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# A MOLECULAR ORBITAL STUDY OF 5-FLUOROURACIL AND RELATED COMPOUNDS

FRANK BLOCK

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## A **MOLECULAR ORBITAL STUDY OF 5-FLUOROURACIL AND RELATED COMPOUNDS**

BY

**Frank Block B.A., Brooklyn College, 1940 M.A., Columbia University, 1951**

### **DISSERTATION**

**Submitted to the University of New Hampshire in Partial Fulfillment of the Requirements for the Degree of**

> **Doctor of Philosophy in Chemistry**

> > **May, 1980**

This dissertation has been examined and approved.

**- c " \***

Dissertation Director, Frank L. Pilar · Protessor *of* Chemistry'

*' . O n <u>" v v . . pe r v r .</u>* 

Paul R. Jones, Professor of Chemistry

Charles W. Owens, Professor of Chemistry

James H. Weber, Professor of Chemistry

Heraid L. Klermanten **/ /'**

Gerald L. Klippenstein, Professor of Biochemistry

**ICJAjpyvf** *)®m* Date

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#### **ABSTRACT**

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# A MOLECULAR ORBITAL STUDY OF 5-FLUOROURACIL AND RELATED COMPOUNDS

by

#### FRANK BLOCK

#### University of New Hampshire, May, 1980

5-fluorouracil is a chemotherapeutic agent which is used in the treatment of disseminated colon and breast cancers, As an antimetabolite it has been found to inhibit the mechanism in which the normal substrate reacts with the enzyme and a cofactor in nucleic acid synthesis, A number of mechanisms have been summarized describing the conversion of deoxyuridylate (dUMP) to deoxythymidylate (dTMP), elucidating the role of the involved enzyme, thymidylate synthetase, and the co-factor,  $N^5$ ,  $N^{10}$ -methylenetetrahydrofolate, The effect of replacing the hydrogen bonded to the 5-position of the pyrimidine ring by a fluorine atom is also mechanistically described, A molecular orbital study employing the CNDO/2 approximation was performed on 5-fluorouracil, uracil, and thymine and the results support the mechanisms and chemical effects drawn from the literature which center around the bond polarization of the 5,6 double bond of uracil, The electronic effects of fluorine substitution (in 5 fluorouracil) are also consistent with formation of a more stable enzymesubstrate complex and with favorable kinetic competition of 5-fluorouracil with uracil for the enzymatic nuclcophilic site.

## **SECTION I**

#### **INTRODUCTION**

**Since nucleic acids play a principal role in the division and growth of the neoplastic cell, scientific research has addressed itself to the discovery of chemical substances which alter or inhibit the mechanisms controlling malignant cell division. Such substances are antimetabolites - structural analogs of normal metabolites for specific enzymes. The normal metabolite becomes displaced so the enzyme cannot carry out its normal function in nucleic acid synthesis. Antimetabolites may also interfere with normal cellular metabolism by fraudulent incorporation as a building unit.**

#### **SECTION II**

#### **BIOCHEMICAL CONSIDERATIONS OF 5-FLUOROURACIL**

**AND RELATED COMPOUNDS**

**' Introduction**

**In 1954 Rutman and co-workers\* reported an increased utilization of uracil (Fig. 1) for nucleic acid biosynthesis in a rat liver tumor.** Two years later Cohen and Barner<sup>2</sup> conducted studies of "thymineless **death" in E. coli and suggested the use of compounds in cancer chemotherapy which would inhibit deoxyribonucleic acid (DNA) synthesis. Thymineless death refers to cell death caused by unbalanced cell growth due to DNA starvation. DNA fails to be synthesized when deoxythymidylic acid (Fig. 1) is not produced; however the synthesis of protein and ribonucleic acid (RNA) are not affected. Consequently, cellular growth is not inhibited, but normal cell division is impaired by absence of DNA. 3 In 1957 Heidelberger demonstrated that 5-fluorouracil (Fig. 1) inhibited** the conversion of  $C^{14}$  -labelled formate into the methyl group in the bio**synthesis of thymine (Fig. 1). The resulting paucity of thymine was then 4 shown by the same investigator to have a significant effect toward inhibiting animal tumor growth. He established that 5-fluorouracil (5-FU) was incorporated in the nucleic acids but at that time had not yet determined whether it was in the DNA or the RNA. It was later demonstrated that 5-FU was incorporated into RNA (and not into DNA) in both mouse tissues and a human neoplasm^.**

**. Theory and Method**

## **A. Structural Characteristics of the Antimetabolite**

**The rationale for choosing 5-FU was based upon the great stability of the carbon-fluorine bond. The small Van der Waal's o radius of the fluorine atom (1.35 A), which is nearly that of hydrogen, produces a molecule nearly isosteric with uracil\*\*. The 5-position was chosen for substitution on the pyrimidine ring because of its structural similarity to thymine. It was felt that these characteristics would facilitate the ability of the antimetabolite to occupy active sites on enzyme and that it might interfere with either the synthesis of thymine or its incorporation into the DNA.**

### **B. Inhibitory Effect of 5-Fluorouracil and 5-Fluoro-2\*-deoxyridine**

**Further studies corroborated the finding that 5-FU inhibited both** the conversion of uracil 2- $C^{14}$  into DNA thymine and, to a lesser extent, **7 RNA uracil in mice-bearing transplants of Ehrlich ascites carcinoma .** It also completely inhibited the conversion of  $\texttt C^{14}$  formate into the **methyl group of DNA thymine in spleen and tumor.**

