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Modeling the effect of oceanic internal waves on the accuracy of multibeam echosounders

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Abstract

When ray bending corrections are applied to multibeam echosounder (MBES) data, it is assumed that the varying layers of sound speed lie along horizontally stratified planes. In many areas internal waves occur at the interface where the water’s density changes abruptly (a pycnocline), this density gradient is often associated with a strong gradient in sound speed (a velocline). The internal wave introduces uncertainty into the echo soundings through two mechanisms: (1) tilting of the velocline, and (2) vertical oscillation of the velocline’s depth. A model has been constructed in order to examine how these effects degrade the accuracy of MBES measurements. The model numerically simulates the 3D ray paths of MBES soundings for a synthetic flat seafloor, as though the soundings have been collected through a user-defined internal wave. Along with sound speed information, the ray paths are used to estimate travel times which are then utilized as inputs for a conventional 2D ray trace. The discrepancy between the 3D and 2D ray traced solutions serve as an estimate of uncertainty. The same software can be extended to model the expected anomalies associated with tidal fronts and other phenomena that result in significant tilting or oscillation of the velocline.

A case study was undertaken using observed internal wave parameters on the Scotian Shelf. The case study examines how survey design parameters such as line spacing, direction of survey lines, and water column sampling density can influence the uncertainty introduced by internal waves. In particular, an examination is undertaken in which 2D ray tracing models are augmented with MBES water column imaging of the velocline. The investigation shows that internal waves have the potential to cause vertical uncertainties exceeding IHO standards and that the uncertainty can potentially be mitigated through appropriate survey design. Results from the case study also indicate that acoustic tracking of the velocline has the potential to counteract the effects of internal waves through augmentation of 2D ray tracing models. This technique is promising, however, much more research and field testing is required to ascertain the practicality, reliability and repeatability of such an approach.

1 Introduction

One of the main sources of uncertainty for MBES soundings comes from refraction of the acoustic ray path due to variations in sound speed in the water column. Since most of the variability in sound speed occurs in the vertical direction, a vertical profile of the sound speed can be used to correct for refraction effects. If an incorrect or outdated sound speed profile is used then the acoustic ray travels along a different path than what was assumed, resulting in vertical and horizontal biases in the final 3D position of the sounding. The ray path is calculated
with a ray tracing algorithm. Although there are different algorithms the key to refraction remains in Snell’s Law (equation 1):

\[
\frac{\sin \theta_1}{\text{sound speed } 1} = \frac{\sin \theta_2}{\text{sound speed } 2},
\]

(1)

where \(\theta_1\) is the angle of incidence between the ray and the interface which it is refracting through, and \(\theta_2\) is the refracted angle. For ray tracing the interface is between two layers of sound speed (Sound speed 1 and Sound speed 2).

Given that the ocean environment is often generalized as being horizontally stratified, the assumption that sound speed only depends on depth is used for ray tracing [Lurton, 2002]. This approach greatly simplifies the mathematics in ray tracing models, as well as water column sampling, because it is difficult to measure any deviation from horizontal stratification. This base assumption allows each of the discretely measured layers of speed from a sound speed profile (SSP) to be modeled as a horizontally stratified plane of constant sound speed. With the horizontal stratification assumption, the angle of incidence between a ray and an interface, between two layers of sound speed will always be relative to the vertical; however, in reality, this is not always the case.

In many areas internal waves occur along the pycnocline (“a layer where density changes most rapidly with depth. It can be associated with either a halocline or a thermocline.” [Baum S.K., 2004]). This density gradient is associated with a strong gradient in sound speed (velocline). Figure 1 is a vertical cross section of density through an internal wave which has been acoustically imaged using a single beam echosounder (SBES). These types of internal waves can introduce a bias into the soundings acquired by a MBES through two mechanisms. The first is that the vertical motion of the velocline causes its assumed depth (from an SSP) to be incorrect, thus a ray tracing algorithm will refract a ray at the incorrect depth. The second mechanism is the tilting of the velocline. This second mechanism violates the assumption that the interfaces between layers of sound speed are horizontal, thus a ray tracing algorithm will refract a ray by an incorrect amount. Internal waves, and the two mechanisms through which they introduce uncertainty into MBES soundings, are discussed in more detail in the next section.

