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Reply to: Beyond microbial carbon use efficiency

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1 Reply to: Beyond microbial carbon use efficiency 2 Feng Tao¹, Johannes Lehmann², Ying-Ping Wang³, Lifen Jiang², Bernhard Ahrens⁴, 3 Kostiantyn Viatkin², Stefano Manzoni⁵, Benjamin Z. Houlton⁶, Yuanyuan Huang⁷, Bruce A. 4 Hungate^{8,9}, Serita D. Frey¹⁰, Michael W. I. Schmidt¹¹, Markus Reichstein⁴, Nuno Carvalhais^{4,} 5 ¹², Philippe Ciais¹³, Umakant Mishra^{14, 15}, Gustaf Hugelius⁵, Toby D. Hocking⁹, Xingjie Lu¹⁶, 6 Zheng Shi¹⁷, Ronald Vargas¹⁸, Yusuf Yigini¹⁸, Christian Omuto¹⁸, Ashish A. Malik¹⁹, 7 8 Guillermo Peralta¹⁸, Rosa Cuevas-Corona¹⁸, Luciano E. Di Paolo¹⁸, Isabel Luotto¹⁸, Cuijuan Liao²⁰, Yi-Shuang Liang²⁰, Vinisa S. Saynes¹⁸, Xiaomeng Huang^{20,*}, and Yiqi Luo^{2,*} 9 10 11 ¹Department of Ecology and Evolutionary Biology, Cornell University, Ithaca NY, USA 12 ²Soil and Crop Sciences Section, School of Integrative Plant Science, Cornell University, Ithaca NY, USA 13 14 ³CSIRO Environment, Aspendale, Victoria, Australia ⁴Max Planck Institute for Biogeochemistry, Jena, Germany 15 ⁵Department of Physical Geography and Bolin Centre for Climate Research, Stockholm 16 17 University, Stockholm, Sweden 18 ⁶Department of Ecology and Evolutionary Biology and Department of Global Development, 19 Cornell University, Ithaca, NY, USA 20 ⁷Key Laboratory of Ecosystem Network Observation and Modeling, Institute of Geographic Sciences and Natural Resources Research, Chinese Academy of Sciences, Beijing, China 21 22 ⁸Center for Ecosystem Science and Society, Department of Biological Sciences, Northern 23 Arizona University, Flagstaff, AZ, USA. 24 ⁹School of Informatics, Computing and Cyber Systems, Northern Arizona University, 25 Flagstaff, AZ, USA 26 ¹⁰Center for Soil Biogeochemistry and Microbial Ecology, Department of Natural Resources 27 and the Environment, University of New Hampshire, Durham, NH, USA 28 ¹¹Department of Geography, University of Zurich, Zurich, Switzerland 29 ¹²Departamento de Ciências e Engenharia do Ambiente, DCEA, Faculdade de Ciências e 30 Tecnologia, FCT, Universidade Nova de Lisboa, Caparica, Portugal ¹³Laboratoire des Sciences du Climat et de l'Environnement, LSCE/IPSL, CEA-CNRS-31 UVSQ, Université Paris-Saclay, Gif-sur-Yvette, France 32 ¹⁴Computational Biology & Biophysics, Sandia National Laboratories, Livermore, CA, USA 33 34 ¹⁵Joint BioEnergy Institute, Lawrence Berkeley National Laboratory, Emeryville, CA,

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- 47 **Statement:** *This manuscript is a non-peer reviewed preprint submitted to EarthArXiv. This is*
- 48 *a reply to Xiao et al. (2023) (https://doi.org/10.31223/X5696N)*

49 Abstract

In their commentary¹, Xiao et al. cautioned that the conclusions on the critical role of
microbial carbon use efficiency (CUE) in global soil organic carbon (SOC) storage in a paper
by Tao et al. (2023)² might be too simplistic. They claimed that Tao et al.'s study lacked
mechanistic consideration of SOC formation and excluded important datasets. Xiao et al.
brought up important points, which can be largely reconciled with our findings by
understanding the differences in expressing processes in empirical studies and in models.

