat patches within Lake Malawi provides many opportunities for evolutionary divergence. Van Oppen *et al.* (1997) have suggested that mbuna species are divided into thousands of genetically isolated units. This division provides numerous opportunities for allopatric speciation. The *Melanochromis auratus* data presented here are consistent with this suggestion.

Speciation is also likely to be influenced by the dynamic nature of Lake Malawi itself. In mbuna the speciation process is likely enhanced by the chronic instability of the rocky habitats along the shores of the lake. While rapid and frequent changes in lake level alter components of the physical environment, genetic and social differences between populations also develop. Colonization of a newly flooded habitat patch would be expected to be accompanied by stochastic changes in both allele frequencies (due to founder effects) and community structure. This may differentiate populations with respect to both the potential response to selection (as a result of allele frequency differences), and the selective environment itself (as a result of differences in community structure or the physical environment).

The other African Rift Valley Lakes have also experienced dramatic climatically driven changes in water level during the Pleistocene *(cf.* Johnson *et al.* and Scholz & Rosendahl 1988). If habitat fidelity is a general feature of the biology of the East African cichlids then philopatry and habitat instability may help explain the rapid evolution of biodiversity observed in the African cichlid species flocks.

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**Figures and Tables**





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#### Figure 1.2: Habitat distribution along the shores of the Nankumba Peninsula.

a - The peninsula divides the southern end of Lake Malawi into two shallow basins. The shaded area represents parts of the lake which are > 200 m deep, b - The distribution of rocky habitats along the shores of the peninsula and the location of sites from which fish were sampled, c - A schematic showing the typical arrangement of rocky habitat along the shoreline. Rocks and boulders (gray) slope away from the shore, eventually intersecting the flatter sandy lake bottom (checks) When water levels are high (solid line) mbuna can use the rocks as habitat. Periodic recessions in lake level can destroy this habitat (dashed line). Increases in water level can completely submerge some rocky areas, making the site inhospitable to shallow water fishes.



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### **Figure 1.3: The relationship between habitat depth and average population heterozygosity.**

Heterozygosity is highly correlated with the depth of the rock-sand interface ( $r^2$  = 0.803. p=0.003), suggesting that deeper sites are older and more stable than shallower sites, and that colonization is achieved through a series of mild bottlenecks. The deepest site, Mumbo Island (inside square), has lower than expected heterozygosity, possibly a result of the long-term isolation of this site. The two points enclosed by circles represent Mazinzi Reef and Shallow Reef. Allele frequency and biogeographic data suggest that Shallow Reef was founded by migrants from Mazinzi Reef. This hypothesized colonization appears to have been accompanied by a decrease in heterozygosity.



## **Sample sizes and brief habitat descriptions for each of the collection localities sampled**





# **Summary data for the four simple sequence repent loci surveyed**



**Maximum-Likelihood estimates of null allele frequencies for each locus within each population.** These estimates were derived by counting the number of individuals with single locus amplification failures within populations. Because PCR amplifications can fail for a variety of reasons, these estimates represent maximum estimates of null alleles. True null alleles would be expected to cause heterozygosity to be below Hardy-Weinberg expectations. Null allele frequency estimates for loci within populations with  $F_{i}$ , values which are significantly greater than zero are indicated with an asterisk. Several of the highest estimated null allele frequencies occur in populations which do not show decreased heterozygosity.



Pairwise population comparisons. All comparisons are from adjacent collection localities except for Ilala Gap / Tsano Rock and Mumbo Island / Mphande Island and the two terminal sites Mumbo Island / Muhande Island. The distance between collection localities was estimated by calculating the dispersal distance along the shoroline where appropriate. Not's D values and standard errors were calculated with Microsat version 1.4 d (Minch et al., 1995). Mean pairwise population Fa values and standard deviations were estimated with the aid of FSTAT (Goudet, 1995) and were estimated by jackknifing over loci. Significance values were estimated by bootstrapping (2000 replicates) and represent the probability that  $F_{41}$  is not  $\geq 0$  (Bonferroni corrected  $\alpha = 0.003h$ ). No estimates wore calculated using Barton and Slatkin's (1986) private alleles method which should be less sensitive to the extremely recent divergences of these populations. The N<sub>in</sub> estimates were calculated using Genepop S.1 (Raymond & Rousset, 1995). N<sub>in</sub> could not be estimated between Shallow Reef and Nkhudzi Point due to a lack of private alleles hetween these two sites.



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<b>Collection Sites</b>	<b>UNH-001</b>	<b>UNH-002</b>	<b>UNH-050</b>	<b>UNH-231</b>	Average F., (Standard Deviation)
Mumbo Island - Ilala Gap	0.088	0.121	0.146	0.060	$(0.019)^*$ 0.104
Hala Gap - Myunguti NW	0.054	0.034	$-0.032$	0.011	(0.018) 0.017
Illala Gap - Tsano Rock	0.037	0.028	0.020	0.032	$(0.003)$ <sup>*</sup> 0.029
Mvunguti NW - Mvunguti SE	0.095	0.075	$-0.025$	0.042	0.047 (0.026)
Mvunuti SE - Tsano Rock	0.055	$-0.005$	$-0.001$	$-0.004$	(0.014) 0.013
Tsano Rock - Harbour Island	0.095	0.057	0.034	0.047	$(0.013)$ <sup>*</sup> 0.058
Harbour Island - Mazinzi Reel	0.068	0.118	0.157	0.008	$(0.032)$ <sup>*</sup> 0.095
Harbour Island - Shallow Reef	0,089	0.172	0.148	0.020	$(0.031)$ <sup>*</sup> 0.113
Shallow Reel - Mazinzi Reel	$-0.002$	0.014	0.047	$-0.005$	0.016 (0.014)
Shallow Reef - Nkhudzi Point	0.003	0.018	0.248	0.18	$(0.061)$ <sup>*</sup> 0.140
Mazinzi Reef - Nknudzi Point	0.003	0.027	0.335	0.152	$(0.081)$ <sup>*</sup> 0.158
Nkhudzi Point - Mphande Island	0.013	$-0.007$	$-0.009$	0.033	(0.011) 0.015

Pairwise F<sub>"</sub> values for each locus. Average estimates which are statistically distinguishable from zero are indicated with an asterisk,

 $\epsilon$ 

A matrix of four locus average F<sub>57</sub> values for all populations

Shallow Reef 0.1120 Ilala Gap 0 .1 1 1 2 0 .2 2 9 2 Mphande Island 0.1679 0.1497 0.2464<br>Mazinzi Reef 0.0932 0.0156 0.1844 .<br>Mazinzi Reef 0.0932 = 0.0156 = 0.1844<br>Mumbo Island 0.1631 = 0.3049 = 0.1038 = Mumbo Island 0.1631 0.3049 0.1038<br>Mvunguti SE 0.0916 0.2510 0.0573 Mvunguti SE 0.0916 0.2510 0.0573<br>Ikhudzi Point 0.1414 0.1225 0.2171 Nkhudzi Point 0.1414 0.1225 0.2171<br>Tsano Rock 0.0584 0.1788 0.0291 Tsano Rock 0.0584 0.1788 0.0291<br>vunguti NW 0.0668 0.2164 0.0171 **Mvunguti NW 0.0668 0.2164 0.0171**<br>Harbour Shallow Ilala Harbour Shallow Hala<br>Island Reef Gap is land 0.171 1  $0.3080$   $0.2641$ <br> $0.2788$   $0.2121$ 0.2788 0.2121 0.1582<br>0.0128 0.1513 0.2859  $0.0128$  0.1513 0.2859 0.2453<br>0.2129 0.1437 0.0949 0.0133  $0.1437$  0.0949 0.0133<br>0.1457 0.0953 0.0471 0.2870 0.1457 0.0953 0.0471<br>Mphande-Mazinzi Mumbo Mvunguti Mphande Mazinzi Mumbo Mvung<br>Island Reef Island SE **Island** 0 **.** 1855 0.2370 0.0007 Nkhudzi Tsano<br>Point Rock Point

E<sub>C</sub>

### **Chapter 2**

### **Biogeography and Population Structure in the evolution of the East African Cichlids: Evidence from DNA Fingerprinting**

#### **Summary**

In the 130 years following the first European scientific exploriations of the E. African Rift Valley lakes, a number of models have been proposed to explain the rapid evolution of taxonomic diversity which characterizes the cichlid species "flecks'' endemic to these lakes. The most comprehensive of these models were assembled by Fryer (1959) and Dominey(1984) who emphasized the roles of biogeography and sexual selection respectively. Anecdotal and experimental evidence has long been available which suggests a role for sexual selection. Recently, DNA fingerprinting techniques and systematic population sampling have produced data sets which quantify the role biogeography has played in the most extensive of the vertebrate adaptive radiations.