![Figure 1: Acoustically imaged internal wave in Knight inlet B.C.. After [Apel, 2004]. Colour coding denotes density and arrows represent current.](Image)

The main objective of this work is to create a mathematical model to predict the uncertainty (it is a systematic uncertainty therefore will be referred to as a bias) which is introduced into MBES soundings when internal waves are not accounted for in conventional ray tracing models. The model numerically simulates the 3D ray paths and travel times of MBES soundings for a synthetic flat seafloor as though the soundings have been collected through an internal wave of a two-layer water mass. The internal wave parameters are user defined and include: sound
velocities in the upper and lower layer, mean depth of the interfacial depth, water depth, wavelength and amplitude of the internal wave. The estimated travel times are then utilized as inputs for a conventional 2D ray trace in which the user-specified sound velocities and the mean interfacial depth are used to create a synthetic sound speed profile. The discrepancy between the 3D and 2D ray traced solutions serve as an estimate of uncertainty.

MBES water column imaging is often capable of identifying the location of the velocline over some portion of the angular sector. If the depth of the velocline is successfully imaged across the entire swath, it may allow for an augmented ray trace to be used in which an adjustment is made in the SSP to account for the varying depth of the velocline for every receiver beam ray path. This removes the effect of the velocline’s vertical oscillation, leaving only the effect from tilting. The mathematical model discussed in this work has been developed so that it can isolate the portion of uncertainty that is caused by varying depth of the velocline and the portion caused by its inclination, allowing for the potential benefit of using an augmented ray trace to be examined.

A case study was undertaken using observed internal wave parameters from Banquereau Bank, a shallow bank on the edge of the Scotian Shelf and the Laurentian Trough. The results from the case study deliver an understanding of the general magnitude and behaviour of the bias on MBES soundings to help hydrographers identify when soundings have been collected through an internal wave. It is also used to answer the following questions: (1) Does the direction of travel over an internal wave have an effect on accuracy? (2) Would the accuracy improvement of acoustically determining the depth of the velocline and using the augmented ray trace be significant? (3) How should a hydrographer sample the temperature and salinity structure of the water column when internal wave phenomena are expected to occur in the survey area?

2 Internal waves and their effect on MBES accuracy

An internal wave can be described as a gravity wave which propagates within the volume of any fluid. In the ocean, an internal wave is generated upon the disturbance of the pycnocline, the disturbance can be caused, for example, by flow near a shelf break or over a shoal. Once disturbed, the energy propagates away from the generation point as a wave that travels along the pycnocline [Apel, 2004]. Internal waves along the thermocline are often formed on the continental shelf where the sun’s radiation heats the upper layers causing a sharp temperature gradient with cooler water on the bottom. A typical location to find internal waves along the halocline would be where a freshwater river flows into a body of saltwater [Uncles R.J., 1996].

A large portion of observed internal waves fall into the category of internal solitary waves which are also referred to as solitons. Solitons occur as groups of oscillations that consist of up to a few dozen cycles. Solitons often have rank-ordered amplitudes and wavelengths, meaning the amplitude and wavelength are both largest on the leading wave and decay with each oscillation [Apel, 2004]. Typical values for continental shelf internal waves are listed in Table 1.

The shape of a soliton is considered by some to be almost sinusoidal [Sandstrom, 1994]; however they tend to take on a more triangular shape because the wave troughs move faster than the peaks, which cause the gradient to be steeper between the two. This situation is caused by the
propagation speed increasing when the isopycnals are displaced downward and decreasing along its upward motion [Sandstrom, 1994]. For the mathematical model discussed in this work the idea of an internal wave taking the shape of a sinusoidal wave is used to facilitate the numerical simulation.