**Since 5-FU and 5-fluoro-2'-deoxyuridine (Fig. 1) both inhibit** the incorporation of uracil 2- $C^{14}$  into DNA thymine - but not significantly **into RNA uracil when administered in chemotherapeutic doses to intact animals bearing malignant tumors - it follows that the inhibitory effect of fluorinated pyrimidines on the synthesis of DNA is responsible for the** g **antimetabolic behavior .**

### **C. Metabolism of 5-Fluorouracil**

/

**The biosynthesis of deoxyuridylic acid (dUMP) and its conversion 9 10 to deoxythymidylic acid (dTMP) is amply described in biochemistry texts '**

**The methylation takes place in the presence of thymidylate synthetase** and  $N^5$ ,  $N^{10}$  methylenetetrahydrofolate (which is oxidized to the **dihydrofolate). However, when 5-FU is introduced and competes with the normal metabolite (Fig. 2), the synthesis of DNA is inhibited. 5-FU is converted to 5-fluorouridine (5-FUR) (Fig. 1) followed by phosphorylation to the mono-di-, and tri-phosphates (Fig. 2). This incorporation into the nucleic acid pool is the source of the aberrant RNA. The monophosphate (5-FURMP) is also reduced to S-fluoro-21 deoxyuridylic acid (FUdRMP)\*. The latter may also be formed directly by phosphorylation of 5-fluoro-2' - deoxyuridine (FUdR). FUdRMP does not phosphorylate any further. It is the FUdRMP which is considered to be responsible for the antineoplastic activity of 5-FU by inhibiting DNA 11 12 synthesis via thymidylate synthetase blockage ' . The catabolism of 5-FU is similar to that of uracil (Fig. 2). Consequently, normal degradation of FUdR and 5-FU occur by processes analagous to uracil (Fig. 2), so that effects from the breakdown of these materials are not implicated in the observed antineoplastic activity.**

#### **D. Unique Potential for Thymidine Interdiction**

**The novelty and uniqueness of thymidine nucleotide as opposed to the other nucleic acids reside in its singular pathway of formation. That is, in contrast to all other deoxribonucleotides of DNA, it is not synthesized by phosphorylation and reduction of the ribonucleoside monophosphate. Rather, it is synthesized by "modification of the pyrimidine base". Therefore, successful research thrust in cancer** chemotherapy has centered around analogs of precursors to deoxythymidy<sup>1</sup>ic **acid. Further, the detailed findings related to the mechanisms of action**

**<sup>\*</sup>The reaction proceeds via a multi-step pathway involving a reduction to the deoxyribose form at the diphosphate level.**

**of the chemotherapeutic precursor antimetabolite fluorouracils are substantially explained in the observation concerning the mechanism of thymidine-forming reactions catalyzed by thymidylate synthetase.**

## **Results**

**Aside from the results of quantitative studies of enzyme kinetics** and radioactive tracers<sup>12-25</sup> a number of mechanisms are available in **the literature and may be briefly summarized as follows: Friedkin and** K**orn**berg<sup>18</sup> postulated a mechanism involving the formation of an inter**mediate containing a methylene bridge between deoxyuridylate and tetrahydrofolate joining carbon 5 of the pyrimidine and nitrogen 10 of the** pteridine\* W<sup>4</sup> CH<sub>2</sub>-N<sup>IO</sup>THFA

**dRP= deoxyribose-5-phosphate**

**dRP This would be followed by an intramolecular rearrangement and reductive cleavage, giving rise to dihydrofolate and thymidylate. Pastore and 19 Friedkin then established experimentally that tritium is transferred from tetrahydrofolate to the methyl carbon of the pyrimidine. Consistent with Friedkin's mechanism and based on labeling experiments, Lorenson** et al<sup>20</sup> suggested an intramolecular hydride ion transfer from the 6 **position of the pteridine,**



**\*In a later paper (see ref. 25) the methylene bridge was postulated to be between carbon 5 of the pyrimidine and nitrogen 5 of the pteridine.**

Kalman<sup>26</sup> suggested a mechanism consistent, but not compelling, **with active participation of a sulfhydryl group in the reaction catalyzed by thymidylate synthetase. His reasoning was based upon catalyzed label scrambling at position 5 of deoxyuridylate, observed by the mock ''sulfhydryl enzyme", glutathione. The action of the active site was pictured as follows:**



**B - basic group of the enzyme C = one carbon unit**

Langenbach et al<sup>27</sup> concluded on the basis of: a. methylene**tetrahydrofolate requirement and b. extreme stability of the ternary enzyme-methylenetetrahydrofolate-fluorouridylate complex (indicating covalent bonds on the ternary complex) that a product of the following type exists:**



**This type of intermediate was also postulated for the normal enzyme reaction.**

**Wilson and Mertes** $^{28}$  **worked with chemical analogs (models) of the binary complex of uridylic acid-methylenetetrahydrofolate. They showed that pyrolysis in^ vacuo (200°C) or reflux in high boiling solvents yielded thymine analogs by "hydride shifts", e.g.**



**Consequently, they proposed a "hydride shift" mechanism for the action of thymidylate synthetase based on an enzyme assist to this "hydride transfer" on the preformed binary complex of uridylate and metnylenetetrahydrofolate as follows:**



 $R = CH_2-NH-C_6H_4-CO-glutamy1.$   $R' = 1-(5-phospho-2'-deoxyribosyl).$ 

**Labeling in their system gave results suggesting both intramolecular and intermolecular rearrangements. (The situation may, however, be different in the less thermal enzyme reaction).**