### Introduction

The adaptive radiation of the E. African cichlid fishes is one of the most dramatic in vertebrate evolution. Estimates of endemic species within each of the great lakes range from the hundreds to over 1,000 (Poll 1986; Greenwood 1991). Geological evidence suggests that in Lakes Victoria and Malawi, this taxonomic diversity arose very recently. Lake Victoria was completely desiccated 12,000 years ago (Johnson *et al.* 1996), and sites in Lake Malawi harbor local endemic species in places which were dry land as recently as 150 years ago (Owen *et al.* 1990). If these species arose *in sirn,* then speciation has occurred during within the last century and a half, in some cases perhaps *after* the first scientific explorations of the lakes in the mid-nineteenth century (Günther 1864). Even if it is ultimately demonstrated that the local endemic species in southern Lake Malawi evolved elsewhere and migrated to their current habitats, the evolution species in these lakes is undeniably rapid.

### The role of sexual selection

The "synthetic" model proposed by Dominey incorporates several of the elements from earlier hypotheses, and places a strong emphasis on reproductive behavior and habitat fidelity. Under the Dominey's model, runaway sexual selection leads to the development of distinct SMRS's within each population. Low between population migration rates leads to the development of reproductive isolation because unique SMRS's which evolve within populations do not spread to other populations, nor are they diluted by immigrants to that population.

Until recently, the presence of sexual selection in E. African cichlids has been more thoroughly explored than the role of philopatry. Hert (1989), for example, has shown that the number of "egg dummies", small yellow spots on the anal fins of some fish, determines reproductive success in males. Direct evidence supporting the importance of color morphology in the SMRS has been provided by Seehausen *et al.* (1998) who demonstrate the importance of male coloration to female mate choice by manipulating ambient light, and a "natural" experiment in sister taxa from Lake Victoria which demonstrates that isolation by sexual selection may be breaking down as the water becomes increasingly turbid as a result of environmental degradation (Seehausen *et al.* 1997). Genetic support

for variance in male reproductive success has been provided by Kellogg *et al* (1995) and Parker and Komfield (1996) who have demonstrated that female mbuna routinely use more than one male to fertilize their broods, suggesting an element of female choice. Sexual selection is also well supported in the sand dwelling fishes of Lake Malawi who have permanent leks and who build elaborate breeding platforms (McKaye 1990).

#### Population Structure and Biogeographv - Evidence from SSR Loci

DNA fingerprinting techniques are now producing data which can be used to estimate the extent of population structuring in these fish. Highly polymorphic simple sequence repeat (microsatellite) loci have been used to quantify levels of migration among populations of 6 mbuna species. In the first of these papers, van Oppen *et al* (1997) used 6 SSR loci to estimate levels of gene flow in 4 species from sites near Nkhata bay, along the central-western shore of Lake Malawi (Figure 2.1). They found extremely low levels of migration among these fish as evidenced by low  $F_{ST}$  estimates among populations separated by less than 7 km. This striking result suggests population structure on smaller scales than had been expected. The low levels of migration observed among populations provides an opportunity for modest selection pressures alter phenotypes. Among the four species surveyed by van Oppen *et al* (1997), an overall estimate of 7 migrants for every 10,000 territorial males may be obtained. The evolutionary implication of this result is that moderate levels of selection (natural or sexual) could easily overcome the homogenizing effects of migration, leading to phenotypic divergence and/or reproductive isolation.

Recently, we have analyzed four locus SSR genotypes from two additional Lake Malawi species which illuminate the role that biogeographic forces play in the maintenance of population structure in both *Melanochromis auratus* and *Labeotropheus fuellebomi.* (Chapter 1 and Amegard *et al.* IN PREP). We sampled populations of these mbuna species from an "archipelago" of rocky habitats in the vicinity of the Nankumba Peninsula which subdivides the southern end of the lake into two shallow basins (Figure 2.1). Populations of both species were systematically sampled from a number of sites along the eastern shore of the peninsula, the shores of several islands in the area, and a completely submerged rocky "reef" about 3 km from the shore.

The southern end of Lake Malawi is a special environment in which to study population structure because the habitat in this area has become available for colonization by mbuna very recently. The shoreline alternates between rocky and sandy stretches of

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varying lengths. A xeric period which ended 150 years ago led to a decrease in water levels of 150 m. At its peak, the southern basins were dry land (Owen *et al.* 1990) (Figure 2.1). The rocky habitats along the shoreline which form the core of present day habitats typically slope at steep angle and eventually intersect the flat sandy lake bottom. The depth of this rock-sand interface determines the age and ultimately the temporal stability of the habitat.

The two species surveyed are both confined to the shallower portions of these rocky habitats, however they utilize the rocky substrate in different ways. *Melanochromis auratus* is a small (6-8 cm) fusiform fish that is present at depths between 0 and 40 meters (Boulenger 1897; Ribbink *et al.* 1983). In contrast, *L. fuellebomi* is a somewhat larger and rather stout fish which is rarely observed at depths >2m, and which is most abundant over wave washed rocks in the extreme shallows (Figure 2.2) (Ribbink *et al.* 1983). *L. fuellebomi,* w ith its subterminal mouth and robust bodyplan seems well adapted to scraping algae off the horizontal wave washed surfaces where it is most common. *M. auratus* on the other hand is perhaps more of a generalist, plucking algae off a variety of surfaces and venturing to greater depths. *M. auratus* is rarely encountered in the extreme shallows dominated by *L. fuellebomi* (Figure 2.2). Because the two fish species studied are in the same geographic area, we can use these data to infer the relative importance of various environmental forces on population structuring in cichlids and begin to understand the range of responses possible in these closely related but phenotypically distinct species.

As the lake basin refilled, sites with a deeper rock-sand interface became available for colonization sooner than sites with a shallower intersection. The depth of the rock-sand interface varies from site to site, ranging from less than a m eter to over 45 meters. Sites with a deep rock sand interface became available for colonization sooner than sites with a shallow interface. *L. fuellebomi,* with its shallow habitat preference appears to be capable of colonizing rocky habitats as soon as they become available. Amegard *et al* found *L. fuelleborni* at some sites with a rock / sand interface <<1 m. In contrast, *M. auratus* were rarely observed at sites with a rock / sand interface  $< 2$  m. The level of population structuring in these species is probably related to the occupation of distinct niches in the rocky littoral region.  $F_{\text{ST}}$  values were typically much lower for *L. fuelleborni*, than for *M*. *auratus* populations from identical pairs of sites (Figure 2.3). These data suggest that *L. fuellebomi* is an early colonizer and is capable of becoming established as soon as a rocky outcrop becomes submerged. This capacity enables *L. fuellebomi* to use a number of tiny habitat patches as stepping stones between larger patches of habitat, which may facilitate gene flow among more distant sites. In contrast, *M. auratus* does not thrive in the extreme

shallows and cannot utilize these intermediate patches, leading to a higher level of genetic heterogeneity among populations.

#### Deep Water as a Migration Barrier

The lowest level of migration occurs between sites separated by deep troughs. In *M. auratus* the lowest pairwise migration rates (expressed as Barton and Slatkin's  $N_m$ (Barton and Slatkin 1985)) occur between Mumbo Island and Ilala Gap, two sites which are 10.4 km apart and separated by a trough at least 46m deep. Similar migration estimates were obtained between Mazinzi Reef and Harbour Island and between Mazinzi Reef and Nkhudzi Point. Mazinzi Reef is an isolated habitat 2.8 km from shore. At its highest point, the reef is 3 m below the surface of the lake and the rock-sand interface occurs at 13 m. The trough separating Harbour Island and the reef is at least 30 m deep.

Although the trough dividing Mazinzi Reef from Nkhudzi Point is shallower, migration rates from the reef are still very low. Marsh and Ribbink (1981) have shown that that these essentially benthic mbuna have a limited capacity to adjust to depth related changes in pressure. Pressure chamber experiments indicate that mbuna species can compensate for pressure changes equivalent to a depth change of about 4 m / day. Beyond that point, their swim bladders lose the capacity to regulate buoyancy. Mbuna attempting to cross the trough separating Mumbo Island and Ilala gap would require weeks to achieve the vertical component of the migration, wherease fish leaving Mazinzi Reef for Nkhudzi Point might require only a few days.