**Table 1:** Typical characteristics of solitons. Adapted from [Apel, 2004].

<table>
<thead>
<tr>
<th>Characteristic</th>
<th>Symbol</th>
<th>Scale</th>
</tr>
</thead>
<tbody>
<tr>
<td>Packet Length</td>
<td>L (km)</td>
<td>1 – 10</td>
</tr>
<tr>
<td>Amplitude Factor</td>
<td>2 η₀ (m)</td>
<td>-15</td>
</tr>
<tr>
<td>Upper Layer Thickness</td>
<td>h₁ (m)</td>
<td>20 – 35</td>
</tr>
<tr>
<td>Lower Layer Thickness</td>
<td>h₂ (m)</td>
<td>30 – 200</td>
</tr>
<tr>
<td>Long Wave Speed</td>
<td>C₀ (m/s)</td>
<td>0.5 – 1.0</td>
</tr>
<tr>
<td>Maximum Wavelength</td>
<td>λₘₐₓ (m)</td>
<td>100 – 1000</td>
</tr>
<tr>
<td>Crest Length</td>
<td>Cᵣ (km)</td>
<td>0 – 30</td>
</tr>
</tbody>
</table>

As mentioned in the introduction, internal waves introduce uncertainty through two mechanisms. The first is the vertical oscillation of the velocline; Figure 2 helps to describe the situation. The vertical oscillation of the velocline causes its true depth (dashed line) to differ from its assumed depth (solid line) which was recorded with an SSP. The depth discrepancy causes two effects. The first is it causes the calculated ray path (red line) to refract at a depth that is different than the true ray path (green line), which alters the ray’s path. The second effect is that it causes the two rays (true and calculated) to spend different amounts of time in each layer of sound speed. The overall distance a ray travels is a function of time and speed, so the second effect causes the overall length of each ray to be different.

![Figure 2: Effect of the velocline’s vertical oscillation on MBES soundings.](image)

CHC 2010 4 T. Hamilton & J. Beaudoin
The second mechanism through which internal waves introduce uncertainty into MBES soundings is tilting the velocline. The tilt violates the assumption that all layers of sound speed are horizontally stratified. Every degree of velocline tilt causes one degree of bias in the angle of incidence (Figure 3 (a)). Through Snell’s law the incorrect incidence angle causes the refracted angle to be incorrect. It is an angular uncertainty that will cause both an across track and depth uncertainty.

The problem is made even more complex by the fact that the internal wave causes the velocline to tilt about both the along track and across track axis. In the presence of a 2-axis tilt, the ray will no longer be constrained to a 2D plane (green plane in Figure 3 (b)). By ignoring the 3D aspect of the ray path, a bias results in the direction normal to the plane as this component can only be zero in a 2D ray tracing model. Uncertainty is also introduced into the depth and radial components of the ray traced solution as these components absorb the bias resulting from the 2D model’s inability to account for the additional travel time associated with refracting out of the plane. One of the goals of this work is to gain an appreciation of the magnitude of the resulting bias and to better understand the conditions under which this effect results in appreciable sounding bias.

![Figure 3: (a) Effect of across track tilt on refraction. (b) Effect of ignoring 3D refraction.](image)

3 Methods

As mentioned in the introduction, software was developed to simulate an estimate of the uncertainty introduced into MBES soundings by internal waves. The simulation requires several inputs to describe the characteristics of the watercolumn. The parameters are the bearing of the survey lines relative to the direction that the internal wave propagates, water depth, sound speed above and below the velocline, the mean depth of the velocline and finally the amplitude and
wave length of the internal wave. The software is currently designed to use equiangular 1° beam spacing with a 130° swath in an attempt to give a universal description of the uncertainty.

The foundation behind the software is ignoring the assumption that a beam is constrained by a 2D plane; rather it traces the beam’s path in 3D space. The coordinate system used for the calculations is a right handed system. The x-axis is aligned with the direction of the internal wave’s propagation, the z-axis is pointing down, the y-axis is oriented as to complete the right handed system, the internal wave is infinitely wide along the y-axis, and the origin is at the vessel’s position during the first ping. This will be referred to as the internal wave coordinate system (IWCS, shown in Figure 4). The IWCS allows the vector representing the ship’s track relative to the internal wave to be calculated, simplifying the sounding coordinates by originally calculating them in the IWCS, rather than converting from ship based coordinates.