**They proposed an alternative,less credible mechanism for formation** of the complex involving parallel aromatic  $\pi$  - complexing of an **N— formiminium tetrahydrofolate ionic intermediate with dUMP followed by reaction with the enzyme to "rehybridize" the methylene on ternary complex formation, e.g.**



Sommer and Santi<sup>29</sup>, based on amino acid analysis of an active site **peptide from thymidylate synthetase, concluded that the nucleophilic functional group of the enzyme must be threonine hyroxyl or histidine nitrogen. They speculate poorly about the mechanism. If their results can be considered to be indicative, the histidine attack seems more likely, since the intermediate would then more readily decompose to products (histidine providing a more active intermediate for displacement than threonine). The prosthetic group sequence was Thr, His, Ala, Leu,** Pro<sub>2</sub>. However, their results do not seem to have received either prior **or subsequent support.**

**<sup>30</sup> ..Santi, McHenry and Sommer stressed the possibility of FdUMP binding to enzyme which in some way "stimulates" build-up of an iminium cation, viz:**





**A mechanism in which a reactive exocyclic methylene group on the uracil heterocycle is formed as an intermediate has been 31 postulated by Santi and Pogolotti . They have described "carbonium ion" - like ionizations of methyl-substituted thymines of the following types:**



**X = acetoxy or p-nitrophenoxy**  $R_1 = CH_3$  or H  $R_2 = CH_3$  or H

When R<sub>1</sub> was H, the methoxide or borohydride-catalyzed displacement of X was facile, but when  $R_1$  was methyl, the reaction was difficult. The substitution at  $R_2$  was not critical. These results are consistent **with ionization at the 1-position followed by internal nucleophilic ionization, viz: Q**



**Although described as "carbonium-ion like", the reaction has virtually no indication of such an intermediate. The authors likened the more sluggish reaction (Rj=CH3) to the thymidylate synthetase reaction with deoxyuridylate which proceeds by nucleophilic addition to the 6-position.**

Se**v**eral years later the same authors<sup>32</sup> again provided evidence **for easy formation of exocyclic double bonds in thymine derivatives with a leaving group (p-nitrophenoxy) on the 5-methyl group. The necessity of the N-l anion precludes this mechanism (or analogues) for natural occurrence in the thymidylate synthetase-catalyzed reactions of uridylic acid. Nevertheless, the authors idly speculated concerning the relationship of these studies to the thymidylate synthetase enzymatic nucleophilic attack to generate an active nucleophilic intermediate. The intermediate then attacks the induced methylene-iminium ion arising from methylenetetrahydrofolate. The retrogressive scission of the adduct produced an exocyclic methylene which was reduced by the eliminated tetrahydrofolate (hydride ion transfer), yielding the product carbanion which eliminates the enzyme by anionization.**



**All pyrimidine structures have a 1-(5-phospho-2•-deoxyribosyl) substituent** and  $R \sim CH_2-MHC_6H_4COG1u$ .

**• The Mannich reaction mechanism has been proposed as a model describing the reaction of thymidylate synthetase, 5-fluorodeoxyuridylic <sup>33</sup> acid and 5,10-methylenetetrahydrofolate . In the Mannich reaction a primary or secondary amine reacts with a aldehyde (usually formaldehyde) and with a compound containing an active hydrogen. In acid solution it involves methylene iminium ion (referred to as "carbonium ion"). This lends some possible credence to postulated methylene iminium intermediates in both the dUMP and FdUMP reactions with thymidylate synthetase and methylenetetrahydrofolate. This mechanism lends support to the speculation of Pogolotti and Santi. The pertinent mechanism is as follows:**



**This postulation of this mechanism for the enzymatic reaction involves the questionable assumption that the intermediate is generated in a hyperacidic environment which is somehow "protected" from immediate destruction by the base, water.**

**•. In an effort to determine the extent of the reaction when 5-fluoro-2 ?-deoxyuridylic acid is substituted for 2'-deoxyuridylic acid, Dannenberg,** Langenbach, and Heidelberger<sup>34</sup> obtained a difference ultraviolet spectrum **upon formation of the ternary complex with thymidylate synthetase and 5,10-methylenetetrahydrofolate. The difference spectrum showed a decrease in the absorbance at 270nm providing evidence for saturation of the .5,6 double bond of the pyrimidine ring. An additional decrease in absorbance at 290nm is attributable to changes occurring in the tetrahydrofolate chromophore. When 10-methyltetrahydrofolate was substituted, the difference spectrum showed only an absorption decrease at 270nm. The data provides confirmation for reversible and nucleophilic addition to the 5,6 double bond which is responsible for the binding of 5-fluorodeoxyuridylic acid to the enzyme in the presence of the tetrahydrofolate cofactor. The amino acid residue essential for enzyme activity and inhibitor binding was shown to be cysteine. The tendency of enzyme to add to the 5,6 double bond was given by the extent of tritium exchange upon reversible addition of nucleophilic C-SH) to the double bond. Sharma and Kisliuk<sup>35</sup> showed that the tetrahydrofolate, upon reduction in the ternary complex with 5-fluoro-2'-deoxyuridylic acid gives an increase in the uv absorption at 335nm; by titration, the maximum change occurred upon 1:1 addition of FdUMP. Further addition of FdUMP to the second enzyme site caused the dihydrofolate to shift again to the tetrahydrofolate. The latter change has not been rationalized.**

#### **Discussion**

**From the above summary it is apparent that there is no dearth of speculation concerning mechanisms for the thymidylate synthetasecatalyzed reaction. Yet, no decisive information is available which**