#### Other Ecological Forces Reducing Gene Flow

Deep troughs are not the only force limiting migration. Smaller, but statistically significant, genetic heterogeneity is also present between sites separated by shallow water or a stretches of sandy shoreline. In these case, predation may be operating to limit gene flow. Mbuna rely on the rocky substrate for shelter from predators. Trendall (1988) has shown that newly released fiy are extremely vulnerable to predation and rely on the acquisition of a rocky shelter survive. We have observed a similar pattern in an unpublished pool experiment in which 9 sets of 3 11 x 11 cm bathroom tiles were arranged to form an 11 x 1 x 0.5 cm tunnel. Several dozen adult fish from the *Pseudotropheus* and *Melanochromis* genera were introduced into the pool. After several months, a dip net was used to recover juveniles present under these shelters. Each shelter harbored a single juvenile. No unsheltered juveniles were observed, suggesting that juveniles who could not obtain and defend a shelter were preyed upon by the adults in the

pool. Fryer (1959) has suggested a similar situation may exist within the lake itself. A number of large pelagic predators are known to cruise the rock sand interface, preying on mbuna who stray far from shelter and incidentally reducing the ability of these fish to migrate between habitat patches, in a manner similar to the adult mbuna in our pool experiment who opportunistically fed on juveniles.

#### Evolution of Phenotypic Diversity

Low migration rates among populations is a necessary but not sufficient component of speciation. Reduced gene flow must be accompanied by phenotypic change for permanent reproductive isolation to evolve. Given the difference in average gene flow in these two species, we might expect *M. auratus* to show more site to site phenotypic variation than *L. fuellebomi.* Surprisingly, the opposite pattern was observed. *L. fuellebomi* show interpopulation differences in both gular and fin coloration in our study area, and a range of color variation elsewhere (Ribbink, Marsh et al. 1983). A phylogenetic analysis of the *L. fuellebomi* populations surveyed suggests an explanation; the morphological differences may have evolved in the past when water levels were lower and the shallow stepping stone habitats which connect these habitats did not exist, and that the present situation is a result of secondary contact. A number of possible deep refuges exist in the area, including Boadzulu Island which approximately 12 km south of our study area and a number of offshore rocky "reefs". Although these reef structures are currently covered by several meters of water and do not currently support *Labeotropheus* populations, they would have been islands with rocky shores during xeric periods.

### Water Level Flucturations and Evolution

In both the *L. fuellebomi* and *M. auratus* data sets a negative correlation between heterozygosity and habitat depth was observed. The reduced heterozygosity at shallower sites suggests the serial dilution of genetic diversity as newly available habitats are colonized by migrants from nearby deeper sites with a loss of alleles due to sampling. As the southern basins refill, the cycle is repeated compounding the loss of allelic diversity. Although SSR markers are believed to be selectively neutral, the differences in allele frequencies at these loci underscore the potential for the uneven distribution of alleles responsible for maintaining the SMRS's and other phenotypic variation, ultimately determining whether reproductive isolation or continuity exists among populations.

Water level fluctuations which lead to the creation of new habitats, the destruction of existing habitats, unification of isolated habitat patches or the division of continuous

stretches of habitat (and interruptions in Fryer's (1959) *rassenkreis*) could accelerate the process of speciation by driving stochastic changes in allele frequency (Ribbink *et al.* 1983; McKaye and Gray 1984). The chronic instability of these habitats may keep mbuna species in the "turnover" state described in Vrba's Tumover-Pulse hypothesis which suggests chat phenotypic change is most likely to occur during periods of rapid environmental change (Vrba 1985).

Water level fluctuations may also lead to the creation of a variety of selective environments. Each time a new habitat patch becomes available, it is colonized by a different assemblage of species. Although many fish are common to many sites, almost every habitat patch has its own constellation of taxa and a unique assemblage of competitors. Further, changes in water level may drive the evolution of species on a habitat patch. For example, shallow water species living on the shores of a small island must adapt, migrate or perish as water levels increase.

As additional information becomes available, it is becoming clear that the E. African cichlid radiation is the result of a combination of environmental forces unique to the Rift Valley lakes and a number of forces intrinsic to the cichlid lineage itself. As data continue to accumulate, it will eventually be possible to determine which forces have been responsible for causing specific lineage splitting events.

### Species Concepts and Malawi Cichlids

The Biological Species Concept (BSC) (Mayr 1963) defines species as assemblages of actually or potentially interbreeding populations of individuals, and speciation scenarios derived from it often involve the evolution of phenotypic divergence as a result of different selective pressures operating on allopatric populations. If barriers to migration fall, barriers to hybridization are expected to arise if hybrid offspring show lower fitness than the parent populations. The BSC is philosophically problematic in cases where allopatry exists and little interpopulation migration occurs. Such populations may potentially interbreed if migration barriers fall, even if no gene flow exists between them at the present time. The dynamic nature of the habitats within Lake Malawi suggests that the BSC cannot be too strictly applied within this system.

Ribbink (1986) has pointed out the utility of Patterson's (1985) "Recognition Concept" in this context. The evidence accumulated to date supporting the Dominey model are quite compatible with the recognition concept in which species are defined as genetically continuous units in which genetic cohesion is maintained by a common mate recognition system consisting of a variety of phenotypic characters which are responsible for synchronizing and facilitating reproduction among the members of a species. The patchy distribution of habitats within Lake Malawi combined with modest levels of migration among them suggests that the recognition concept, which defines a species as a group of populations with a common SMES, avoids the ambiguities of the BSC in which species are defined as actually or potentially interbreeding populations (Mayr, 1963). Under the BSC, we might be tempted to classify every population at an isolated habitat patch as a separate species, particularly if it can be demonstrated that gene flow to and from that patch are low. However, the unstable nature of many habitat patches in the lake suggests species defined in this way would he extremely ephemeral given the transient nature of many habitat patches. By relying on the Recognition Concept, with its emphasis on a common mate recognition system, this difficulty is avoided.

### **Figures and Tables**

#### Figure 2.1: Lake Malawi past and present

a - During the most recent xeric period which ended 150 years ago, water levels within Lake Malawi were 120 m below their present level. Such a decrease in water level would reduce the lake to the gray area shown above, rendering the southern end of the lake dry land, b - Mbuna live in rocky habitats along the shore and on a few submerged rocky reefs. These rocky habitats slope steeply, eventually intersecting the flatter, sandy lake bottom (checkered area). When w ater levels fall (dotted line), the habitat becomes unusable or disappears completely. The depth of the rock-sand interface determines both the age and stability of rocky-habitat patches, especially in the southern end of the lake.



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#### Figure 2.2: Niche partitioning in two mbuna

Although *Melanochromis auratus* (left) and *Labeotropheus fuellebomi* live in close proximity to each other in rocky habitats along the lake shore, population density data suggests that they are using different areas of the habitat. *M. auratus* inhabit a wide range of depths whereas *L. fuelleborni* are confined mainly to the shallows where their subterminal mouth and robust bodies helps them scrape algae of flat, wave-washed rocks in the shallows.



### Figure 2.3: Barriers to migration.

The highest  $F_{sr}$  values were observed between population separated by deep troughs, although rocky habitats separated by shallower stretches of sandy shoreline also contain populations which are genetically distinct. In all cases, *M. auratus* populations are more differentiated than *L. fuellebomi* populations. Mazinzi Reef has few if any *L. fuellebomi.*



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### **Chapter 3**

### Sample sizes needed to estimate population structure using highly polymorphic **loci**

#### **Abstract**

The large number of alleles found at some simple sequence repeat loci raises questions about the size of samples needed to analyze population structure. Here we present some simulations which quantify the accuracy and precision of two estimators  $(D_N)$ and  $F_{st}$ ) for different sampling schemes. Previous studies have shown that large numbers of individuals must be sampled at a large number of loci to accurately estimate the historical relationships among populations. In contrast, when the goal is to quantify population structure, we find that the number of samples needed to reliably estimate  $F_{st}$ and  $D<sub>s</sub>$  is much smaller. Samples of 30 individuals scored for 5 microsatellite loci may be sufficient to estimate the magnitude of population differentiation in many species.

#### **Introduction**

Simple sequence repeat (SSR, microsatellite) loci are now widely used in studies of genetic divergence (Lehmann, *et al.* 1997; Allen *et al.* 1995; Paetkau and Strobeck 1994), pedigree analysis (Jones and Avise 1997; Kellogg et al. 1997), and, systematics (Komfield and Parker 1997; Roy *et al.* 1994). It has been suggested that the high mutation rate at these loci makes them an ideal tool for estimating historical relationships among closely related taxa (Tautz 1989), and that they might be particularly useful in cases where allozyme or mtDNA markers are not polymorphic enough to resolve relationships (Komfield and Parker 1997). The popularity of these markers has led to a number of simulation studies which address sampling issues for these highly polymorphic loci, with particular emphasis on requirements for phylogeny estimation. Relatively little attention has been paid to the separate issue of sampling requirements for the detection of population structure (Ruzzante IN PRESS).