56

57 Main

58 Mechanistic understanding of complex processes from empirical research is usually

59 translated into mathematical models with some level of simplification. For example,

60 processes involved in SOC stabilization and persistence, as brought up by Xiao et al., were

61 considered by the model and evaluated together with microbial CUE for their relative

62 importance to global SOC storage in Tao et al. (2023). The mechanisms for stabilizing

63 necromass in soils with soil minerals are represented as the non-microbial carbon transfer by

64 various chemical and physical processes (see carbon flows in Extended Data Fig. 3 in Tao et

al. (2023)). Parameter $a_{mSOC,MIC}$ represents the fraction of microbial necromass that is

stabilized as mineral-associated SOC via organo-mineral interactions (i.e., the *in vivo*

67 pathway of stabilization; see ref³); parameter $a_{mSOC,LL}$ indicates the fraction of lignin litter

that is directly stabilized as SOC with minerals and without going through microbial

69 processes (i.e., the *ex vivo* pathway of stabilization; see Supplementary Table 6 in Tao et al.

70 2023). The organic compounds associated with microbial products and necromass that Xiao

et al. suggested to be stabilized against decomposition through various chemical and physical

72 processes are expressed in the model by decomposition coefficients, K_i . The inverses of K_i

represent the persistence of various organic compounds in soil. Tao et al. (2023) compared

the relative importance of non-microbial carbon transfer and decomposition coefficients with

75 microbial CUE. The latter was found to be more important than the formers in determining

76 SOC storage and its distributions at the global scale.

77

78 The dominant role of CUE in global SOC storage emerging from Bayesian inference by Tao

ret al. (2023) does not mean that CUE is the sufficient process. But it is likely a necessary

80 process as soil might have very little organo-mineral interactions without microbial

81 metabolites. Our current understanding of stabilization mechanisms is highly fragmented

82 from empirical research, which makes model representation very challenging. The inferred role of CUE in global SOC storage from our PRODA approach should be further tested by

83

84 more studies. We expect that not only other processes may be dominant in individual

empirical studies, but that the relationship of CUE and SOC may vary among individual 85

86 laboratory or site case studies.

87

88 We agree with Xiao et al. that causal relations between CUE and SOC need to be supported 89 by more mechanistic empirical evidence and modelling studies. Tao et al. (2023) showed 90 both statistical (from the meta-analysis) and process-based (from the microbial model results) 91 evidence that microbial CUE promotes SOC storage at the global scale. First, Tao et al. 92 (2023) applied mixed-effects modeling to ensure the statistical rigor of the meta-analysis. The positive CUE-SOC relationship was robust after considering the influence of various 93 94 predictors (e.g., temperature, soil depth, etc.) and their potential interactions (Extended Data 95 Table 1 in Tao et al. 2023). Second, Tao et al. (2023) investigated relationships among 96 microbial CUE, microbial biomass, and non-microbial biomass storage (i.e., the remaining 97 amount of organic carbon after excluding microbial biomass; see Supplementary Table 2 in 98 Tao et al. 2023). The results showed that a high CUE accompanied not only high microbial 99 biomass carbon, but also high non-microbial biomass carbon. Third, the above findings in the 100 meta-analysis were further verified by the results of the microbial model after data 101 assimilation (Extended Data Table 2 and Supplementary Tables 3-4 in Tao et al. 2023). While the microbial model can theoretically generate positive, negative, or null relationships 102 103 between CUE and SOC, as noticed by Xiao et al., Tao et al. (2023) applied Bayesian data 104 assimilation to identify the most probable regulatory pathway of CUE to SOC storage. That 105 is, microbial partitioning of carbon toward microbial growth enhances SOC accumulation via 106 microbial by-products and necromass. We acknowledge that this is inferred and not an iron-107 clad proof. The relationship of CUE and SOC might have complex interactions with other processes even though the result shown in Tao et al (2023) is an important step forward to 108 mechanistically understand SOC formation at the global scale and identify what needs to be 109 110 investigated in the future.

111

112 We greatly appreciate the point made by Xiao et al. that more data, especially from tropical

113 and arid regions, are needed to avoid biased analysis. We welcome any more field-measured

114 microbial CUE and SOC data to further test the CUE-SOC relationship. We thank Xiao et al.

for bringing up the point that soil pH may alter the CUE-SOC relationship as shown in Malik 115

et al. (2018). Including the data from Malik et al. $(2018)^4$ with considering pH as a fixed

effect in the meta-analysis does not influence the overall positive CUE-SOC relationship

118 (Table 1). Moreover, the Fig. 2 in Xiao et al. used a linear regression between CUE and SOC

119 without considering any other factors, such as sampling depth, temperature, and

120 methodological differences across studies. These factors influence the CUE-SOC relationship

and thus result in their weak correlation. When discussing the relationship between two

variables, accounting for potentially confounding factors is essential in a statistical analysis.