**would clearly reveal a truly unique mechanism\*. The evidence cited supports a covalently bound ternary complex of methylenetetrahydrofolate, deoxyuridylic acid (or 5-fluorodeoxyuridylic acid), and thymidylate synthetase. The following eclectic mechanism appears to be the most**



**\*Two additional mechanisms without supportive evidence have been suggested** by Wahba and Friedkin<sup>25</sup>. One involves first the formation of N<sup>5</sup>-methyldihydrofolate: 5-10-Methylenetetrahydrofolate **>>>>**N<sup>5</sup>-methydihydrofolate dUMP<sub>></sub> dTMP + dihydrofolate. In the second mechanism, the thymidylate synthetase **is first methylated:** Enzyme + 5,10-methylenetetrahydrofolate—— $\rightarrow$ CH<sub>3</sub>-enzyme **+ dihydrofolate. CH^-enzyme + d U M P ------------** *>* **dTMP + enzyme.**

**The first step of the reaction is the addition of enzyme sulfhydryl to FdUMP at the 6-position of the pyrimidine ring. This carbanionic nucleophilic intermediate at the 5-position then attacks methylenetetrahydrofolate, generating the ternary adduct (complex), The decisive lesion of the normal process occurs in the next step. That step is widely accepted as the elimination of enzyme by an analog to the** *B2* **process. The final step in the normal process is the formation of the deoxythymidylate group by an intramolecular hydride ion, proton, or free radical shift from the 6-position of the tetrahydrofolate pteridine ring system. The latter step as pictured should be immune to solvent deuterium kinetic isotope effect, An experiment using D<sup>2</sup> O would reveal any other existing factors contributing to the mechanism which presently is depicted entirely as an intramolecular process which only involves 4 atoms.**

#### **SECTION III**

# **QUANTUM CHEMICAL CONSIDERATIONS OF 5-FLUOROURACIL AND RELATED COMPOUNDS**

### **. Introduction**

**There has been a steady increase in the literature in the application of molecular orbital theory to an understanding of chemical reactions\*. In the field of biochemistry there has been an effort made to verify the location of reactive sites in the molecule in order to elucidate the reaction mechanisms involved\*\*. Studies have been made involving molecular orbital calculations in the search for correlations between covalent bond formation and biological activity. Such studies include 36— 39 the investigation of antibacterial agents, ~ the correlation of** ester hydrolysis rates of enzymes affected by drugs,  $40-43$  the effect of a class of compounds on plant growth, <sup>44-46</sup> and enzymic acetylation<sup>47</sup>. **Reactions involving charge-transfer complexation have also been investigated quantum mechanically by studying electron-donor and electronacceptor properties of molecules in a reaction through calculations of energy levels (E^q ^q {highest occupied molecular orbital energy} and ^LEMO flowest; empty molecular orbital energy}). Such studies included**

**\*A knowledge of quantum theory is assumed by the author. An excellent reference text on the subject is "Elementary Quantum Chemistry", F. L. Pilar, McGraw-Hill, New York (1968). The following fine texts may also be consulted. "Quantum Theory of Molecular Electronic Structure", R. G. Parr, Benjamin, New York (1963). "Approximate Molecular Orbital Theory", J. A. Pople and D. L. Beveridge, McGraw-Hill, New York (1970).**

**\*\* Texts related to the application of molecular orbital theory to biochemical problems are: "Quantum Biochemistry", B. Pullman and A. Pullman, Wiley (Interscience) New York (1963). "Molecular Orbital Theory in Drug Research", L. B. Kier, Academic Press, New York (1971). "Quantum Pharmacology", W. G. Richards, Butterworths, London (1971).**

antimalarial drug reactions, <sup>48, 49</sup> hallucinogenic reactions, <sup>50</sup> local anesthetics,<sup>51</sup> and carcinogenic hydrocarbons<sup>52-57</sup>. An attempt was made **to explain carcinogenic activity of hydrocarbons on the basis of their 58 electronic structure as determined by molecular orbital calculations The literature is abundant with studies in which molecular orbital theory has been applied to drugs in order to explain structure-activity relationships . The examples cited represent only a small fraction of the papers published in this field and are meant to be illustrative rather than exhaustive.**

**When performing molecular orbital calculations on an isolated molecule whose dimensions are based on x-ray crystallographic measurements, the data obtained are not a true representation of the in vivo situation since one is dealing with a dynamic biological system in which thousands of interdependent reactions are occurring simultaneously with solvent media and neighboring molecules exerting an effect. The calculations \* \* \* \* do not include the existence of these independent reactions**

**In the studies previously mentioned, the calculated molecular orbital data were consistent with experimental findings, which lends credence to the fact that these additional neighboring contributions were negligible.**

#### **Method and Theory**

#### **A. Complete Neglect of Differential Overlap (CNDO)**

**One of the molecular orbital methods which considers all-valence**

**\*\*\*A comprehensive bibliography can be found in "Quantum Pharmacology", W. G. Richards. See previous notation on suggested reference texts.**

**\*\*\*\*Molecular orbital calculations in general refer to the gas-phase molecule even though geometry of the molecule is usually obtained from x-ray crystallographic data.**

**IB**

**<sup>59</sup> electrons is the CNDO/2 SCF method . This approximate method calculates self-consistent molecular orbitals for all valence-electrons (with Is electrons considered as part of a nuclear core) in molecules where all atoms are first row elements. It is based on the complete neglect of differential overlap (CNDO) approximation in which the differential overlap** distribution  $\phi_m(1)\phi_n(1)d\tau_1$  m  $\neq$  n of any two atomic orbitals **is neglected in all electron repulsion integrals. The rationale is that a large number of these repulsion integrals in LCAO-SCF calculations are practically zero in value and are particularly difficult to evaluate if the atomic functions are centered on different atoms.**