This distinction is important. Phylogeny reconstruction requires reliable *rankings* of pairwise estimates of genetic distances between taxa. Any variability in the estimates which alters the rank of pairwise distances will alter the topology of the phylogeny. In the heyday of allozyme markers, Nei (1978) and Gorman and Renzi (1979) both suggested that surveying a large number of loci in a modest number of individuals produced estimates of genetic differentiation reliable enough to use for phylogeny estimation, although the practical utility of this approach was later seriously questioned by Archie *et al.* (1989). Recently, a number of authors have focused on **similar** issues for highly polymorphic SSR loci. Zhivotsky and Feldman (1995) and Takezaki and Nei (1996) have suggested that genotypes at hundreds of loci may be necessary for reliable phylogeny reconstruction. Further, Ruzzante (IN PRESS) has indicated that sample sizes of 50 to 100 individuals are required for reliable distance estimates and Komfield and Parker  $(1997)$  have indicated that sample sizes on the order of 100 individuals may be required to accurately estimate genetic distances when 25 to 30 alleles are present at a locus. Together, these studies suggest that sampling requirements for highly polymorphic SSR loci are so restrictive that their utility as a phylogenetic tool may be limited to those rare instances where large numbers of individuals are available and large numbers of loci may be surveyed.

In studies of population structure, less stringent requirements apply. When the goal is merely to detect population structure, (deviations from the null hypothesis of no differentiation), accurate ranking of divergence estimates is not required. When the relative strength of different migration barriers is to be tested, the precision in distance estimates needs to be high enough to differentiate between barriers. If the hypothesized difference in the strength of these barriers is large, then moderate variability in distance estimates may be tolerable. Further, relatively small sample sizes may suffice to reject a null hypothesis of no differentiation.

In this report we quantify how variability in estimates of genetic differentiation is influenced by the number of individuals sampled, number of loci surveyed, and allelic distribution at these loci. We selected two widely used estimators of genetic differentiation,  $D_N$  (Nei 1987) and Weir and Cockerham's (1984) ANOVA based estimator of  $F_{ST}$ .

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#### **Methods**

Two allele distributions were created. The first contained 30 alleles in equal frequency (the effective number of alleles  $[n<sub>n</sub>] = 30$ ). The second distribution contained 29 alleles in frequencies ranging from 0.006 to 0.137, with the four most common alleles representing 40% of the total. This distribution was based on the sample distribution observed by Amegard *et al.* (IN PREP) for a population sample of 85 individuals from a single locality in southern Lake Malawi. The effective number of alleles for this distribution is 15.53, slightly more than half the value of in the first distribution.

Genotypes were simulated at thirty loci for population samples ranging from 15 to 100 individuals One hundred samples were drawn for each sample size from each allele distribution, permitting 50 independent pairwise estimates of the interpopulation parameters  $D_N$  and  $F_{ST}$  for each sampling condition. Estimates were calculated using the first locus in each individual, the first three loci, the first five loci, and so on up to 30 loci. This method was used to simplify calculations and, as Gorman and Renzi (1979) have suggested, represents a scientifically realistic situation in which additional loci are added to existing population samples. Sampling and calculations were carried out with the aid of Microsoft Excel 5.0 (Microsoft Corporation, Redmond, WA), GenePop version 3.1 (Raymond and Rousset 1995) and FSTAT version 1.2 (Goudet 1995).

In order to determine how the results of these simulations are influenced by the number of replications performed, an additional 500 pairwise  $F_{\rm 3T}$  estimates were calculated from sample pairs drawn from the *L. fuellebomi* distribution. The results are shown in Figure 3.3.

Because each sample in a pair was drawn from an identical distribution,  $F_{ST}$  and  $D<sub>N</sub>$  values of zero are expected. The average value of these pairwise estimates indicates the level of accuracy obtained for each sampling condition, and the deviation from this average represents their precision.

### **Results and Discussion.**

Median values for  $F_{ST}$  and  $D_N$  are close to zero, regardless of sample size, allelic distribution, or number of loci sampled, with about half the estim ates < 0 (Figure 3.1-A and -C). This is possible because, although these parameters by definition must be positive, the bias corrected estimators for them can be negative *(cf Nei,* 1987, pp. 224). On average, both estimators are accurate even under the most extreme conditions tested (15 individuals, 1 locus,  $n_e = 30$ ), however, the range (precision) of the 50 pairwise estimates is strongly influenced by the size of the sample.

As would be expected, estimates calculated from smaller samples (of either individuals or loci) are more variable than those calculated from larger samples. Figure 3.1-A shows notch plots of 50 pairwise comparisons of  $F_{ST}$  estimates for different sample sizes. The trend is for the range of the pairwise estimates to decrease as sample size increases. Figure 3.1-B shows the relative improvement in precision as sample sizes are increased, in terms of the most extreme positive outlier (open squares), and in terms of the value of the 95th percentile (closed circles). Over the range of sample sizes tested, a doubling in number of individuals sampled decreases the value of the 95th percentile by about one half.  $D_{\rm s}$  and  $F_{\rm sr}$  respond almost identically to enhanced sampling and the distributions of the two estimators for a given sample size are concordant in their response to improved sampling. This is not surprising given the high correlation between these estimators.

Increasing the number of loci sampled also steadily decreases the variability in the estimates, but with steeply diminishing returns. Figures 3.1 - C and -D illustrate the effect of increasing the number of loci surveyed for a sample size of 25 individuals drawn from the *L. fuellebomi* distribution. The most dramatic increase in the precision results from the addition of the first few loci (Figure 3.1-C). The maximum variability is observed when a single locus is sampled. For five loci, the value of the 95th percentile is about half the value observed for a single locus. Twenty five additional loci must be surveyed to achieve another halving of the 95th percentile value.

Allele distributions also affect the reliability of these estimators (Figure 3.2). Estimates of  $D_N$  for samples drawn from the  $n_e = 30$  distribution are more variable than those drawn from the  $n_e$  = 15.53 distribution. This is the expected result because smaller sample sizes are required to accurately estimate allele frequencies when the number of alleles present is small. In contrast, the variation in  $F_{ST}$  is higher for samples drawn from the *L. fuellebomi* distribution than for samples drawn from the distribution with 30 alleles present in equal frequency. The relationship is not obvious, but where the number of alleles in a sample is smaller than twice the number of individuals sampled, the variance in  $F_{\rm sr}$  is proportional to the inverse of the square of the number of alleles (Weir and Cockerham 1984). For small sample sizes, as the number of alleles decreases, the variability of  $F_{sr}$  estimates increases. The impact of this effect decreases as sample sizes are increased (Figure 3.2).

There are two possible negative outcomes of inadequate sampling in population studies; population structure might be statistically indicated when no such structure actually exists (Type I error under a null of no differentiation), or existing structure might not be detectable (Type II error). In the simulations presented here, the distribution of observed pairwise distances for a given sampling condition suggests the minimum level of detectable divergence when either permutation (FSTAT) or exact tests (GenePop) are used to determine whether two populations are statistically different. For example, for samples of 30 individuals at 5 loci, the most extreme pairwise  $F_{sr}$  value observed is 0.0072, and 95% of the values are below 0.0028. Values lower than the 95th percentile for samples drawn from the same distribution should in theory be statistically undetectable when permutation tests are used to determine statistical significance. The 95th percentile values obtained in these simulations suggest the minimum detectable levels of interpopulation genetic structure when the underlying allele distributions are similar to the one used here. For a sample size of 15 individuals, 5 loci, and ne=15.53, 95% of the values are below 0.11 or 0.007 for  $D_N$  and  $F_{ST}$  respectively. When the sample size is doubled to 30, 95% of the values are below 0.04 or 0.0049, a level of precision adequate to detect population structure in many species.

Two widely used analysis packages provide empirical support for this view, and both are conservative in rejecting a null hypothesis of no interpopulation differentiation. When the samples used to generate the most extreme observed  $F_{\rm sr}$  value were reanalyzed using the permutation tests in the FSTAT package, using 1000 permutations of genotypes over populations to determine whether the  $F_{sr}$  value is significantly different from zero, the calculated  $F_{\text{ST}}$  value was found to be statistically significant (p = 0.011). The exact tests of GenePop, which determines the significance of interpopulation allele frequency differences, also found these two sample to be statistically significant at a probability level of 0.029. However, when the sample pair which generated the next most extreme value ( $F_{\pi}$  = 0.0034,  $D_s = 0.045$ ) was analyzed in the same way, no statistical significance was indicated. We can infer from this, that samples of 30 individuals at 5 loci with a distribution similar to that used here might be inadequate to detect differentiation below an  $F_{ST}$  value of 0.003, and that Type I error, manifested as spuriously high differentiation index values, is at an expected and tolerable level whether permutation or exact tests are used.

The values shown above apply only in cases where the allele distribution closely resembles the one used in these simulations, however this distribution is not unlike that found for dinucleotide repeats in other fish species *(cf* Ruzzante, IN PRESS or van Oppen, 1997). In cases where pilot studies have indicated that allele distributions are very different, spreadsheet based simulation studies can be a valuable tool in the design of sampling strategies.