123 Tao et al. (2023) applied the mixed-effects models that accounted for the above factors to

explore the relationship between microbial CUE and SOC. As a result, the positive CUE-

125 SOC relationship explains 55% variation in observations. Nonetheless, Tao et al. (2023)

discussed caveats of the meta-analysis. The PRODA analysis of 57,267 globally distributed

127 vertical SOC profiles complemented the latter to avoid potential regional biases.

128

Establishing a globally causal link between CUE and SOC and evaluate the relative 129 130 importance of soil carbon processes needs leveraging the potentials of empirical studies, 131 process-based models, and big data. We acknowledge that the model we used, as any models, 132 remains a simplified representation of real-world complexities of the soil system. Indeed, 133 navigating sophisticated observations to a reasonable abstraction for useful predictions is part of the essence of modelling. Meanwhile, we agree with Xiao et al. that more sophisticated 134 135 empirical measurements guarantee better understanding of SOC formation. While models allows us to holistically evaluate soil as a system and the relative importance of their 136 137 components, data from field measurements potentially provide direct evidence on key 138 relationships in soil carbon cycle. Tao et al. (2023) developed the PRODA approach to 139 effectively incorporate process-based models with big data to gain emerging understanding of 140 global SOC storage. To our knowledge, the relative importance of the seven components of 141 soil carbon dynamics presently cannot be experimentally evaluated in any laboratory and field studies. PRODA provides a common tool for both modellers and experimentalists in 142 reconciling mechanistic understanding in fields and theoretical reasoning in modelling. New 143 findings and relationships revealed by the PRODA approach will further stimulate new 144 experimental studies in laboratory and field, and improvement of models. 145 146

147 Methods

148	All tl	All the data, statistical methods, and the microbial model have been described in Tao et al.						
149	(2023) and can be publicly accessed via <u>https://www.nature.com/articles/s41586-023-06042-</u>							
150	<u>3</u> .							
151								
152	Com	peting interests:						
153	The authors declare no competing interests.							
154								
155	Author contributions:							
156	F. T. and Y. L. drafted the reply. All authors contributed to the text and approved the final							
157	version.							
158								
159	References:							
160	1	Xiao, KQ. et al. Beyond microbial carbon use efficiency. (2023).						
161	2	Tao, F. et al. Microbial carbon use efficiency promotes global soil carbon storage.						
162		Nature, 1-5 (2023).						
163	3	Liang, C., Schimel, J. P. & Jastrow, J. D. The importance of anabolism in microbial						
164		control over soil carbon storage. Nature microbiology 2, 1-6 (2017).						
165	4	Malik, A. A. et al. Land use driven change in soil pH affects microbial carbon cycling						
166		processes. Nature communications 9, 1-10 (2018).						
167								
168								

169 Table 1 | Unstandardized coefficients of CUE-SOC relationship in the mixed-effects

170 model including data from Malik et al. (2018). CUE, depth, mean annual temperature

171 (MAT), and pH were set as the fixed effects to logarithmic SOC content. The study source

172 was set as the random effect. We set random intercepts with common slopes to test the CUE-

173 SOC relationship. The total observation size $n_{sample} = 295$; the random effects size $n_{study} =$

- **174** 17.
- 175

		Intercept	CUE	Depth	MAT	pН			
$log10(SOC) \sim CUE + Depth + MAT + pH + (1 Study Source)$									
variance explained by mixed model: 50%									
	Estimates	1.47	0.76	-0.019	0.012	-0.046			
Fixed	Std. Error	0.15	0.16	0.0034	0.0053	0.019			
Effects	t value	10.02	4.82	-5.70	2.32	-2.50			
	Р	< 0.0001	< 0.0001	< 0.0001	0.021	0.013			
Random	Standard	0.22	NΔ	NΔ	NA	NA			
Effects	Deviation	0.22	1 17 1	1.171	1 1/ 1	1 17 1			

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