With the CNDO method the assumption is made that  $(\text{mn} | \&s) = \delta_{mn} \delta_{\theta,s} (\text{mn} | \&s)$ **where**  $\delta_{mn}$  and  $\delta_{\ell s}$  are Kronecker deltas and (mn| $\ell s$ ) is the 2-electron re**pulsion integral over atomic orbitals defined as**  $f$  **/** $\phi$  $_{\text{m}}(1)$  $\phi$  $_{\text{n}}(1)$  $\frac{\phi}{\text{r}}$  $_{\text{n}}$  $_{\text{2}}$  $(2)$  $\phi$  $_{\text{s}}(2)$  $\text{d}\tau$  $_{\text{1}}$  $\text{d}\tau$  $_{\text{2}}$ **This approximation thereby eliminates all multi-centered as well as onecenter integrals where different atomic orbitals are involved for either of the two electrons. Even though the CNDO method is applied to all ^m^n atoni^c orbitals, m** *f* **n, with Roothan's ^ equation applying, the approximation is not invariant to cartesian axes rotation. However, this deficiency is corrected by making the remaining 2-electron repulsion integrals depend only on the atoms A & B on which**  $\phi$  $_{\rm m}$  **and**  $\phi$  $_{\rm \ell}$  **are situated;**  $(\text{mm}/\ell\ell)$  are set equal to  $\gamma_{AB}$ , representing an average electrostatic **interaction between an electron on A and one on B. Having neglected monatomic differential overlap,**  $\phi_m(1)\phi_n(1)d\tau_1$  (m  $\neq$  n), the next consideration **is that of the electrostatic interaction between an electron in an orbital of o**ne atom and the cores of the other atoms. If  $V_{\overline{B}}$  is the core potential **at atom B, then the integral**  $(\mathfrak{m} | V_{R} | n)$  **also equals zero by virtue of the** 

 $1\overline{2}$ 

**neglect of the monatomic differential overlap of the two unequal orbitals on atom A.** This can be written as  $(m|V_B|n) = \delta_{mn} V_{AB}$ , which then leads to  $H_{mm}$  = U<sub>mm</sub> -  $\Sigma$  V<sub>AB</sub> with  $\phi$  <sub>m</sub> on atom A.  $H_{mm}$ **represents the diagonal core matrix element where**  $U_{\text{max}} = (m \big| - \frac{1}{2} \nabla^2 - V_{\text{A}} \big| m)$ is the one-center electron-core matrix element, and  $V_{AR}$  is the two**center valence-electron core matrix interaction contribution.** Differential overlap is not neglected when  $\phi_m$  and  $\phi_n$  are on sepa**rate atoms because H**<sub> $mn$ </sub> [=  $(m|-1/2\nabla^2 - V_A - V_B|n)$ ] – the off-diagonal core **matrix elements - describes bonding between A and B. It is necessary** to retain differential overlap in H<sub>mn</sub> by having it depend semi-empirically on overlap.  $H_{mn}$  is also called the resonance integral  $\beta_{mn}$ , and relates **to the bonding capacity of the overlap; it is proportional to the over**lap and can be written as  $\beta_{mn} = \beta_{AB}^{\circ} S_{mn}$ .  $\beta_{AB}^{\circ}$  depends only on the nature of atoms A and B. This satisfies the invariance requirements<sup>61</sup>.

**The CNDO/2 and CNDO/1 approximations are basically similar. The modifications of the CNDO/1 method will be presented after the parameterization is described. Slater functions representing the orbitals** are used for the minimal basis set  $\phi_m$  (1s for hydrogen and 2s, 2p<sub>x</sub>, **2p , 2p orbitals for lithium to fluorine). Slater's rules are used y ^ to obtain the exponents. For hydrogen the effective nuclear charge is C = 1.2 instead of 1.0. The overlap integrals are calculated with a computer. The repulsion integral is calculated as a two-center 2 coulomb integral using the s orbital on atom A,**  $(s_A)$ **.**  $\gamma_{AB} = fs_A^2(1) (r_{12})^{-1}$ **2**  ${\tt s_p}^-(2)$ d ${\tt \tau_1}$ d ${\tt \tau_2}.$  The electron-core interaction parameter  ${\tt V_{AD}}$  is also **calculated using valence s functions. The core of atom B is treated**

as a point charge at the nucleus so that  $V^{}_{AB} = Z^{}_{B}f s^{\;2}_{A} (1)(r^{}_{1B})^{-1}$ where  $Z_R$  is the core charge and  $r_{1B}$  is the distance between electron **1** and the B nucleus. The bonding parameters  $\beta_{AR}^0$  are taken as the  $\,$  average of the bonding parameters of each atom. Thus,  $\beta_{\rm AB}^{\rm V}$  =  $\frac{1}{2}(\beta_A^0 + \beta_B^0)$  where  $\beta_A^0$  and  $\beta_B^0$  are selected empirically. Finally, the atomic matrix elements of the one-electron hamiltonian, U<sub>mm</sub>, **are obtained from experimental energy levels. The energy of an atomic core and the valence electrons are represented by an average of several states. The core integrals for the 2s and 2p orbitals are then related to either ionization energies or electron affinities related to these states.** The equation is  $U_{mm} = -\frac{1}{2}(I_m + A_m) - (Z_A - \frac{1}{2})\gamma_{AA}$ with  $\phi_m$  belonging to atom A.  $I_m$  and  $A_m$  refer to the ionization energy and **electron affinity, while**  $Z_A$  **is the core charge on A.** 