### **Figures**

### **Figure 3.1: Notch plots (Systat, Inc., Evanston IL) showing the relationship** between sample size and the distribution of 50 independent pairwise estimates **of Fst.**

The number of loci surveyed is 5 for all sample sizes and the samples were drawn, with replacement from an allele distribution equivalent to that found at locus UNH-001 in *Labeotropheus fuellebomi* at a single collection site. Notch plots show the median (central horizontal line), and central 50% of observations (area within boxes) The "whiskers" represent +/- 3 times the range between the hinges. Values outside this range are indicated with asterisks. The 95% confidence area for the median is indicated by the notch within the box. *B* - Number of individuals sampled vs. either the most extreme value observed in 50 pairwise estimates (open squares) or the 95th percentile value for the same set of estimates (closed circle).  $C - A$  notch plot showing the relationship between number of loci sampled and the distribution of 50 pairwise estimates for samples of 25 individuals drawn from the *L. fuellebomi* distribution. *D -* Number of loci surveyed vs extreme and 95th percentile values.



### Figure 3.2: The variability of Nei's D and  $F_{ST}$  estimates is influenced by the underlying distribution of alleles.

Box plots for  $D_N$  and  $F_{ST}$  are shown here for sample sizes of 15, 30 or 50 individuals drawn from either the uneven *L. fuellebomi* distribution (shaded boxes) or a distribution in which 30 alleles are present in equal frequency (white boxes). Nei's Distance shows more variability for samples drawn from the distribution with the higher  $n_e$ .  $F_{ST}$  is more variable for sample pairs drawn from the *L. fuellebomi* distribution, although the difference becomes less pronounced as the sample size is increased.



#### **Figure 3.3**

Replicate number vs 95th percentile value and the value of the most extreme outlier. In order to determine the effect of the total number of replicates on the  $F_{ST}$  estimates obtained in these simulation studies, an additional 500 pairs of 5 locus, 30 individual population samples were simulated. These additional samples were added to the original 50 pairs of samples in increments of 50 sample pairs; the data shown for 100 replicates includes the original 50 pairwise comparisons and an additional 50 comparisons. The  $F_{ST}$ value at the 95th percentile became stable after 350 individuals had been sampled at a value of approximately 0.0049. When the samples pairs which generated values of 0.0049 were analyzed using the permutation tests in FSTAT, they were found to be statistically insignificant at a p-value of approximately 0.06. This suggests that FSTAT is slightly less likely to reject a null hypothesis of no differentiation than might be expected under these conditions. The value of the most extreme outlier observed was 0.0109. This sample pair happened to occur in the second set of 50 sample pairs simulated.



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## **Acknowledgments**

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### **Chapter 4**

#### **Mate choice in two Malawian Congeners**

#### Introduction

The Dominey hypothesis (1984) holds that cichlid diversity is driven by the synergistic interaction between reduced migration and a rapidly evolving mating system. The model predicts that reproductively isolated populations develop unique mate recognition systems as a result of runaway sexual selection. If the sexually selected trait (and/or the preference for it) is initially a result of random mutation, then each population could wind up following its own distinct evolutionary trajectory.

Dominey identifies  $\alpha$  set of population genetic conditions which are required for speciation to occur. These conditions include the evolution of distinct gene pools, genetic differentiation of lineages, and ultimately the establishment of reproductive isolation. Biogeographic and migratory forces which serve to establish the first two conditions were discussed in Chapters 1 and 2, but these factors alone are not sufficient for speciation to occur. Genetically differentiated populations become distinct species only when reproductive isolation is established. Mutations which alter the Specific Mate Recognition System (SMRS) (Patterson 1985) can lead to the evolution of reproductive isolation between groups and ultimately speciation.

Dominey (following West-Eberhard (1983) and Thornhill and Alcock (1983) reasoned that the components of the SMRS which are most evolutionarily pliable (and therefore more likely to cause rapid speciation) are those associated with sexual selection. The plasticity of sexually selected traits is a consequence of the variance in reproductive success. Under Dominey's model, runaway sexual selection leads to the rapid establishment of reproductive isolation, and the model assumes that the runaway process is started by random mutations and possibly by rare-male effects.

Circumstantial evidence for the importance of sexual selection abounds in the cichlids. The best documented in Lake Malawi involves sand dwelling species who build elaborate breeding platforms. Field experiments and observations have demonstrated that the size, shape and position of this extended phenotype determines male reproductive success (McKaye 1990). Many species show variation in color morphology throughout their range *(cf.* Ribbink *et al.* 1983). Seehausen *et al.* (1998) have recently documented a number of red/blue species pairs - sibling taxa which are presumed to have developed divergent mate recognition systems based on color. This observation was tested experimentally by changing the ambient light conditions so that red and blue fish were indistinguishable; under these conditions, species-specific mate recognition broke down.

Documentation of the scale of differentiation in mate recognition systems is critical to understanding the high rate of lineage splitting which characterizes this system. Ideally, mate choice experiments would be conducted on the populations surveyed in Chapter 2. Because live specimens from these populations were not readily available, I decided to test the relative strength of mate recognition for two congeners, *Melanochromis auratus*, and *M.* cf *heterochromis* (Bowers and Stauffer 1997) Because these species are sympatric, almost perfect mate recognition would be expected (hybridization is believed to be rare in the wild). The frequency of incorrect matings in a laboratory experiment can be used to estimate the baseline error rate resulting from an artificial environment.

### Methods

*M.* cf *heterochromis* (Bowers and Stauffer 1997) and *M. auratus* are comparably sized rock-dwelling fishes from Lake Malawi. *M. auratus* males have a distinct horizontal gold stripe on a deep blue to black ground color. *M*. *heterochromis* are lighter blue to brown and occasionally have a pale blue horizontal stripe. In the laboratory, *M. heterochromis* appear to be less aggressive and outgoing, often retreating to the back of their aquaria when humans are present. Recently handled *M. auratus* exhibit a similar behavior, but they are less shy of humans after only a few days.

Two different types of mate choice experiments were performed. In the first type, the ability of females to detect conspecifics in smaller aquaria (50 gallons) was tested. In the second set of experiments, large (440 gallon), round pools were used. In the pool experiments, both species were present and *M. auratus* x *M. heterochromis* hybrids were included in the experiments. The strategy for both experiments was to place two or three males into a "mesocosm" which was outfitted with a shelter for each male which could serve as the focus of a breeding territory. These shelters were either 4" clay flowerpots or cubes constructed of 12" ceramic floor tiles and which were open on three sides. Shelters were arranged symmetrically in the aquarium so that the territories appeared to be equivalent with respect to light, food distribution, level of disturbance etc. Laboratory raised fish were used these experiments. In all cases, these were first generation fish derived from wild stocks.

In the first set of experiments, one male from each species was placed in a 55 gallon aquarium. The fish were then allowed one week to establish territories. After 1 week, three females of each species were introduced into the aquarium. After approximately one month, adults and progeny were removed from the pool and two locus SSR genotypes were generated for all individuals in order to determine maternity and paternity using the methods described in Chapter 2.

The general methods of the second experiment were similar to the first except that three males were introduced into a 440 gallon pool. In addition to *M. auratus* and *M. heterochromis* males which were hybrids between two species were also included. After one week, 9 female fish were added to the tank; 3 *M. auratus,* 3 *M. heterochromis,* and 3 hybrids. This experiment ran for several months. Fry and juveniles were periodically netted from the pool.

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### **Results**

A total of 117 juveniles were scored from 7 independent experiments. The species of both parents could be determined in 112 of these. Both species almost always mated with conspecifics. In the 50 gallon aquaria, only one of the two species present mated successfully. In 440 gallon pools, only two of the three types present mated successfully. Surprisingly, hybrids also mated primarily with hybrids. The results are summarized in Table 4.1.

Within the 50 gallon aquaria, all fry present appeared to be from a single brood. The pool experiments were run for several months, and females had sufficient time to produce multiple broods. The fry surveyed in mesocosm # 7 appeared to have been the offspring of single pairs, even though they may represent multiple broods. This is also the case for the hybrid offspring in mesocosm 6. Because two of *M. auratus* females in this pool shared alleles, it is not possible to reach a similar conclusion for this species.

#### **Discussion**

The fact that two locally sympatric congeners demonstrate nearly perfect mate recognition is not surprising. It is not clear whether the mismatings observed here represent a laboratory artifact or whether low levels of hybridization occur in nature.

In the 4 of the 5 two way aquarium experiments, only *M. heterochromis* were observed to breed, in the fifth aquarium only *M. auratus* individuals bred. Individuals within an aquarium were carefully matched for size and age, but it is possible that *M*. *heterochromis* mature at a smaller size than *M. auratus.* In contrast, the pool only 3 *M. heterochromis* progeny were produced. The majority of the offspring were pure *M. auratus* or hybrid x hybrid offspring. In addition, it appears that only a single female of each species was breeding in each mesocosm.