![Internal Wave Coordinate System](image)

**Figure 4: Internal Wave Coordinate System.**

The simulation numerically models the ray path of an acoustic ray that travels through a water column which contains an internal wave and experiences three dimensional refraction based on the angle of incidence with the velocline, and the sound speed in each layer (of a two layer water mass). Once it passes through the velocline the beam travels through the remainder of the column until it strikes a synthetic flat seafloor at a user specified depth. The x, y, and z coordinates where the beam strikes the seafloor are used as the “true” coordinates (or solution) for the sounding; these are later compared with the biased solution for the same sounding.

Using the three dimensional Euclidean distance of the two line segments (above and below the velocline), and the corresponding sound velocities in each layer, the two-way travel time (TWTT) is calculated for the synthetic beam. The TWTT is meant to simulate the true time of flight that would have been measured under the specified circumstances. The synthetic TWTT is then used in a traditional 2D ray trace in order to get the coordinate solutions which have been biased by the internal wave (Figure 5). Each biased sounding is plotted onto a surface which represents how the digital terrain model (DTM) of the synthetic flat seafloor would appear if it were imaged through the specified internal wave (Figures 7 (a), 8, 9, 10, 11). The above process repeats for each beam across the swath. The software simulates the vessel traveling over three cycles of the internal wave with sufficient pings in order for the DTM to show how the pattern of the bias will develop.
The same process is done with the augmented ray trace to evaluate the potential benefit of accounting for the velocline’s true depth (Figure 6). In order to become augmented, the traditional ray trace is able to account for the true vertical position of the velocline across the entire swath (but does not attempt to account for potential tilting in either the across-track or along-track direction). The first step in performing the augmented ray trace for a beam is to retrieve the $z$-coordinate (in IWCS) of the beam’s intersection with the internal wave, which is calculated in the simulation. This value replaces the assumed depth of a horizontally stratified velocline (from the SSP). After the value is replaced, a traditional ray trace is performed, producing a sounding which only contains a bias from the tilting velocline and is free from any contamination by the velocline’s varying depth.

Three Dimensional Refraction

As explained in the introduction, a velocline that is tilted in the along-track direction can cause a beam to deviate from the 2D plane which it is assumed to be constrained by. It is for this reason that the refraction of each beam must be calculated in 3D space, this requires the definition of the plane that contains: (1) a unit vector representing the ray direction in the upper
layer, (2) the normal to the velocline at the point where the unit ray vector intersects the internal wave, and (3) a unit vector representing the direction of the refracted ray in the lower layer. This plane is referred to as the 3D refraction plane, note that it only differs from the 2D refraction plane by a rotation about the unit ray vector in the upper layer. Snell’s law is applied to find the angle of refraction within this plane. A unit vector representing the refracted ray in the lower layer is easily calculated in a coordinate system whose x-z plane is defined as the 3D refraction plane. A final transformation is thus required to bring the vector back into the IWCS. The following steps must be taken to achieve these results.

The first step in the process is calculating the IWCS coordinates for the point at which the ray intersects the internal wave. This is achieved by setting the x and z values from the unit vector representing the ray direction in the upper layer ($B_1$) equal to those from the surface representing the internal wave ($IW$) and solving for $U$. Equation (2) defines $B_1$, where $\delta$ is the ray’s depression, $\beta$ is the vessel’s azimuth in the IWCS, and $U$ is the scalar multiple which represents the length of the ray. Equation (3) defines $IW$, where $d_i$ is the average depth of the internal wave, $A$ is the internal wave’s amplitude, $\omega$ is the angular frequency, and $x$ is the x-coordinate in IWCS:

$$B_1 = U \begin{bmatrix} -\cos \delta \sin \beta \\ \cos \delta \cos \beta \\ \sin \delta \end{bmatrix},$$

(2)

$$IW = d_i + A \sin(\omega \ast x).$$

(3)

The line $IW$ is stretched along the y-axis to create the surface. The result of the substitution is shown with equation (4):

$$B_1(z) \ast U = d_i + A \sin[\omega \ast (x + B_1(x) \ast U)].$$

(4)

Equation (3.6) cannot be rearranged to solve for $U$ so the equation is set equal to zero (equation (3.7));

$$0 = d_i + A \sin[\omega \ast (sonar(x) + Beam(x) \ast U)] - Beam(z) \ast U,$$

(5)

and the bisection method is used to solve for the roots. Once the appropriate value for $U$ is determined it is used in equation (2) to solve for the IWCS coordinates of the intersection point.