## **B, Method of Solution of LCAOSCF Equations**

**The LCAOSCF equations are solved in the following manner. The initial approximation to the molecular-orbital coefficients is ob** tained from a modified Huckel calculation in which the diagonal elements of the matrix representation of the Hartree-Fock operator,  $F_{mn}$ , are **replaced by the atomic ionization energies from equations relating the ionization energies to core-and repulsion-integral parameters,** U and  $\gamma$ . The off-diagonal elements of the Fock hamiltonian are replaced by resonance integrals  $\beta_{AB}^O s_{mn}$ . The electrons are assigned **in pairs to molecular orbitals with lowest energy. The density** matrix, whose elements are P<sub>mn</sub>, is calculated from the occupied molecular orbital coefficients in order to form a new F<sub>mn</sub>. Diagonalization **of the new Fock matrix leads to a new set of orbital coefficients. The** **iterations are repeated until self consistency is achieved.**

## **C. Comparison of CNDO/1 and CND0/2 Approximations**

**62 The CNDO/1 approximation has several shortcomings . In calculations for diatomic molecules the equilibrium distance is too small and the corresponding dissociation energy is too large. This is due to electrons in one orbital of an atom penetrating the shell of another atom. The diagonal matrix element of the Fock**  ${\tt hamiltonian}$  can be written as:  ${\tt F}_{\tt mm} = {\tt U}_{\tt mm} + ({\tt P}_{\tt AA} - {}^{\hspace{-1.1mm}1\hspace{-1.1mm}2\hspace{-1.1mm}P}_{\tt mm}}) {\tt \gamma}_{\tt AA}$  +  $E$   $[-Q_B \gamma_{AB} + (Z_B \gamma_{AB} - V_{AB})]$  where  $P_{AA} = \sum_{m=1}^{A} P_{mm}$  is the total Σ. valence electron density at atom A, P<sub>mm</sub> is the charge on orbital **m,**  $Q_B$  **is the net charge on atom B, and**  $Z_BY_{AB} - V_{AB}$  **are the penetration integrals. The inclusion of the latter term results in a net attraction where there should be a net repulsion and also leads to incorrect bonding energies. In the CND0/2 method, the penetration integrals are neglected and the shortcomings of CNDO in this regard are eliminated. Since the study is confined to a closed shell configuration, the following energy equations apply61.** The total energy is composed of the monatomic energy,  $\xi_A$ , and diatomic energy  $\xi_{AB}$ :  $\xi_A = \sum_{m=1}^{M} P_M U_m + \frac{1}{2} \sum_{m=1}^{M} P_M (P_M P_{mm} - \frac{1}{2} P_{mm})$  $\xi_{AB} = \sum_{m} \sum_{n}^{m} (2P_{mn} \beta_{mn} - \frac{1}{2}P_{mn} \gamma_{AB}) + (Z_A Z_B R_{AB} - P_{AA} V_{AB})$  $-$  **P**<sub>BB</sub><sup>V</sup><sub>BA</sub> + **P**<sub>AA</sub><sup>P</sup><sub>BB</sub><sup>Y</sup><sub>AB</sub><sup> $)$ </sup>

**For large internuclear distances, the last group of terms approximate**  $\tan \mathbf{R}_{AB}$ <sup>-1</sup> and become  $\mathbf{Q}_A \mathbf{Q}_B \mathbf{R}_{AB}$ <sup>-1</sup> with  $\mathbf{Q}_A = \mathbf{Z}_A - \mathbf{P}_{AA}$ . In the CNDO/2 method **the diagonal matrix elements of the Fock hamiltonian are now functions of the ionization energy, I, and the electron affinity, A, (an average of the two representing a one-center, one-electron term). The equations**

for both the diagonal and off-diagonal elements are  $^{63}$ :  $F_{mm} = {}^{1}_{2} (I_{m} + A_{m}) + [ (P_{AA} - Z_{A}) - {}^{1}_{2} (P_{mm} - 1) ] \gamma_{AA} + \frac{1}{B} (I_{AB}) (P_{BB} - Z_{B}) \gamma_{AB}$ and  $F_{mn} = \beta_{AB}^{\circ} S_{mn}^{-1} P_{mn} \gamma_{AB}$  (m  $\neq$  n)

### **D. Mulliken Population Analysis**

**LCAO molecular orbital wave functions can be interpreted in terms** of charge distributions in molecules. Mulliken's<sup>64</sup> population-analysis **method defines numerical indices giving the number of electrons associated with each specific atom in the molecule. If** *<p* **is a normalized molecular orbital of a diatomic molecule it can be written as a linear combination of normalized atomic orbitals,**  $\chi_m$  **and**  $\chi_n$ **, centered on atoms A**  $\xi$  **B respectively, viz:**  $\phi = c_m x_m + c_n x_n$ . The gross population at each atom **consists of the net atomic population at that atom plus one-half of the 2 overlap population between the two atoms.**  $N(A) = N(c_{\widehat{\mu}}^{-1}c_{\widehat{\mu}}c_{\widehat{\mu}}S_{mn})$  and  $N(B) = N(c_m c_n S_{mn} + c_n^2)$ , where  $S_{mn} = f \chi_m \chi_n d\tau$  and N is the over-all total **population of the electrons. In the general case (for any molecule) the** equation for the gross atomic population on atom A is  $N(i; m_A)$  =  $N(1) c_{im_A}$  $\sum_{i=1}^{\infty}$ **im**  $A$   $\sum_{i=1}^{\infty}$  **B**  $\sum_{i=1}^{\infty}$  **A**  $A$ <sup>n</sup><sub>B</sub>