These results suggest that some aspect of the laboratory environment may be preventing certain individuals from mating at all. The densities of fishes in vessels used in this study are much higher than they are in nature, so it is possible that dominant individuals are preventing subordinates from breeding, perhaps by dominant males preventing subordinate males from displaying to females, regardless of their species. If this is the case, then the apparently "timid" *M. heterochromis* males may in fact establish dominance over *M. auratus* males.

The tendency of hybrids to mate with each other has also been observed in other cichlids (Crapon de Caprona 1986), and the explanation for this phenomenon is unclear. In sticklebacks, (Hatfield and Schluter 1996) hybrid males have an intermediate level of reproductive success, that is their reproductive success is equal to their

### **Table 4.1**

Results of Mate-Choice Experiments Conducted in 7 Mesocosms. For experiments performed in 50-gallon aquaria, only the total number of progeny produced of each type is shown. Complete parental genotypes and numbers of fry produced by each parent are shown for experiments performed in 440 gallon pools, (a) - indicates fry for which maternity not be unambiguously assigned.





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frequency in the population. In these experiments, hybrid females preferentially mated with hybrid males over either of the parental types. There are several explanations for these results which cannot be differentiated at the present time. Many of these explanations represent confounding factors which must be carefully considered in the design of future mate-choice experiments.

1) Hybrids have a novel SMRS composed of aspects of each parental SMRS. Female hybrids are choosing a unique assemblage of phenotypic traits which is only complete in hybrid males. This pattern suggests that the traits involved in the SMRS are dominant traits, and that they may be different loci in *M. heterochromis* and *M. auratus.* 

2) Juvenile hybrids develop their SMRS through imprinting. The fish used in were raised with siblings in a small aquarium. If a search image for mates is developed during this time (possibly visual, chemical or acoustic), then hybrids would be expected to develop a SMRS specific for other hybrids purely as a result of culture conditions. If this postulated imprinting occurs after juveniles are released from their mother's mouths, then rearing fry together might reduce this effect. Such an experiment would require careful genotyping of the parents of the broods because these species are difficult to distinguish when these fish are immature.

3) Reproductive asynchrony among taxa is also a possibility. The fish used in this experiment were mostly newly mature individuals. If some of the females used in this experiment were not fully mature then they might not breed, causing the majority of the progeny produced to be derived from a single taxon. This could explain both the nearly perfect mate choice observed in these experiments and the tendency of hybrids to mate primarily with other hybrids.

The appearance of a reproductively dominant female also complicates the interpretation of these results. One possible explanation within the 440 gallon pools is that many several females mated but only the first brood to be released survived. Shelter within the pool was limited to the areas near the tile breeding territories, and it has been noted that in the wild shelter is limiting and larger juveniles readily exclude smaller individuals from available shelter (Trendall 1988). Alternatively, a dominance hierarchy may exist among females within a species.

Future experiments should be designed which can control for some of the factors which may be generating artifacts in these experiments, specifically, mesocosms must be either larger, less densely stocked, or more structurally complex to reduce male-male contact, dominance effects, and predation on juveniles. Additional care must be taken to ensure that all specimens within an aquarium are reproductively mature and that female
brood production is synchronized, and experiments must be undertaken to address the role of imprinting in the development of the SMRS.

#### **Chapter 5**

### An AFLP Based Method of Rapidly Detecting the Insertion of SINE Elements for **u se as C lade M arkers**

#### **Abstract**

Phylogeny estimation among the cichlid fishes of the East African Rift Valley is challenging due to the extremely recent and rapid divergence of many of these taxa. In order to survey a large number of cladistically informative nuclear markers characters, AFLP methods were combined with a primer specific for a transposable element in the cichlid AFC - SINE family. Because SINE elements do not move after they are integrated into the genome, the position of this insertion can serve as a cladistic character with two states determined by the presence or absence of a SINE element. By using this method, we were able to obtain a phylogeny estimate for Lake Tanganyika cichlids which is similar to estimates obtained by conventional morphological and molecular methods. A close relationship between the Tanganyikan tribe Tropheini and the Malawian cichlids was observed however method was not capable of resolving relationships among the extremely recently diverged Lake Malawi species flock.

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### Introduction

The fish family Cichlidae is often cited as one of the most dramatic adaptive radiations in the history of vertebrate evolution (Fryer *et al.* 1972). This family contains over 1500 freshwater fish species in tropical Africa, America, and parts of Asia . The majority of this taxonomic diversity can be found in the lakes of the African Rift Valley (Ribbink 1991). It has been estimated that the three largest lakes, Malawi, Tanganyika, and Victoria may contain more than 1,200 species, the majority of which are endemic to a single lake (Ribbink 1983; Meyer 1993; Seehausen *et al.* 1997). Historical data on water level fluctuations within these basins provide evidence for the most striking aspect of the E. Afirican Cichlid radiation, its extreme recency.

Fluctuations in rainfall have caused water levels in all of these lakes to vary dramatically. Lake Victoria, the shallowest of these lakes, was completely desiccated 12,400 yr b.p. (Johnson *et al.* 1996). Lakes Malawi and Tanganyika are much deeper, but xeric periods sill cause dramatic decreases in water level (Schoiz and Rosendahl 1988). Lake Malawi experienced a 200 m drop in water level between 500 and 150 yr b.p (Owen, *et al.* 1990). If these species evolved *in situ,* then speciation in these lakes occurs on historical, rather than geological time scales.

Within Lake Malawi, the frequency of lineage splitting events makes phylogeny estimation using traditional genetic markers difficult because alleles do not have time to become fixed within a species before the next lineage splitting event occurs, leading to the "retention of ancestral polymorphisms" in descendant species (Moran & Komfield 1993; Parker and Komfield 1997). The scarcity of phylogenetically informative characters within Lake Malawi, complicates other inter- and intralacustrine phylogenetic analyses and has led to the use of a variety of traditional and more novel molecular tools. One hypothesis which is generally well supported by the available data is that Lake Tanganyika is a reservoir of lineage diversity (Nishida 1991), and that taxa within this lake are ancestral to those in both Lake Victoria and Lake Malawi. Nishida used allozyme frequency data to construct a phylogeny which suggested the presence of an "H" (Haplochromine) lineage within the lake which is ancestral to the species flocks in the other great lakes. Data from sequenced RAPD bands (Siiltmann *et al.* 1995), and mtDNA sequences (reviewed in Meyer 1993) generally support the hypothesis that a Lake Tanganyika lineage is ancestral to the flocks in the other two lakes, but they differ as to which of the lineages within Lake Tanganyika is the actual ancestor.

Recently, (Takahashi *et al.* 1998) have demonstrated that the insertions of Short Interspersed Nuclear Elements (SINEs), a type of retrotransposon, into genomes may be a

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useful tool for estimating phylogenies in East African cichlids. They argue (following Murata *et al.* 1996)) that the presence of a SINE element within a specific orthologous locus can be a powerful tool for phylogeny reconstruction because these transposable elements are not excised from the genome, and they are inserted apparently at random. Thus the presence of a SINE element at an orthologous site in two different taxa can be interpreted to be a true synapomorphy (Cook and Tristem 1997). The character in this case is a specific site in the genome with two possible stated defined by either the presence or absence of a SINE element at that site. Insertion of a SINE element at a specific site in a genome is a rare event, therefore, in cases where two taxa share a SINE insertion, it can safely be assumed that the this insertion is identical by descent as it is extremely improbably for insertion to occur independently in exactly the same place. Using this reasoning, Takahashi *et al* (1998) were able to determine the monophyly of several of Poll's (1986) tribes. Due to a lack of insertions within Lake Malawi taxa they were unable to determine which lineage within Lake Tanganyika is ancestral to the Lake Malawi flock.

The methodology involved in cloning SINE elements, (Murata *et al.* 1996) determining the sequence of flanking regions, and using PCR to detect the presence of SINEs at a specific locus is fairly tedious, and might prevent the widespread adaptation of the use of SINEs as clade markers. However, by replacing cloning with portions of the **A FLP** (Vos *et al.* 1995) protocol, it is possible to obtain information on the location of several SINE integrations simultaneously.

### **Methods**

#### *Specimens*

DNA extractions were prepared using standard techniques. DNA samples were obtained from 68 individual fish in 32 taxa from Lake Malawi and Lake Tanganyika (Table 5.1). Single representatives were available for each Lake Tanganyika species and multiple individuals were available for many of the Lake Malawi fish.