With the intersection coordinates calculated the normal ($N$) to the velocline at that point is determined. This is done by taking the cross product of the two tangents to the velocline (tangent in the x direction, tangent in the y direction) at the point of intersection. The tangent in the y direction is always a unit vector running parallel to the y-axis because the surface is stretched along the y-axis. The tangent in the x-direction is calculated with equation (6) where the slope is determined by taking the derivative of the surface at the intersection point (equation (7)):
The angle between the beam ($B_1$) and the normal is calculated using the dot product in equation (8):

$$\theta_i = \cos^{-1} \left( \frac{N \cdot B_1}{||N|| \cdot ||B_1||} \right),$$

where ($\theta_i$) is the incidence angle. As explained, Snell’s law is used to calculate the refracted angle ($\theta_r$) within the 3D refraction plane. With this completed it is necessary to construct a new right handed coordinate system that has the incidence ray path as the x-axis, the normal to the refraction plane as the y-axis (calculated as the cross product of $N$ and $B_1$), and the z-axis defined by the cross product of the x and y axes. It is designed so that the refracted beam ($B_2$) lies in the x-z plane allowing for the unit vector which represents its direction to be calculated using equation (9) if the sound speed is faster in the upper layer of the water column, or equation (10) if the sound speed is faster in the lower layer;

$$B_2 = \begin{bmatrix} \cos (\theta_i - \theta_r) \\ 0 \\ \sin (\theta_i - \theta_r) \end{bmatrix},$$

$$B_2 = \begin{bmatrix} \cos (\theta_r - \theta_i) \\ 0 \\ -\sin (\theta_r - \theta_i) \end{bmatrix}.$$

The final step is to rotate $B_2$ back into the IWCS, yielding a unit vector which represents the three dimensional direction of the refracted beam in the IWCS, $B_3$:

$$B_3 = \text{inv}(R) \ast B_2.$$

The matrix $R$ is the rotation matrix that is composed from the values which represent the axis of the new coordinate system within the IWCS. For example $y_x$ is the y component of the new coordinate system’s x axis defined in the internal wave system. The full matrix is represented by equation (12):

$$R = \begin{bmatrix} x_x & y_x & z_x \\ x_y & y_y & z_y \\ x_z & y_z & z_z \end{bmatrix}.$$

Once $B_3$ is calculated, the coordinates (in the IWCS) of its intersection with the plane representing the seafloor can be calculated, and are used as the “true” coordinates as previously explained in the Methods section.
Visualization of Results

Following the methodology outlined above, it is possible to calculate the 3D bias for a sounding that passes through an internal wave packet. Examination of the bias for all beam angles over the angular sector and over an entire internal wave packet is useful for examining how the bias evolves with beam angle and intersection point with the internal wave. A DTM surface resulting from the biased 2D ray trace is useful for visualising the effect of the internal wave. Not surprisingly, an internal wave imprints a wave like artifact on the synthetic flat seafloor (see Figure 7a). Figure 7b shows how the bias in depth varies as the vessel passes over an internal wave for the nadir ray and the outermost ray of the angular sector. Figure 7c shows the root mean square (RMS) of depth bias as a function of beam angle. RMS curves can be created both for depth, and horizontal position.

Figure 7: (a) Surface representing the difference between the “true” flat seafloor, and the DTM which has been biased by the internal wave. (b) Cross section of the sounding bias for all soundings by each beam (nadir & outer beam). (c) The depth RMS curve for figure 7 (a).