**If the bonds between the atoms are polar, the gross charge on each atom can be obtained by subtracting the gross atomic population at the atom from the total number of electrons in the ground state of the free neutral atom.**

#### **E. Computer Program**

**A Fortran IV computer program for calculating CNDO and INDO molecular orbitals\* was adapted for use in the Univac 1108 computer. The program**

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**<sup>\*</sup>This program was prepared by J. A. Pople, D. L. Beveridge, and P. A. Dobosh. It appears as Appendix A in J. A. Pople and D. L. Beveridge, "Approximate Molecular Orbital Theory", McGraw-Hill, NY (1970). Card copies of the program may be obtained from Quantum Chemistry Program Exchange (QCPE) Dept, of Chemistry, Indiana University, Bloomington, Ind. 47401.**

**calculates energies and other properties based on assumed wave functions for either open or closed shell molecules containing elements from H to Cl (CNDO) and H to F (INDO)\*. The matrices can accommodate molecules containing up to 85 atoms or 80 basis functions (whichever is smaller). One atomic orbital basis function is allotted to hydrogen (Is), and one each of (2s, 2** $p_x$ **, 2** $p_y$ **, and 2** $p_z$ **) for Li thru F.** Additional atomic orbital **basis functions are available for the elements sodium through chlorine, but will not be used in this study.**

**After the method of approximation (CNDO or INDO) and the type of calculation (open or closed shell) have been selected, the number of atoms, charge and multiplicity are entered. This is followed by the cartesian coordinates of each atom. Following this, the coefficients which are to be used in the calculation of the overlap and coulomb integrals are assigned. The overlap and coulomb integral matrices are computed and the overlap integrals are then transformed to the molecular coordinate system by a rotation matrix. An extended Huckeltype approximation with zero differential overlap is made for the Fock** matrix with  $F_{mn}$  formed from  $-\frac{1}{2}$  (I + A) and  $F_{mn}$  formed from  $(\beta_A^0 + \beta_R^0)X$ **S<sub>mn</sub>/2. This matrix is then diagonalized followed by the construction of a density matrix. Repulsion terms are then added to the hamiltonian for either CNDO or INDO calculations since they are not included in the Huckel scheme.**

**The initial density matrix and the core hamiltonian are then used to form the Fock matrix. This matrix is diagonalized, forming a new density**

**<sup>\*</sup>IND0 (intermediate neglect of differential overlap) retains differential overlap in one-center integrals and consequently is better able to describe certain electronic states with open-shell configurations.**

**matrix, which then is used to form a new Fock matrix. This iteration** is repeated until the electronic energy converges to  $10^{-6}$ au, at which **time the electronic energy is assumed to be minimized. The Fock matrix** is diagonalized, the eigenvectors are printed out, and both the total **energy and binding energy calculated. The binding energy is the difference between the minimum total energy of the molecule and the sum of the atomic energies of the component atoms. The program then computes the dipole moment, the monatomic and diatomic energies, and the electrostatic interaction between charged atoms in the molecule. The program also gives the Mulliken overlap population at each atom location.**

#### **Results\_**

**At the outset a calculation was carried out for formaldehyde and the results compared with the output of the QGPE CND0/2 program for the same molecule. There was perfect agreement for all the parameters to the 4th decimal place or better. This substantiates the accuracy of the program. Calculations were then made for uracil, 5-fluorouracil, and thymine. The geometries\* for these molecules were obtained from 65~67 X-ray crystallography studies . Also a CNDO calculation was made** from the geometry obtained in an ab initio study of thymine molecule<sup>68</sup>. **Finally, the bond angles and bond lengths of 5-fluorouracil were used to calculate the parameters of thymine by substituting methyl for fluorine and using the C-F bond length for C-CH^. All of these calculations were**

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**<sup>\*</sup>The numbering system and the dimensions of each of the 2,4-diketopyrimidines which were obtained from crystal structure determinations and an ab initio study for use in the CNDO calculations are illustrated in Figures 3-6. Slight modifications in the bond angles were made whenever the literature values appeared incorrect. Estimated values for bond angles and lengths were introduced when they had been omitted. Figures 7 to 9 illustrate the charge at each atom in the molecules and Tables I-V provide the density matrix and overlap population data. The calculated dipole moments (compared with literature experimental values) together with total energies are listed in Table VI. Table VII summarizes the calculated charges at the 5 and 6 positions of uracil, 5-fluorouracil and thymine.**

**performed to determine the reactive centers in the uracil molecule, the effect of the fluorine atom in the 5 -position, and to substantiate, if possible, that part of the proposed mechanism which deals with the electro- and nucleophilicity of the reactive sites.**