### *SIFLP*

The AFLP technique is a DNA fingerprinting method typically used to generate a set of "anonymous" DNA fragments which may be used as map markers or for use in paternity studies (Vos *et al.* 1995). The procedure involves digesting genomic DNA with two restriction enzymes to produce a population of DNA fragments cut with one or both of the restriction enzymes. DNA adapters containing PCR primer sites are ligated onto these fragments. A preselective round of PCR is performed using primers which recognize the adapter pairs. A second "selective" round of PCR is performed using primers similar to the preselective primers, except that an additional one or more nucleotides are attached to the 3' end of the primers. This permits a fraction of the fragments produced in the preselective amplification to be amplified. One of the primers is also labeled with a fluorescent dye which can be detected by an ABI DNA sequencing machine. By altering the number and composition of nucleotides at the 3' end of the primers, (either preselective or selective) the number of bands visualizable fragments may be adjusted. Bands may then be accurately and reproducibly sized on an ABI DNA sequencer.

For this study, the basic AFLP protocol was modified by replacing the labeled AFLP primer with a primer designed to recognize an AFC family SINE element isolated from Tanganyikan cichlids (Figure 5.1) (Takahashi et al 1998). The SINE specific primer was the 17-mer 5 ' -GCAACCTTCCGATTACA. The instructions provided with Applied Biosystems AFLP kit (Part # 402083) were followed with some minor modifications (Figure 5.2).

#### Digestion/Ligation Reactions

Digestion/Ligation reactions were performed as follows: The reaction mixture contained 1 unit of the 4 base cutter Mse I, 5 units of the 6 base cutter Eco RI, 1 unit of T4 DNA Ligase, 0.045 mg/ml BSA, 1µl 0.5 *M* NaCl, and 1 µl T4 ligase buffer with dNTP's, and  $1 \mu$  of each of the adapter pairs, and 5.5  $\mu$  of the DNA extraction was added to this

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mixture. After 2 h incubation at 37° for exactly 2 h in a PEC 9600 thermocycler the digestion/ligation reaction was stopped by adding 190  $\mu$ l of 1/10 TE.

#### Preselective PCR Reaction

Four microliters of the diluted restriction/ligation product was used in a preselective amplification which contained 0.5 µ of each of the preselective primers, 2µ l0x Thermo buffer (Promega, Inc., Madison WI), 1.5 μl 10 μm dNTP mixture, 10 μM MgCl2, and 0.2  $\mu$ I Taq polymerase in a total volume of 20  $\mu$ I. PCR conditions were as described in the AFLP plant mapping protocol provided by PE-Applied Biosystems (Foster City, CA). Each preselective primer recognizes the adapter and an additional 3' nucleotide, producing - 1 PCR product for every 16 restriction fragments.

#### Selective PCR Reactions

Selective PCR was carried out as described above, except that one of the preselective primers was replaced with a 6-FAM labeled primer, SINE-1, which recognizes a portion of the sequence published by Takahashi *et al* (1998). For each sample, two different PCR reactions were carried out, one with the labeled SINE-1 primer and the Preselective-Forward primer, and one with the labeled SINE-1 primer and the Preselective-Reverse primer. These two reactions create labeled fragments containing either a portion of the SINE element and an *Eco* RI site, or a portion of the SINE element and an *Mse* I site, respecitvely.

PCR products were electrophoresed on an ABI 373-A DNA sequencer. Applied Biosystem's GS-500 Tamra size standard was loaded along with each sample and electrophoresed for 9h. These conditions permit the detection of fragments ranging approximately from 75 to 450 b.p. in length. To enhance reproducibility, extra care was taken to standardize gel polymerization time, buffer and sample volumes, and other variables which could influence fragment migration.

#### *Data Analysis*

These data were analyzed using the Dollo parsimony option of Paup (version 3.1.1; Swofford 1993). In this procedure, AFLP fragments were interpreted to be cladistically informative characters with two states; presence or absence of a sequence complementary to the SINE-1 primer. We assume positional homology for SINE elements which appear in AFLP fragments of similar size. Although positional homology is not as strongly supported for SIFLP fragments as it would be if flanking regions for individual SINE elements were cloned (as in Takahashi *et al* 1998), the modest number of SIFLP fragments present in a taxon leads us to assume that falsely convergent characters will occur only rarely.

SIFLP fragments are produced only when a SINE element inserts into the genome within 450 b.p. of an appropriate restriction site with the appropriate orientation. SIFLP fragments may be lost due to mutations in the restriction site or the primer recognition site within the SINE element itself. Accordingly, Dollo parsimony, which assumes a single origin and multiple losses of cladistically informative characters was determined to be the most appropriate model for the analysis of these data.

Fragments produced from either the SINE and *Eco* RI primer or the SINE and *Mse* I primer were analyzed separately and to address distinct phylogenetic questions. Fragments produced from SINE and *Eco* RI primers were expected to be approximately 16 times rarer than those produced from the SINE and *Mse* I combination because the forward primer recognizes restriction fragments produced by the 6-base cutter *Eco* RI whereas the SINE AFLP reverse amplification products were produced from the 4 base cutter *Mse* I. The rare bands were used to estimate a phylogeny containing all the taxa using *Bathybates* sp. as an outgroup (following Meyer 1993). The resulting phylogeny was then used to determine an appropriate outgroup to use to further resolve the relationships within Lake Malawi using the SINE / Mse I data set which was expected to contain more phylogenetically informative characters. Because of the large number of taxa analyzed, consensus trees are presented here. Bootstrap analysis is not practical with so many taxa. In OTU's where many individuals were available, the presence of a fragment in any of the individuals within a taxon w as interpreted as presence within that species (or population for *Mel. auratus).* Finally, a set of Lake Malawi haplotypes were analyzed using a synthetic outgroup which contained no SINE insertions to address the possibility of incomplete lineage sorting by determining whether haplotypes sort into phylogenetically credible groups.

#### Results

A total of 141 variable characters were observed among all taxa for the SINE *Eco R I* primer, 74 of these are phylogenetically informative among the taxa surveyed. The SINE / Mse *I* primer pair produced 237 different amplification fragments in the same set of taxa. The total number of bands observed within an individual was not far from the expected range. Takahashi *et al* (1998) estimate that between 1,000 and 10,000 copies of SINE elements are present in cichlid genomes. *Eco* RI sites are expected to occur every 5000 base pairs. Detectable SIFLP fragments in this study must be within 75 to 450 b.p. of a restriction site, therefore it is expected that about 7.5% of the SINE elements are the appropriate distance an  $E$ coRI site and oriented in an amplifiable direction. Because the

preselective primers used each contain an additional 3' nucleotide beyond the adapter, only 1 in 16 fragments are expected to be amplified so -6 to 60 fragments are expected per individual for the SINE / *Eco* RI reactions and -100 to 1000 fragments for the SINE / *Mse* I reactions. The SINE / *Eco* RI combination produced an average of 11.25 detectable fragments / individual in fish from both lakes. The SINE *I Mse* I primer combination produced 25.35 fragments / individual for individuals from Lake Tanganyika and only 4.78 fragments / individual for individuals from Lake Malawi.

An analysis of all the taxa using SINE / *Eco* RI fragments resulted in the phylogeny estimate shown in Figure 5.3. This phylogeny is in general agreement with Poll's (1986) tribes with a few exceptions. The branch containing species from the tribe Limnochromini also contains a single representative of the tribe Tropheini (*Cyphotilapia frontosa)* and also contains both species in the tribe Perissodini. The remaining tribes in which more than a single individual was analyzed (Ectodini, Lamprologini, and the remaining Tropheini) form monophyletic assemblages which are distinct from the two tribes represented by single individuals (Eretmodini and Bathybatini). The Lake Malawi fish *Protomelus insignis* falls within the tribe Lamprologini. The remaining Lake Malawi taxa are in a monophyletic assemblage which also contains the remaining Tropheini species. The node leading to Lake Malawi / Tropheini group is defined by 4 SIFLP fragments.

In order to estimate the phylogeny **w ithin** Lake Malawi, fragments resulting from the SINE / *Mse I* primers were analyzed using two Lamprologine taxa (*N . brichardi* and *J. marlieri)* as an outgroup. Because of the relatively small number of additional characters produced by either primer combination for Lake Malawi taxa, data from both primer sets were combined and analyzed. Fifteen equally parsimonious trees resulted and these phylogeny estimates have little bootstrap support.

When Lake Malawi haplotypes were analyzed as individual OTU's, no detectable phylogenetic signal resulted. The analysis was stopped after running for 12 hours on a PowerMacintosh with a 180 mhz processor. A consensus of the 15,000 equally parsimonious trees generated produced an essentially random intermingling of haplotypes.

#### **Discussion**

By combining AFLP methods with a SINE-specific primer, it is possible to rapidly survey a number of loci for the presence of a SINE element. This method successfully recovers phylogenetic information consistent with other data sets for older lineages within Lake Tanganyika, but is confounded by lineage sorting which has frustrated other

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attempts at estimating phytogenies within Lake Malawi (Moran and Komfield 1993; Parker and Komfield 1997).