The RMS curve is an easy-to-understand plot which can be plotted with several other curves to compare how uncertainty changes with any of the parameters used in an analysis, e.g. amplitude of the internal wave, or depth of the velocline. It is important to note that the RMS suppresses the maximum values of the biases. For example, in Figure 7 the maximum value for the depth bias on the outer beam reaches 4% of water depth, but the RMS is only 1.9% of water depth. Using the DTM alongside the RMS curve will allow for an appreciation of the maximum values, while still having a useful tool for analysis.
The same process can be done for the horizontal position with the only difference being that the horizontal bias for each sounding is calculated as \( h = (x^2 + y^2)^{1/2} \). RMS curves can also be created using the values from the augmented ray trace. This allows a comparison to be made between the augmented and traditional ray traces to analyze the potential benefit.

4 Case Study

A two week research cruise was conducted by the Bedford Institute of Oceanography (BIO) in August of 1984 to study tidal processes in the Gully, a small canyon-like bathymetric feature located between Sable Island (to the west) and Banquereau Bank (to the east) on the Scotian Shelf (Sandstrom et. al. [1988]). Internal wave packets were imaged acoustically using a 200 kHz SBES and were sampled with a towed undulating CTD. These data provide estimates of internal wave parameters that are useful as a case study in this work. Of particular interest is the internal wave packet observed during a four hour period on August 29th. The SBES water column reflectivity and the towed CTD measurements were able to record, among other things, the geometry of the internal waves as well as the sound speed information for the water column. The sound speed casts were retrieved from the World Ocean Database of 2005, and although it is not with 100% certainty that these casts were from the same project, the metadata indicates that they were taken on the exact date, time and location as the data discussed in Sandstrom et.al 1988. This means even if they are not from the same project they will at least provide similar sound speed values. The plots and discussions from Sandstrom et.al. (1988), along with the sound speed casts from the World Ocean Database, provide all the necessary parameters to run through the simulation. Table 2 lists the parameters used.

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
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<tbody>
<tr>
<td>Wave Length</td>
<td>230m</td>
</tr>
<tr>
<td>Amplitude</td>
<td>16.5m</td>
</tr>
<tr>
<td>Depth of velocline</td>
<td>32m</td>
</tr>
<tr>
<td>Water Depth</td>
<td>90m</td>
</tr>
<tr>
<td>Sound speed above velocline</td>
<td>1485m/s</td>
</tr>
<tr>
<td>Sound speed below velocline</td>
<td>1459m/s</td>
</tr>
</tbody>
</table>

Table 2: Banquereau Bank internal waves

Digital Terrain Model

One of the goals of this research is to help identify when soundings have been collected through an internal wave so that hydrographers may be able to recognize the artifact. In order to achieve this goal the software has the ability to create a DTM showing how the user-defined flat seafloor would appear if it were imaged through an internal wave as defined by the user parameters. This section presents those images with some qualitative analysis. The colour scales in the images represent the difference between each sounding’s depth, and the depth of the flat seafloor.
Figures 8 and 9 are the results from using the Banquereau Bank internal wave parameters while traveling parallel to the direction that the wave propagates, i.e. the crests and troughs of the internal wave are perpendicular to the vessel course. The SSP cast is simulated to have identified the velocline at the average depth of the internal wave, which means the depth bias is equal and opposite at the tops and bottoms of the waves. Essentially it oscillates between the “smile” and “frown” that are synonymous with an incorrect depth of the velocline. In this situation, the depth uncertainty is dominated by the velocline’s vertical oscillation. But as seen in figures 10 and 11, this changes as the direction of travel becomes oblique, and the depth uncertainty becomes dominated by tilting. Travelling at 30° relative to the wave’s direction of propagation, the depth bias is much larger across the entire swath, reaching values over 3.5m. The oscillating smile and frown remain, but the smiles are much larger than the frowns (3.5m vs. 1.5m). The other interesting quality is how artifacts remain connected across the DTM, and are aligned with neither the across track or along track axis. Rather they are aligned with the crest on the internal wave. This unique quality presents itself as a good method for a hydrographer to identify the source of the artifact.