### **Discussion**

**Given the step-wise picture and the nucleophilic character of the attacking enzyme - as considerable evidence indicates - uracil has the appropriate electrophilic center at Cf6) as does fluorouracil and thymine. The presence of a negative charge at C(5) in both thymine and uracil favors development of high nucleophilicity in C(5) from any intermediate produced upon nucleophilic attack at C(6), High nucleophilicity at C(5) in uracil favors the second stage of postulated mechanisms of attack of activated methylene species which are electrophilic, High nucleophilicity at C(5) in thymine would tend to favor breakup of the thymine-enzyme complex through ejection of the enzyme as an anionic species, especially since the methyl group sterically favors dissociation of the cofactor (methylenetetrahydrofolate) leading to the localization of the negative charge. Fluorouracil also possesses the required electrophilic C(6) position for enzymatic attack and formation of the postulated adducts. However, the C(5) position has a decided electrophilic quality which will probably only stabilize or accomodate the negative charge from the enzyme, and may not assume sufficient nucleophilic character in the intermediate stage for any further step-wise reaction with an activated methylene group such as in the tetrahydrofolate co-factor. Because it is indicated by these calculations that the fluorouracil can accommodate the negative charge, it may be that the intermediate will be stabilized and will not easily dissociate the bound enzyme.**

**69 Kulakowska and co-workers have calculated charge densities and**

**other properties of the same group of molecules treated in this study. They also used the CNDO/2 method as utilized in the present work. However, they assumed a standard fixed geometry for the uracil nucleus to be invariant to the set of compounds and the only bond adjustments made were empirical adjustments on the various substituent atoms. Their calculations exhibit the qualitative characteristics of the present work. They are in reasonably good accord with the present values, but do not precisely agree because the charge densities show some geometric sensitivity. The authors did not consider the implications of their work on biological systems, but attempted some rationalization of pK and dipole moment data. There is reasonably good agreement between their experimental dipole moment data and those calculated in the present work (Table XIX).**

**<sup>70</sup> It is interesting at this point to mention a photochemical study in which comparative CNDO/2 calculations of the ground and excited states of uracil, thymine, and cytosine were performed. Atoms C(5) and C(6) were found to be highly reactive, indicating that even in the excited state the chemistry of these pyrimidines is dominated by the 5,6 reaction sites.**

## **SECTION IV**

#### **SUMMARY\***

**1. There is a greater negative (or less positive) charge at C(5) than at C(6). This substantiates a nucleophilic attack by the enzyme at the C(6) position rather than at C(5). Indirectly, it implicates eventual potentiation of a nucleophilic attack by the substrate on the co-factor.**

**2. There is a change in polarity at C(5) due to the presence of fluorine in 5-fluorouracil.**

**The change in polarity with the introduction of a fluorine atom demonstrates the electronegativity of the halogen atom. The positive charge at C(5) results in a stabilization of the enzyme adduct.**

**3. The C(5) - C(6) bond moment in 5-fluorouracil is very small. This suggests the presence of another factor (steric fit to the enzyme active site cavity) which may play a decisive role in determining positional attack.**

**Thus in the normal reaction (with uracil) steric fit may also be a contributing factor even though the molecule is electronically amenable to the observed selecivity.**

**\*See Table VII**

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# **TABLE I**

**URACIL**

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## **TABLE II**

# **5-FLUOROURACIL**





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# **TABLE III**

# **THYMINE**



#### 1 **APPROXIMATE** overlap **POPULATION**

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## **TABLE IV**





**\*Geometric dimensions taken from ab initio calculations**

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## **TABLE V**

## **. THYMINE\***





**\*Geometric dimensions are those of 5-fluorouracil with the methyl group substituted for fluorine.**

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**TABLE VI**

a. Used geometric dimensions of 5-fluorouracil, substituting CH<sub>3</sub> for F.

**b.** Geometric dimensions obtained from ab initio calculation of the molecule<sup>69</sup>.

**c. Monatomic energy in electron volts.**

**d. Diatomic energy in electron volts.**

**e. Energy in atomic units.**

**f.** Literature<sup>71</sup> experimental value 4.16  $\pm$  0.04 debyes.

g. Literature<sup>71</sup> experimental value  $4.11 \pm 0.05$  debyes.

**h.** Literature<sup>71</sup> experimental value  $4.13 \pm 0.03$  debyes.

## **TABLE VII**

## **POLARIZATION OF 5-6 BOND**



## **a. 5-Fluorouracil data**

**b. See \* footnote in Figure 8.**

**STRUCTURES**



**Uracil**



**5-Fluorouracil Thymine**





**5-Fluorouridine**





**5-Fluoro-2'-deoxyuridine**

**5-Fluorouridylic acid**





H



**Deoxythymidylic acid**

**5 ->F1 uoro orot i dine 5-Fluorodeoxycytidine**





**\*Via a multi-step pathway involving reduction to the deoxyribose form at the diphosphate level.**



**\* Corrected values**

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**FIGURE 4**

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**\*Estimated**

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**FIGURE 5**

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**N (1) 120 N (1) •- C (6) ■- H (10) 120\***

**c (2) 123 C (6) ■- N (1) ■- H (ID 118.5\***

**0 (7) 121** C **(2) •- N (1) ■- H (11) 118.5\***

**121 c**  $(2) - N (3) - H (12) 117*$ 

**0 (8) 121 c (4) ■- N (3) ■- H (12) 117\***

**\*Estimated**

**C (5) - c (6)**

**c (6) - N (1)**

**N (1) - c (2)**

**N (3) - c (2)**

**N (3) - c (4)**

**\*\*Corrected**

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## **CHARGE DISTRIBUTION**



**\*The bond angles and bond lengths are those of 5-fluorouracil with the methyl group substituted for fluorine.**

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## **CHARGE DISTRIBUTION**



**\*The molecular dimensions are those obtained by an ab initio calculation The special arrangement of the hydrogen atoms of the methyl group is the same as that used in the ab initio treatment. 70** **r**

### **CHARGE DISTRIBUTION**





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## **SECTION V**

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