The Tropheini and all but a single Lake Malawi taxon form a well supported monophyletic assemblage defined by 4 characters (Figure 5.3). This is compatible with other data sets including Nishida's allozyme (1978), and mtDNA data (Kocher et al. 1993; Meyer 1993). The exception to this is *Protomelus insignis* which falls in the Lamprologini clade. Its position in this clade is only weakly supported however, as it is linked to the Lamprologine *Telmatochromis temporalis* by a single synapomorphy. This could be a spurious affinity resulting from an incomplete estimate of the SINE elements in this taxon, or it could suggest that the Lake Malawi flock is polyphyletic. This pattern could also be a result incomplete lineage sorting within the ancestral Tanganyikan flock. Alternatively, the fragments uniting these two taxa might be non-homologous.

The Limnochromini and Perissodini tribe representatives form a monophyletic assemblage along with *Cyphotilapia frontosa.* Mitochondrial DNA sequence data (Kocher *et al.* 1995) also indicate that *C. frontosa* is not a member of the Tropheini and is allied with the Limnochromini, whereas Siiltmann *et al* (1995), who did not include other Tropheini in their analysis, found *C. frontosa* to be basal to the lineage containing the Malawi and Victoria flocks.

Phylogeny within lake Malawi was not well resolved with the SENEl / *Eco* RI combination. In an attempt to further resolve relationships within the lake, SINE / *Mse I* fragments were analyzed. Because the reverse primer recognizes adapters attached to an *Mse* I site (a four base cutter) as opposed to an *Eco* RI site (a six base cutter), approximately 16 times more fragments were expected. The total number of fragments observed for this primer combination was larger for individuals from Lake Tanganyika, but fell short of the expected 16 fold increase (mean = 25.35 bands/individual within Lake Tanganyika). Surprisingly, the Lake Malawi taxa showed a decrease in observed bands, with an average of only 4.78 detectable fragments / individual. The shortfall in Lake Tanganyika might be due partly to a large number of fragments smaller than the lower detection limit of 75 b.p., however the drop in observed fragments for Lake Malawi taxa is puzzling. Explanations involving a reduced number of SINE elements within Lake Malawi are implausible given the identical number of fragments detected when the SINE / *Eco RI* primer combination was used. Methodological biases are also unlikely as individuals from both lakes were often run on the same gels.

The small number of detectable fragments produced a weak phylogenetic signal within Lake Malawi. The dendrogram shown in generated using all data for the Lake Malawi taxa shows some evidence of taxonomic signal, however little bootstrap support is

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shown for the nodes in this phylogeny and there are some contradictions within the estimate. For example, the species from the genus *Metriaclima* are not sister taxa, the sand-dwelling predator *D. compressiceps* is a sister taxon the rock dwelling *Melanochromis* species and the two representatives of the Tanganyikan tribe Tropheini are embedded within the Malawi clade. The position of the two Tanganyikan taxa underscores the difficulties associated with phylogeny estimation within Lake Malawi. Although the Tropheini would be expected to form a paraphyletic group with the Malawian taxa, that pattern is not observed in these data. If phylogenetic information could be recovered with SIFLP data within the Lake Malawi flock, we would expect that it would at a minimum be able to distinguish between lineages from the two different lake basins.

Can SIFLP methods ever overcome incomplete lineage sorting? Possibly, but the practical utility of this approach may be severely limited. Incomplete lineage sorting occurs when lineage splitting occurs before genomic markers become fixed in a taxon, thus ancestral polymorphisms are inherited by descendant taxa. In rapidly speciating lineages like the Lake Malawi cichlids, this tendency has made it impossible to produce reliable phylogeny estimates *(cf* Parker and Komfield 1997). However, incomplete lineage sorting does not apply to the entire genome, after all, taxa are recognized by a suite of genetically controlled morphological characters, even within Lake Malawi. Some genes must become fixed for taxonomic diversification to occur. If a SINE element insertion occurs near one of these genes, then it could be swept to fixation as part of the speciation process. This is only useful however if SINE element insertions occur frequently and if their density in the genome is high enough for them to stand a good chance of being associated with genes which define speciation. Thus if enough SINE insertions are visualized by varying primer and restriction enzyme combinations then phylogenetic signal within Lake Malawi might yet be recovered. Further, cladistically informative SINE insertions might be useful as map markers for the genes which define lineages. SIFLP may also be used to rapidly screen a number of candidate SINE insertions for the development PCR primers which recognize the flanking region of the SINE insertion, permitting the rapid development of taxon specific assays or for phylogenetic analysis beyond a set of taxa initially screened with SIFLP.

SINE insertions provide a credible phylogenetic signal for the older lineages of Lake Tanganyika and provide a rapid method of assaying set of cladistically informative nuclear markers scattered broadly throughout the genome. These and sim ilar markers may be a useful alternative to sequence based approaches to phylogeny estimation in closely related taxa.

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#### **Figures and Tables**

### **Figure 5.1**

#### A SINE element described by Takahashi *et al* (1998) isolated from the **Tanganyikan cich lid** *Julidochromis transcriptus*

The region complementary to the primer SINE-1 is underlined, and the primer sequence is shown in capital letters at the bottom of the figure.

ctgagggttgactggaaatgggaaacagggtaactaggaaacatggtgaaaataatcaagacaaacgcaa agaaggaagtaaaattgacacaaggtatgaaaaaaaactgtagctagaacccgtgtaataaaagagagcc tttggcgattgtggctcaagagttgggagttcgcttgtaatcggaaggttgccggttcgagccccggttt ggacagtctctgtcgttgtgtccttgggcaagacacttcacccgttgcctactggtggtggtcagagggc ccggtggcgccagtgtccggcagcctcgcctctgtcagtgcaccccagggeggctgtggctacaatgtag ccgccatcaccagtgtgtgaatgtgtgtgtgtgaatgggtgaatgactggatgtagtgtaaagcgctttg gggtccttagggactagaaaagcgctatacaaatacaggccacttaccatttgcctcagtattataaaac atgaaaaaaaaaaaattcaaatacagaaaaacctaaacacaaatccaaaactcacatttgttaactaaag attcaagttttagacacagcacataatcctgagac

5 '-GCAACCTTCCGATTACA

#### **Figure 5.2**

SIFLP. This process is a variation of the AFLP methods described by Vos *et al.* (1995). A) Genomic DNA is digested with two restriction enzymes. B) Adapters containing PCR primer sites are ligated onto the restriction fragments. C) A "nonselective" PCR reaction is performed using primers which recognize the ligated adapters. D) The product from step C is used in a "selective" PCR reaction using a labeled primer which recognizes a SINE element and an unlabeled primer that recognizes one of the ligated adapters. E) Amplification products which contain a section of a SINE element are visualized on an ABI DNA sequencer using ABFs GeneScan software. Lanes 1-4 are *Lethrinops gossi,* Lanes 5-8 are *Protomelus spilopterus,* lanes 9-11 are *Metriaclima zebra* cf Mazinzi Blue. *Lethrinops* and *Protomelus* are pelagic genera. *Metriaclima* are found in shallow, rocky habitats. The white bands in this image are SINE containing PCR products, the dark gray bands are size standards which are loaded in every lane.





#### **Figure 5.3**

A majority rule consensus of 6 equally parsimonious cladograms constructed using SIFLP fragments generated by using the SINE / *Eco* RI primer combination. *Bathybates* sp. was used as an outgroup. The text to the right of the bars indicates Tribe affiliation for the fish from Lake Tanganyika.



# **Table 5. 1**

# **Taxa and sample sizes**



#### **A ppendix**

The data presented on the following pages represent coded four locus genotypes for all *Melanochromis auratus* individuals analyzed in this study. Each line represents an individual, and genotypes are indicated by four digit numbers - the first two digits represent the state of the smaller SSR allele at that locus, the second two digits represent the larger SSR allele at that locus. Missing data is represented by "0000". These two digit allele codes may be translated to approximate fragment lengths by referring to the chart below. They may be translated into estimated repeat numbers by referring to *Chapter 1.*  $\ddot{\phantom{a}}$ 



Four locus genotypes for individuals from 10 populations in southern Lake Malawi.

### **Harbour Island Harbour Island (continued)**





## **Shallow Reef Ilala Gap**



 $\ddot{\phantom{a}}$ 



# **Ilalla Gap (continued) Mphande Island**





#### **Mazinzi Reef**



# **Mumbo Island**



## **Mvunguti East** Nkhudzi Point

 $\frac{1}{2}$ 





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**Tsano Rock Tsano Rock (continued)**



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**IMAGE EVALUATION TEST TARGET (QA-3)** 





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