Figure 8: DTM of 90m deep flat seafloor (direction = 0°).

Figure 9: DTM of 90m deep flat seafloor (direction = 0°).
Direction of Travel

In this section the effect of changing the direction of travel relative to the internal wave’s direction of propagation will be examined. Figures 12 and 13 are plots of the RMS curves where each colour represents a direction relative to the wave’s propagation; the angles in degrees are listed in the legend. The plot for depth RMS also includes the allowable vertical uncertainty (reduced to standard) according to the Internal Hydrographic Organization’s standards for hydrographic surveys [IHO, 2008]. The allowable uncertainty is taken from Order 1A/1B because they would most likely be the standard used in the 90m water depth of the study area.

It is interesting to note in Figure 12 that the RMS curves for all the directions follow the same general trend. The RMS begins at approximately 0.15% of the water depth (%w.d.) at nadir and grows with the swath angle. Once the direction of travel moves beyond 0° a large portion of the swath (beams beyond +/- ~50°) has an RMS beyond the allowable uncertainty in 90m of water. The plot also shows that as the direction moves away from being parallel to the wave’s
propagation, the RMS grows at a greater rate with swath angle. These results mean that if it is possible to plan survey lines to run in the same direction that an internal wave propagates the uncertainty will be minimized (though it is fully realized that this may not always be practical or possible).

While traveling at 75°, the RMS reaches its highest values as it nears 3% w.d. in its outer beams. As previously mentioned the RMS curves suppress the maximum values. In this case the outer beams reach values which near 12% w.d. (approximately 11m bias in 90m of water). When travelling at oblique angles over internal waves large discrepancies in the data should be expected.

The horizontal position RMS curves for the range of directions are plotted in Figure 13. The RMS remains relatively the same for all directions, and is within the allowable horizontal uncertainty (2.75m at 90m).

**Figure 12:** Depth RMS for different directions at standard.

**Figure 13:** Horizontal positions RMS for different directions.
Augmented Ray Trace

As explained earlier, the simulator developed in this work has the ability to separate the bias into the component due to: (1) vertical motion of the interface, and (2) tilting of the interface. The reason for doing this is to assess the potential benefit of tracking the depth of the velocline in real-time and incorporating it within the ray trace. This section examines how the simulated Banquereau Bank soundings would improve with such an augmentation.

Both figures 14 and 15 contrast the RMS curves using a traditional 2D ray trace and an augmented 2D ray trace. Figure 14 is travelling parallel to the wave’s propagation, whereas Figure 15 is at 30° to the direction of propagation. While travelling parallel to the internal wave propagation the uncertainty is nearly reduced to zero, being less than 0.05% of water depth at the outer beams; this small residual uncertainty is presumably due to the effects of along-track tilting. However while travelling at 30° there is only improvement in the nadir region.

Figure 14: Depth RMS improvement by tracking velocline depth (0°).

Figure 15: Depth RMS improvement by tracking velocline depth (30°).
By taking into account the depth of the velocline within the ray trace, the uncertainty for the vertical motion of the velocline is removed, leaving only the portion created by the tilting of the velocline. Through this logic it can be deduced that environments which have a larger fraction of the bias being created by the velocline’s vertical displacement stand to have a larger percentage of their bias removed. Considering the previous statement, in terms of the results from the augmented ray trace, this means that while travelling parallel to the direction of propagation, the uncertainty is dominated by the velocline’s vertical motion (there is only tilt about the across-track axis), and at 30° it is dominated by the tilting (there is tilt about the along-track and across-track axis). For this case it can be concluded that the potential benefit from the augmented ray trace is only significant while travelling parallel to the internal wave’s propagation.

Figure 16 shows that there is very little improvement in terms of the horizontal position from using the augmented ray trace. Also, unlike the depth bias, the improvement to the horizontal positions from using the augmented ray trace does not depend significantly on the direction of survey lines relative to internal wave propagation.

Figure 16: Horizontal position RMS improvements by tracking velocline depth.

**Sampling the Water Column**

The case study has shown that failing to adequately model the effects of an internal wave on ray path propagation can lead to significant biases in MBES soundings. It has also shown that water column imaging methods have limited applicability (though improvements can be made to the augmentation that was applied, e.g. allowing for estimation of the across-track tilt of the velocline on a beam-by-beam basis). Can the problem be addressed instead through increased sound speed profiling?

Sampling equipment does exist that would allow for an increased ability to sample the water column, e.g. ODIM Brooke Ocean Moving Vessel Profiler (MVP) [Furlong et al., 1997]. Internal waves, however, present a unique challenge as the spatial distances over which the water
column structure varies can be small compared to what can be realistically sampled using sound speed profiling equipment. An MVP’s profiling rate (i.e. the maximum number of profiles that can be acquired over a defined time interval) is limited by the winch retrieval speed and maximum desired sampling depth. A downcast of a few tens of metres may take only seconds to complete, but the retrieval may take a few minutes. For example, a 3 minute profiling interval while travelling at 8 knots would yield a sound speed profile every 740 m. This is quite large when compared with the spatial wavelength of the internal waves observed over Banquereau Bank during the 1984 sampling campaign (~230 m). In this case, an extreme case of aliasing occurs when trying to sample the structure of the internal wave. The above situation is apparent in Figure 17 where the internal wave is plotted in green, roughly to scale for the above situation.

Regardless of whether or not there is aliasing, the fact remains that using an SSP requires the assumption that the watercolumn is horizontally stratified. Even if it were possible to have a dense sampling over the internal wave, it would not account for the velocline’s tilt, and won’t represent the true velocline depth across the entire swath. This should not be misconstrued as saying that there is no advantage to densely sampling the water column. It is only meant to show that in areas with internal waves a hydrographer cannot expect to easily model the oceanographic conditions using sound speed profiles, even with hardware that allows for near continuous sampling of the water column.

One suggestion would be to sample the water column sufficiently to get a reasonable estimate of the velocline’s mean depth. The mean depth can be used to create a mean cast for the area affected by internal waves. Taking this approach will cause the maximum offset between the true and assumed velocline depth to be limited to the internal wave’s amplitude. This represents an improvement from the situation where an SSP which samples the velocline at a peak is used with for a sounding ray path that intersected the velocline at a trough (or vice versa), causing the offset to be twice the internal wave’s amplitude.

Figure 17: Sound speed profiles using a MVP over an internal wave.
4 Conclusions

Under certain conditions, internal waves in the water column can cause the total propagated depth uncertainty of MBES soundings to exceed IHO Order 1A/B specifications for a large portion of a typical MBES angular sector. It has been shown that planning survey lines to run parallel to the direction in which the internal waves propagate significantly reduces their effect. It has also been shown that augmenting traditional 2D ray tracing algorithms with water column imaging has the potential to minimize the uncertainty, however this approach is also limited to the case where survey line direction is parallel to the direction of internal wave propagation. Increasing sound speed acquisition rates can help only in cases where instrumentation can sample often enough to fully capture the nature of the wave.

Without a reliable method for reducing the impact of internal waves on sounding accuracy, perhaps the best approach to dealing with internal waves is a background study of the oceanographic processes at work in the area to be surveyed. With information about the geometry of internal waves, the numerical simulation outlined in this work has potential to assist in creating a more accurate assessment of the expected total propagated uncertainty at the survey design stage. This might allow the hydrographer to better estimate parameters such as survey line direction and spacing. Furthermore, oceanographic background research could also be used to identify periods characterized by low internal wave activity. These “windows of opportunity” would allow the surveyor to work around the problem and avoid high costs associated with reduced line spacing when working in the worst of conditions.

It should be noted that the results of this work are preliminary. Further research and testing will:

- verify the fidelity of the numerical simulation through field trials
- assess the feasibility and practicality of identifying internal wave propagation direction (if there is only one) and adjusting the direction of survey lines to run parallel to the internal wave propagation
- investigate using water column imagery data to augment ray tracing algorithms in a more sophisticated manner than was done in this work, e.g. allowing for across-track tilting estimates in addition to correcting the velocline depth.

References


